

IN RE LEHMAN BROTHERS INC., CASE NO: 08-01420 (SCC) SIPA
ONE HUNDRED SEVENTY-SEVENTH OMNIBUS OBJECTION: EXHIBIT 1 – SOFT DOLLAR CLAIMS

	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	ASSERTED AMOUNT	CLAIM AS MODIFIED
1	BAY BRIDGE CAPITAL MANAGEMENT LLC BRUCE KROMMINGA 4920 ELM STREET SUITE 205 BETHESDA, MD 20814	9001804	1/26/2009	UNSPECIFIED*	\$26,779.92
2	BB&T ASSET MGMT BRIAN BAKER 434 FAYETTEVILLE ST 5TH FL RALEIGH, NC 27601	9003995	1/30/2009	UNSPECIFIED*	\$139,807.36
3	BEDFORD OAK ADVISORS NANCY PANUCCI 100 SOUTH BEDFORD RD MT KISCO, NY 10549	9001831	1/26/2009	UNSPECIFIED*	\$14,428.25
4	BOSTON COMPANY ASSET MGMT, INC. ATTN: JENNIFER CASSEDY ONE BOSTON PLACE, 14TH FLOOR BOSTON, MA 02109	5928	6/2/2009	\$101,829.97	\$0.26
5	BROADVIEW ADVISORS LLC OWEN HILL 100 E WISCONSIN AVE STE 2250 MILWAUKEE, WI 53202	9003353	1/29/2009	UNSPECIFIED*	\$29,290.06

* Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as “unknown”, “\$0.00*”, “unascertainable”, “undetermined”, or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

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6	CAVU CAPITAL ADVISORS LLC JIM GILMORE 20 MARSHALL ST #102 NORWALK, CT 06824	9002095	1/27/2009	UNSPECIFIED*	\$205.35
7	COLUMBIA MANAGEMENT ADVISORS, LLC FREDRIC SOSNICK, AS ATTORNEY C/O SHEARMAN & STERLING LLP 599 LEXINGTON AVE. NEW YORK, NY 10022	8002547	1/29/2009	UNSPECIFIED*	\$23,153.44
8	FIDELITY RESEARCH & MANAGEMENT COMPANY LISA BECKERMAN ESQ 82 DEVONSHIRE STREET BOSTON, MA 02109	9002574	1/28/2009	UNSPECIFIED*	\$29,700.00
9	GENEVA INVESTMENT MANAGEMENT OF CHICAGO, LLC THOMAS W. ULRICH 181 W. MADISON STE. 3575 CHICAGO, IL 60602	8002397	1/29/2009	UNSPECIFIED*	\$30,429.87
10	INTERNATIONAL INVESTMENT ADVISERS LLC MARTINE YOURIEFF 1681 BARNUM AVENUE GROUND FLOOR STRATFORD, CT 06614	9002358	1/28/2009	UNSPECIFIED*	\$4,669.79

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11	LEDYARD NAT'L BANK CONNIE ALDRICH 2 MAPLE STREET HANOVER, NH 03755	9001920	1/26/2009	UNSPECIFIED*	\$133,899.17
12	MHR CAPITAL PARTNERS KURT SCHOEN 40 W 57TH ST FL 24 NEW YORK, NY 10019	9003361	1/29/2009	UNSPECIFIED*	\$8,323.28
13	MUNDER CAPITAL MANAGEMENT MARY ANN SHUMAKER 480 PIERCE STREET BIRMINGHAM, MI 48009	9006073	2/3/2009	UNSPECIFIED*	\$14,882.29
14	PENNSYLVANIA TRUST CO KEN BRIGHTCLIFFE 5 RADNOR CORP CTN #450 RADNOR, PA 19087	9006307	2/17/2009	UNSPECIFIED*	\$5,109.88
15	PIEDRA CAPITAL LTD JOHN MCDONALD 3355 W ALABAMA #980 HOUSTON, TX 77098	9005885	2/2/2009	UNSPECIFIED*	\$18,697.08
16	PRB ADVISORS ANDY BERGMAN 245 PARK AVE, 24TH FLOOR NEW YORK, NY 10167	8003004	1/30/2009	UNSPECIFIED*	\$196,591.42
17	RIDGEWORTH CAPITAL MANAGEMENT INC WILLIAM TURNER 50 HURT PLAZA SUITE 1400 ATLANTA, GA 30303	9005257	1/30/2009	UNSPECIFIED*	\$44,509.80

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18	ROBECO INVESTMENT MANAGEMENT INC DBA BOSTON PARTNERS CHRIS BOWKER 28 STATE STREET 21ST FLOOR BOSTON, MA 02109	9001802	1/26/2009	UNSPECIFIED*	\$1,677.74
19	ROCKEFELLER & CO., INC. TIMOTHY MCCARTHY 30 ROCKEFELLER PLAZA NEW YORK, NY 10112	8003560	2/20/2009	UNSPECIFIED*	\$32,419.94
20	STEINBERG ASSET MANAGEMENT LLC JOSEPH SPANIER 12 E 49TH ST #1202 NEW YORK, NY 10017	9001678	1/23/2009	UNSPECIFIED*	\$131,972.84
21	STELLIAM INVESTMENT MANAGEMENT LP GREGG L KUDISCH CHIEF FINANCIAL OFFICER 31 WEST 52ND STREET 16TH FLOOR NEW YORK, NY 10019	9004551	1/30/2009	UNSPECIFIED*	\$23,377.77
22	TEACHERS RETIREMENT SYSTEM OF TEXAS 1000 RED RIVER STREET AUSTIN, TX 78701-2627	2895	1/30/2009	\$80,971.03	\$74,971.03

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23	THRIVENT INVESTMENT MANAGEMENT INC DAVID ROYAL 625 FOURTH AVE SOUTH MS 1450 MINNEAPOLIS, MN 55415	9003931	1/29/2009	UNSPECIFIED*	\$507,577.94
24	TIGER GLOBAL MANAGEMENT LLC STEVEN BOYD 101 PARK AVENUE 48TH FLOOR NEW YORK, NY 10178	9004584	1/30/2009	UNSPECIFIED*	\$457,793.60
25	TRELLUS MANAGEMENT CO LLC JAMES SCAPLEN 350 MADISON AVE 9TH FLOOR NEW YORK, NY 10017	9001504	1/21/2009	UNSPECIFIED*	\$2,025.21
26	WESTFIELD CAPITAL MGMT KATHY BALESTRIERI ONE FINANCIAL CTR BOSTON, MA 02111	9001538	1/22/2009	UNSPECIFIED*	\$38,540.02

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