08-01420-scc Doc 8391 Filed 02/26/14 Entered 02/26/14 16:57:23 Main Document Pg 4 of 9 IN RE LEHMAN BROTHERS INC., CASE NO: 08-01420 (SCC) SIPA ONE HUNDRED EIGHTY-THIRD OMNIBUS OBJECTION: EXHIBIT 1- LATE-FILED CLAIMS

	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE
1	BOHN, FRANCOIS	5949	6/2/2009	\$5,534.01	LATE-FILED CLAIM.
	C/O MARVIN RINGER				
	673 COLFAX PLACE				
	NORTH WOODMERE, NY 11581				
2	BOHN, FRANCOIS	5950	6/2/2009	\$144,467.30	LATE-FILED CLAIM.
	C/O MARVIN RINGER				
	673 COLFAX PLACE				
	NORTH WOODMERE, NY 11581				
3	BOUCHER, DAVID F.	5944	6/2/2009	UNSPECIFIED*	LATE-FILED CLAIM.
	334 DELANCEY STREET				
	PHILADELPHIA, PA 19106				
4	CIBC WORLD MARKETS CORP.	6056	7/9/2009	\$1,112,521.00	LATE-FILED CLAIM.
	ATTN: JACK S. MCMURRAY				
	199 BAY STREET, 6TH FLOOR				
	TORONTO, ON M5L 1A2				
	CANADA				
5	CITY AND COUNTY OF SAN FRANCISCO TAX	6061	6/29/2009	\$1,097,367.60	LATE-FILED CLAIM.
	COLLECTOR				
	BUREAU OF DELINQUENT REVENUE				
	BANKRUPTCY UNIT				
	P.O. BOX 7426				
	SAN FRANCISCO, CA 94120-7426	5002 (22	0.10.10.000		
6	COMON, ETIENNE PHILLIPPE	7002633	9/9/2009	\$1,741,532.00	LATE-FILED CLAIM.
	ROSARY GARDENS				
	FLAT 2 ROLAND MANSIONS				
	LONDON SW7 4NP				
7	UNITED KINGDOM	5054	C/2/2000	¢22.266.82	
7	COURY, CLIFFORD N. PO BOX 58571	5954	6/3/2009	\$32,300.83	LATE-FILED CLAIM.
0	SALT LAKE CITY, UT 84158-8571	(192	10/26/2000	¢11 440 507 40	
8	CPM LUXEMBOURG SA	6182	10/26/2009	\$11,440,507.42	LATE-FILED CLAIM.
	2 RUE JEAN MONNET				
	LUXEMBOURG L-2180				
	LUXEMBOURG				

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	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE
9	CUYAHOGA COUNTY TREASURER 1219 ONTARIO ST., ROOM 112 CLEVELAND, OH 44113-1697	6060	6/26/2009	UNSPECIFIED*	LATE-FILED CLAIM.
10	CUYAHOGA COUNTY TREASURER 1219 ONTARIO ST., ROOM 112 CLEVELAND, OH 44113-1697	6062	7/10/2009	UNSPECIFIED*	LATE-FILED CLAIM.
11	DRAKE LOW VOLATILITY MASTER FUND, LTD., THE DRAKE MANAGEMENT LLC BRIAN PETROZAK 954 LEXINGTON AVENUE, SUITE 149 NEW YORK, NY 10021	6057	7/9/2009	\$2,039,100.70	LATE-FILED CLAIM.
12	DRAKE OFFSHORE MASTER FUND, LTD., THE DRAKE MANAGEMENT LLC BRIAN PETROZAK 954 LEXINGTON AVENUE, SUITE 149 NEW YORK, NY 10021	6058	7/9/2009	\$14,072,725.86	LATE-FILED CLAIM.
13	FOSTER, MICHELE 240-A D46 QTR DES ESCASSADES FUVEAU 13710 FRANCE	6239	4/14/2011	\$4,402.08	LATE-FILED CLAIM.
14	FRASER, JOHN DAVID 1713 FLOWERS DR. CARROLLTON, TX 75007	6210	6/7/2010	UNSPECIFIED*	LATE-FILED CLAIM.
15	FRERS, ERNA & HORST ULLSTEINSTR. 135 BERLIN 12109 GERMANY	6078	7/30/2009	\$29,762.00	LATE-FILED CLAIM.
16	FUNG, LUM SOO BLK 72 HOUGANG AVENUE 7 THE FLORIDA #10-14 SINGAPORE 538805 SINGAPORE	7002623	6/2/2009	\$100,000.00	LATE-FILED CLAIM.
17	FURTUN, GULPERI 120 E 90TH STREET APT 14B NEW YORK, NY 10128	6254	8/31/2011	\$8,000.00	LATE-FILED CLAIM.

