

IN RE LEHMAN BROTHERS INC., CASE NO: 08-01420 (SCC) SIPA
TWO HUNDRED THIRTEENTH OMNIBUS OBJECTION: EXHIBIT A – EMPLOYEE CLAIMS

Claim Type	Trustee’s Proposed Reason for Disallowance
401(k) Claim	No legal or factual justification for asserting a claim against LBI. LBI is not liable for the claimed 401(k) account or for losses associated with such account.
Assumed Pension Claim	No legal or factual justification for asserting a claim against LBI. The claimed pension benefits were owed under a qualified pension plan which was assumed by the Pension Benefits Guaranty Corporation and LBI has no liability for such benefits.
Employee Award Plan Claim	No legal or factual justification for asserting a claim against LBI. The Employee Award Plan provided a right to payment from LBHI, not LBI, the debtor in this separate and distinct SIPA Proceeding.
Equity Awards Claim	No legal or factual justification for asserting a claim against LBI. The claimed Equity Awards constitute equity interests in LBHI, not LBI, the debtor in this separate and distinct SIPA Proceeding.
LBHI Equities Claim	No legal or factual justification for asserting a claim against LBI. The claimed stock constitutes an equity interest in LBHI, not LBI, the debtor in this separate and distinct SIPA Proceeding.
Non-LBI Employee Claim	No legal or factual justification for asserting a claim against LBI. The Claimed Compensation was not earned or awarded pursuant to employment with LBI.

* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

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	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	EMPLOYEE AWARD PLAN CLAIM	EQUITY AWARDS CLAIM	NON-LBI EMPLOYEE CLAIM	401(K) CLAIM	LBHI EQUITIES CLAIM	ASSUMED PENSION CLAIM
1	BLAIR, MELODY F/K/A MELODY W. LI 25 SPINNAKER IRVINE, CA 92614	7001154	1/30/2009	\$45,000.00 ¹	X	X			X	
2	CALLIES, GUILLEMETTE 43, RUE DE LA FERME NEUILLY-SUR-SEINE 92 F92200 FRANCE	592	12/30/2008	\$12,482.00	X	X				
3	DICKINSON, JOSEPH R 17 CARLY COURT MONROE, NJ 08831	7001371	4/19/2009	\$909.00	X	X				
4	GRAY, JAMES B 23 BLUE LEAVES AVENUE NETHERNE ON THE HILL COULSDON, SURREY CRS 1NY UNITED KINDOM	4497	5/13/2009	\$67,342.00	X	X	X			
5	GRAY, JAMES B 23 BLUE LEAVES AVENUE NETHERNE ON THE HILL COULSDON, SURREY CRS 1NY UNITED KINDOM	9007260	5/13/2009	UNSPECIFIED*	X	X	X			

¹ The portion of claim number 7001154 that is asserting a claim for unused vacation in the amount of \$3,384.62 is not affected by this objection, and shall remain active on the claims register, subject to the Trustee’s right to object to this portion of the claim in the future.

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6	JAMIESON, ELISABETH DIANE 25 WARWICK MANSIONS CROMWELL CRESCENT LONDON SW59QR UNITED KINGDOM	7001100	1/30/2009	\$29,294.00	X	X				
7	JENKINS, INIGO LEWIS FRASER 4 MONTAGU HOUSE 33 PADDINGTON STREET LONDON W1U 4HF UNITED KINGDOM	7000994	1/30/2009	\$466,889.00	X	X				
8	MERCADO, BRIAN R 1155 BRICKELL BAY DRIVE APT 1208 MIAMI, FL 33131	7001116	1/30/2009	\$20,619.96	X	X		X		
9	MIRANDA, JORGE 241 SHERMAN AVENUE, APT. 2B NEW YORK, NY 10034	3498	1/29/2009	UNSPECIFIED*	X	X				X
10	PATEL, BHAVNA 19 DORSET DRIVE KENILWORTH, NJ 07033-1416	805	1/9/2009	\$24,200.00	X	X				
11	RAMADAN, ZAKY S PO BOX 750134 FOREST HILLS, NY 11375	8003264	1/30/2009	UNSPECIFIED*	X	X				

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12	RUELA, JOSE M 36 FIFTH STREET NORTH ARLINGTON, NJ 07031	8003093	1/30/2009	UNSPECIFIED*	X	X				
13	RYAN, KATHERINE SUSAN 99-16 157TH AVENUE HOWARD BEACH, NY 11414	7000531	1/26/2009	\$94,326.89 ²	X					
14	SEWARDS, MARK 326 ALEXANDRA PARK ROAD WOODGREEN LONDON, MDD SX N22 7BD UNITED KINGDOM	8000934	1/6/2009	UNSPECIFIED*	X	X				
15	SHAPIRO, CHERYL ANNE 3855 WOODCLIFF ROAD SHERMAN OAKS, CA 91403	7001339	1/22/2009	\$16,855.00	X	X				
16	THAMBIMUTHU, RAJARATNAM 4 VAN DYCK AVENUE NEW MALDEN, SURREY KT3 5NQ UNITED KINGDOM	2613	1/30/2009	UNSPECIFIED*	X	X				
17	TODARO, ALICIA 197 BAY 43RD STREET BROOKLYN, NY 11214	7000758	1/29/2009	\$15,040.00	X	X				
18	WITKIN, MICHAEL 53 SHORT HILLS CIR APT 1B MILLBURN, NJ 07041	9005896	2/2/2009	UNSPECIFIED*	X	X				
	Total			\$792,957.85						

² The portion of claim number 7000531 that is asserting a claim for severance in the amount of \$94,326.89 is not affected by this objection, and shall remain active on the claims register, subject to the Trustee's right to object to this portion of the claim in the future

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