

**4a. Suits and Administrative Proceedings**

<b>Case Number and Court Location</b>	<b>Plaintiff/Defendant</b>	<b>Status</b>	<b>Nature of Proceeding</b>
		PENDING	CHEMICAL EXPOSURE
03-17949 U.S. BANKRUPTCY COURT, S.D. NY	CLAIMANT	CLOSED	EQUISTAR AND SOLUTIA EACH ASSERTED CONTRACT CLAIMS
07-11160 U.S. BANKRUPTCY COURT, DE	CLAIMANT	PENDING	EQUISTAR ASSERTED CLAIMS FOR BREACH OF CONTRACT
08-13384 U.S. BANKRUPTCY COURT, DE	CLAIMANT	PENDING	EQUISTAR ASSERTS UNPAID SECTION 503(B)(9) CLAIM
05-44481 U.S. BANKRUPTCY COURT, S.D. NY	CLAIMANT	PENDING	EQUISTAR ASSERTS UNSECURED CLAIM FOLLOWING SETOFF
08-10890 U.S. BANKRUPTCY COURT, DE	CLAIMANT	PENDING	EQUISTAR COLLECTED CLAIM FOR UNPAID INVOICES
08-10595 U.S. BANKRUPTCY COURT, S.D. NY (MANHATTAN)	CLAIMANT	PENDING	EQUISTAR COLLECTED CONTRACT CURE CLAIM
03-C-4576 USDC, NORTHERN DISTRICT OF IL, EASTERN DIVISION (MDL NO 1536	CLASS MEMBER-CLAIMANT	PENDING	CLASS ACTION RECOVERY CLAIM
BRUGGENWIRTH, JANICE V. EQUISTAR CHEMICALS, LP N/A	DEFENDANT	SETTLEMENT REACHED PRIOR TO FILING, CHAPTER 11 FILED BEFORE AGREEMENTS SIGNED. SETTLEMENT PENDING.	EMPLOYEE RELATED LITIGATION
2:07-CV-95 IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS - MARSHALL DIVISION	DEFENDANT	CLOSED	COMMERCIAL
2008-56217 80TH JUDICIAL DISTRICT COURT OF HARRIS COUNTY, TX	DEFENDANT	PENDING	COMMERCIAL - CONTRACT DISPUTE
06 CH 24095 COOK COUNTY, IL CIRCUIT COURT	DEFENDANT	PENDING	DECLARATORY JUDGMENT ACTION
NO. 3:08-CV-101 BURKHOLDER, DAWN V. EQUISTAR CHEMICALS, LP USDC SOUTHERN DISTRICT OF IOWA DAVENPORT DIVISION	DEFENDANT		EMPLOYEE RELATED LITIGATION
IUOE V. EQUISTAR CHEMICALS, LP	DEFENDANT	GAYLOR DISCHARGE	EMPLOYEE RELATED LITIGATION
D179972 136TH JEFFERSON COUNTY, TEXAS	DEFENDANT	PENDING	CHEMICAL

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CHOATE, CHRISTINE (EQUISTAR CHEMICALS, LP) TEXAS WORKFORCE COMMISSION CIVIL RIGHTS DIVISION	DEFENDANT	RIGHT TO SUE ISSUED 1/6/09 DISMISSED BY EEOC 3/3/09.	EMPLOYEE RELATED LITIGATION
49D02-9801-MI-0001-045 MARION COUNTY SUPERIOR COURT	DEFENDANT	STAYED	ASBESTOS
JUMPER, M. ALLEN (DECEASED) – EQUISTAR CHEMICALS, LP N/A	DEFENDANT	REQUEST FOR TERMINATION ALLOWANCE.	EMPLOYEE RELATED LITIGATION
D179972	DEFENDANT		CHEMICAL
2008-09027 DISTRICT COURT OF HARRIS COUNTY, TEXAS, 133D JUDICIAL DISTRICT	DEFENDANT	DISCOVERY	BREACH OF CONTRACT, TORTIOUS INTERFERENCE
07 L 120 MADISON COUNTY, IL CIRCUIT COURT	DEFENDANT	RESOLVED	ASBESTOS EXPOSURE
07 L 180 MADISON COUNTY, IL CIRCUIT COURT	DEFENDANT	CLOSED	ASBESTOS EXPOSURE
03-C-0550 SUPERIOR COURT OF STATE OF NEW HAMPSHIRE, COUNTY OF MERRIMACK	DEFENDANT	PENDING	MTBE
2004-37479-P 11TH DISTRICT COURT, HARRIS COUNTY, TX	DEFENDANT	PENDING	ASBESTOS
E-167,892-AD 172ND DISTRICT COURT, JEFFERSON COUNTY, TX	DEFENDANT	CLOSED	ASBESTOS
2004-37479-O	DEFENDANT		ASBESTOS
B173357 60TH DISTRICT COURT JEFFERSON COUNTY, TEXAS	DEFENDANT	PENDING	
B173357	DEFENDANT		
08 L 440 MADISON COUNTY, IL CIRCUIT COURT	DEFENDANT	PENDING	ASBESTOS EXPOSURE
2008-00708 189TH JUDICIAL DISTRICT COURT OF HARRIS COUNTY, TEXAS	DEFENDANT	SETTLEMENT PENDING	PERSONAL INJURY