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	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE
18	GOLAN, ARI 1000 ISLAND BLVD APT. 2907 AVENTURA, FL 33160	6293	9/27/2012	\$50,000.00	LATE-FILED CLAIM.
19	GRECO, MICHELE VIA DELLA MOSCOVA 47 20121 MILANO ITALY	6136	9/16/2009	\$904,853.07	LATE-FILED CLAIM.
20	HEIN, MARK W. 1944 LEVINE LANE CLEARWATER, FL 33760	6273	4/9/2012	\$23,547.40	LATE-FILED CLAIM.
21	HOFFMAN, JO ANN 2901 NE 35TH LANE FORT LAUDERDALE, FL 33306	6015	6/8/2009	\$50,000.00	LATE-FILED CLAIM.
22	HOFFMAN, JO ANN 2901 NE 35TH LANE FORT LAUDERDALE, FL 33306	6016	6/8/2009	\$75,000.00	LATE-FILED CLAIM.
23	HUMPHREY, INC. ATTN: WILLIAM MAYFIELD, ESQ. SUNTRUST ROBINSON HUMPHREY, INC. 3333 PEACHTREE ROAD, 11TH FL ATLANTA, GA 30326	6168	9/24/2009	UNSPECIFIED*	LATE-FILED CLAIM.
24	JOSEPH, GARY PAUL DOWNSHATCH 116 REIGATE ROAD EPSOM SURREY KT17 3BX UNITED KINGDOM	6068	7/17/2009	\$50,000.00	LATE-FILED CLAIM.
25	KIKSTADT, MYRTLE & MULLEN, LINDA 11667 SYMMESCREEK DRIVE LOVELAND, OH 45140	6081	8/3/2009	\$50,000.00	LATE-FILED CLAIM.
26	KUN, WILLIAM 1958 BARRY AVE LOS ANGELES, CA 90025	6242	4/22/2011	\$1,132.68	LATE-FILED CLAIM.
27	LUCAS, JESS 210 WINECOFF DRIVE FAYETTEVILLE, GA 30214-7106	6264	10/11/2011	\$12,750.00	LATE-FILED CLAIM.

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	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE	
28	MACQUARIE CAPITAL (USA) INC C/O MACQUARIE CAPITAL ADVISORS ATTN: LEGAL COUNSEL 125 WEST 55TH STREET NEW YORK, NY 10019	6110	9/14/2009	\$373,152.00	LATE-FILED CLAIM.	
29	MAPLES FINANCE LIMITED CHRISTOPHER WATLER PO BOX 1093 BOUNDARY HALL GRAND CAYMAN KY1-1102 CAYMAN ISLANDS	6025	6/9/2009	\$23,463.82	LATE-FILED CLAIM.	
30	METROPOLITAN WEST HIGH YIELD BOND FUND (MW #[REDACTED]) METROPOLITAN WEST ASSET MANAGEMENT, LLC 11766 WILSHIRE BLVD., SUITE 1500 LOS ANGELES, CA 90025	6175	10/23/2009	\$210,500.00	LATE-FILED CLAIM.	
31	METROPOLITAN WEST STRATEGIC INCOME FUND (MW #[REDACTED]) METROPOLITAN WEST ASSET MANAGEMENT, LLC 11766 WILSHIRE BLVD. SUITE 1500 LOS ANGELES, CA 90025	6176	10/23/2009	\$399,950.00	LATE-FILED CLAIM.	
32	MOORE, TODD CHRISTOPHER 15403 STABLE STAR CIRCLE CYPRESS, TX 77429	6272	1/6/2012	\$200,000.00	LATE-FILED CLAIM.	
33	PERSONAL INVESTMENTS HOLDINGS LIMITED TMS/ITS SETT A/C NERINE CHAMBERS ROAD TOWN TORTOLA BRITISH VIRGIN ISLANDS	6208	5/17/2010	\$1,921,125.72	LATE-FILED CLAIM.	
34	SCHAEFER, THOMAS M. 560 TWIN SPRINGS ROAD NW ATLANTA, GA 30327	6245	5/16/2011	\$42,085.00	LATE-FILED CLAIM.	