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Case Number and Court Location	Plaintiff/Defendant	Status	Nature of Proceeding
3:08-CV-05216-RJB U.S.D.C. WESTERN DISTRICT OF WA AT TACOMA	DEFENDANT	PENDING	TOXIC TORT - CHEMICAL EXPOSURE
TCEQ DOCKET NO. 2007-1333-AIR-ESOA DOCKET NO. 582-08-2494 TEXAS STATE OFFICE OF ADMINISTRATIVE HEARINGS	DEFENDANT	CLOSED.	TCEQ ENFORCEMENT ACTION
51 198 Y 00351 07 AMERICAN ARBITRATION ASSOCIATION	DEFENDANT	PENDING	PROPERTY DAMAGE
NO. D-1-GV-06-002509 201ST JUDICIAL DISTRICT COURT OF TRAVIS COUNTY, TEXAS	DEFENDANT	CLOSED.	FEDERAL AND TEXAS CLEAN AIR ACT VIOLATIONS
08 CIV 2339 FP-CLP U.S. DISTRICT COURT, EASTERN DISTRICT OF NEW YORK	DEFENDANT	PENDING	PERSONAL INJURY / PRODUCT LIABILITY
CV 443990 SUPERIOR COURT OF THE STATE OF CALIFORNIA, COUNTY OF SAN MATEO	DEFENDANT	CLOSED	MTBE
	DEFENDANT	PENDING	PERSONAL INJURY
2005-78742 234TH JUDICIAL DISTRICT COURT OF HARRIS COUNTY, TEXAS	DEFENDANT	CLOSED	PERSONAL INJURY
2006-81253 151ST JUDICIAL DISTRICT COURT OF HARRIS COUNTY, TEXAS	DEFENDANT	ABATED	PERSONAL INJURY
03-CV-10052 UNITED STATES DISTRICT COURTSOUTHERN DISTRICT OF NEW YORK	DEFENDANT	PENDING; TRIAL SCHEDULED FOR 6/2009	MTBE
04-CV-1725 UNITED STATES DISTRICT COURTSOUTHERN DISTRICT OF NEW YORK	DEFENDANT	PENDING; SETTLED, MOTION FOR VOLUNTARY DISMISSAL PURSUANT TO RULE 41 TO BE FILED (WITH THE EXCEPTION OF CLAIMS BY CENTERVILLE- OSTERVILLE-MARSTONS MILLS WATER DEPARTMENT, WHERE DISCOVERY IS ONGOING)	MTBE
06-CV-3742 UNITED STATES DISTRICT COURTSOUTHERN DISTRICT OF NEW YORK	DEFENDANT	PENDING; SETTLED, MOTION FOR VOLUNTARY DISMISSAL PURSUANT TO RULE 41 TO BE FILED	MTBE

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Case Number and Court Location	Plaintiff/Defendant	Status	Nature of Proceeding
37-2007-00080789-CU-TT-CTL SUPERIOR COURT OF THE STATE OF CALIFORNIA COUNTY OF SAN DIEGO	DEFENDANT	PENDING; SETTLED, MOTION FOR GOOD FAITH SETTLEMENT TO BE FILED	MTBE
03-C-0550 SUPERIOR COURT OF STATE OF NEW HAMPSHIRE, COUNTY OF MERRIMACK	DEFENDANT	PENDING; JURISDICTIONAL DISCOVERY ONGOING IN CONNECTION WITH MOTION TO DISMISS	MTBE
03AS05452 SUPERIOR COURT OF THE STATE OF CALIFORNIA COUNTY OF SACRAMENTO	DEFENDANT	PENDING; DISCOVERY ONGOING, MEDIATION SCHEDULED FOR 4/2009	MTBE
03-CV-10051 UNITED STATES DISTRICT COURTSOUTHERN DISTRICT OF NEW YORK	DEFENDANT	PENDING; DISCOVERY ONGOING	MTBE
CV 08-03214 SUPERIOR COURT OF THE STATE OF CALIFORNIA, COUNTY OF CONTRA COSTA	DEFENDANT	PENDING; COMPLAINT FILED, AWAITING SERVICE	MTBE
MDL 1358MASTER FILE 1:00-1898 USDC, SOUTHERN DISTRICT OF NY, NY	DEFENDANT	PENDING	MTBE MDL
08-2-03450-3 CLARK COUNTY, WASHINGTON SUPERIOR COURT	DEFENDANT	CLOSED	PRODUCT LIABILITY
2007-73468 295TH JUDICIAL DISTRICT COURT OF HARRIS COUNTY, TEXAS	DEFENDANT AND W.C. LIENHOLDER	PENDING	PERSONAL INJRY
2007-73468 295TH JUDICIAL DISTRICT COURT OF HARRIS COUNTY, TEXAS	DEFENDANT AND W.C. LIENHOLDER	PENDING	PERSONAL INJURY
07 C 1860 U.S. DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS	PLAINTIFF	PENDING	BREACH OF CONTRACT ACTION
2000 37203 11TH JUDICIAL DISTRICT COURT OF HARRIS COUNTY, TX	PLAINTIFF	PENDING - REMANDED FOR NEW TRIAL	COMMERCIAL - PROPERTY DAMAGE AND BUSINESS INTERRUPTION
08-50276 U.S. BANKRUPTCY COURT, DE	PLAINTIFF	CLOSED	EQUISTAR ASSERTED CLAIMS FOR BREACH OF CONTRACT
4:08-CV.00959 U.S. DISTRICT COURT, S.D. TX (HOUSTON)	PLAINTIFF	PENDING	EQUISTAR SUING TO COLLECT UNPAID INVOICES

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	RESPONDENT	PENDING	COMMERCIAL - COLLECTION
	RESPONDENT	PENDING	COMMERCIAL - CONTRACT DISPUTE
	RESPONDENT	RESOLVED	COMMERCIAL - CONTRACT DISPUTE
99 L 21 GRUNDY COUNTY, IL CIRCUIT COURT, THIRTEENTH JUDICIAL DISTRICT	THIRD PARTY PLAINTIFF	PENDING	ACTION FOR CONTRIBUTION

Specific Notes