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35	SCHIFFER, CRAIG IRA CUSTODIAN 40 BEEKMAN TERRACE SUMMIT, NJ 07901-1730	6146	9/21/2009	\$100,000.00	LATE-FILED CLAIM.
36	SIM, KER LAI & MRS KER LAI SIM NEE GOH MUI HUAY BLK 709. BEDOK RESERVOIR ROAD #04-3856 470709 SINGAPORE	5993	6/4/2009		LATE-FILED CLAIM.
37	SINOPAC MULTI-SERIES FUND II LTD F/K/A NAM MULTI-SERIES FUND LTD LEGAL & COMPLIANCE DEPT FLAT A, 11/F GUANGDONG INVESTMENT TOWER 148 CONNUAGHT ROAD CENTRAL HONG KONG CHINA	6298	12/10/2012	\$1,513,413.90	LATE-FILED CLAIM.
38	SKOLNICK, WILLIAM M 68 SEACORD ROAD NEW ROCHELLE, NY 10804-3217	6130	9/22/2009	\$781,964.00	LATE-FILED CLAIM.
39	SMITH, JOHN PHILIP GIRDLE RIDGE ROAD KATONAH, NY 10536	6087	6/29/2009	UNSPECIFIED*	LATE-FILED CLAIM.
40	SPANJER, DAVID R 143 E 36 STREET, APT D NEW YORK, NY 10016	7002638	9/22/2009	\$101,342.50	LATE-FILED CLAIM.
41	STANDARD BANK PLC C/O FIELD FISHER WATERHOUSE LLP 35 VINE STREET LONDON EC3N 2AA UNITED KINGDOM	6064	7/16/2009	\$2,164,610.16	LATE-FILED CLAIM.
42	STONE RIVER, INC. F/K/A FISERV INSURANCE SOLUTIONS 250 N. SUNNYSLOPE ROAD SUITE 110 BROOKFIELD, WI 53005	6074	7/27/2009	\$10,145.00	LATE-FILED CLAIM.

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43	SUPRUN, ECATERINA	6049	7/1/2009	\$40,585.77	LATE-FILED CLAIM.
	3A SPENCER WALK				
	LONDON NW3 1QZ				
	UNITED KINGDOM				
44	WEDBUSH MORGAN SECURITIES INC.	6215	8/23/2010	\$614,830.00	LATE-FILED CLAIM.
	ATTENTION: CHARLES LACHAUSSEE,				
	CORPORATE COUNSEL				
	1000 WILSHIRE BOULEVARD				
	LOS ANGELES, CA 90017				
45	WEST GATE STRATEGIC INCOME FUND I	6177	10/23/2009	\$231,500.00	LATE-FILED CLAIM.
	MASTER FUND (MW #[REDACTED])				
	METROPOLITAN WEST ASSET				
	MANAGEMENT, LLC				
	11766 WILSHIRE BLVD				
	SUITE 1500				
	LOS ANGELES, CA 90025				
46	WHITTAKER, ALAN J.	6106	9/3/2009	\$992,468.00	LATE-FILED CLAIM.
	BRIAR'S COTTAGE				
	21 COOMBE RISE				
	SHENFIELD CM158JJ				
	UNITED KINGDOM				
47	WITTECK, KATHLEEN	6151	9/22/2009	\$5,082.80	LATE-FILED CLAIM.
	2090 EDGE RD				
	SYOSSET, NY 11791				
	Total			\$42,947,317.11	

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