08-01420-scc Doc 8207 Filed 02/07/14 Entered 02/07/14 20:56:13 Main Document Pg 16 of 68

## IN RE LEHMAN BROTHERS INC., CASE NO: 08-01420 (SCC) SIPA TWO HUNDRED FOURTH OMNIBUS OBJECTION TO GENERAL CREDITOR CLAIMS: EXHIBIT A - INSUFFICIENT DOCUMENTATION CLAIMS

	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE
1	AEGON LIFE INSURANCE (TAIWAN) INC. ATTN: JAMES K. SCHAEFFER 230 W. MONROE, SUITE 1450 CHICAGO, IL 60606	5233	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
2	AIG SUNAMERICA LIFE ASSURANCE COMPANY ATTN: PETER DEFAZIO / GERALD F. HERMAN C/O AIG GLOBAL INVESTMENT CORP. 2929 ALLEN PARKWAY, A36-01 HOUSTON, TX 77019	5217	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
3	AMERICAN AIRLINES PILOT RETIREMENT BENEFIT PROGRAM FIXED INCOME PLAN, THE AMERICAN AIRLINES, INC. ATTN: KATHRYN L. KOORENNY 4333 AMON CARTER BLVD. MD 5675, 6W5C76 FORT WORTH, TX 76155	5768	6/1/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
4	AMERICAN GENERAL LIFE INSURANCE COMPANY ATTN: PETER DEFAZIO / GERALD F. HERMAN C/O AIG GLOBAL INVESTMENT CORP. 2929 ALLEN PARKWAY, A36-01 HOUSTON, TX 77019	5220	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
5	AMERICAN INTERNATIONAL LIFE ASSURANCE COMPANY OF NEW YORK ATTN: PETER DEFAZIO / GERALD F. HERMAN C/O AIG GLOBAL INVESTMENT CORP. 2929 ALLEN PARKWAY, A36-01 HOUSTON, TX 77019	5214	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.

<sup>\*</sup> Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as "unknown", "\$0.00\*", "unascertainable", "undetermined", or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

		CLAIM		TOTAL CLAIM	TRUSTEE'S REASON FOR PROPOSED
	NAME / ADDRESS OF CLAIMANT	NUMBER	DATE FILED	DOLLARS	DISALLOWANCE
6	AMERICAN INVESTORS LIFE INSURANCE	5223	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	COMPANY, INC.				DOCUMENTATION. CLAIM IS DUPLICATIVE
	ATTN: SHANI DORN				OF INDENTURE TRUSTEE CLAIM.
	AVIVA INVESTORS NORTH AMERICA, INC.				
	699 WALNUT STREET, SUITE 1700				
	DES MOINES, IA 50309		- (- 0 (- 0 0 0		
7	AVIVA LIFE AND ANNUITY COMPANY	5222	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: SHANI DORN				DOCUMENTATION. CLAIM IS DUPLICATIVE
	AVIVA INVESTORS NORTH AMERICA, INC.				OF INDENTURE TRUSTEE CLAIM.
	699 WALNUT STREET, SUITE 1700				
8	DES MOINES, IA 50309	5206	5/29/2009	UNSPECIFIED*	CLAIM LACKE CHEEKIENT
8	AXA EQUITABLE LIFE INSURANCE COMPANY ATTN: MICHAEL SOHR	3206	3/29/2009	UNSPECIFIED	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE
	ALLIANCEBERNSTEIN				OF INDENTURE TRUSTEE CLAIM.
	1345 AVE OF THE AMERICAS				OF INDENTURE TRUSTEE CLAIM.
	NEW YORK, NY 10105				
9	BT INSTITUTIONAL HEDGED GLOBAL BOND FUND	5208	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: DEBRA SVOBODA EPP				DOCUMENTATION. CLAIM IS DUPLICATIVE
	C/O PRINCIPAL GLOBAL INVESTORS, LLC				OF INDENTURE TRUSTEE CLAIM.
	711 HIGH STREET				
	DES MOINES, IA 50392-0800				
10	CANADA LIFE ASSURANCE COMPANY, THE	5239	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: JANET HURKETT				DOCUMENTATION. CLAIM IS DUPLICATIVE
	8515 E. ORCHARD ROAD				OF INDENTURE TRUSTEE CLAIM.
	GREENWOOD VILLAGE, CO 80111				
11	CANADA LIFE INSURANCE COMPANY OF AMERICA	5242	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: JANET HURKETT				DOCUMENTATION. CLAIM IS DUPLICATIVE
	8515 E. ORCHARD ROAD				OF INDENTURE TRUSTEE CLAIM.
	GREENWOOD VILLAGE, CO 80111		****		
12	CENTRAL STATES HEALTH & LIFE CO. OF OMAHA	5717	6/1/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: JAMIE M. AMODEO				DOCUMENTATION. CLAIM IS DUPLICATIVE
	1212 NO. 96TH STREET				OF INDENTURE TRUSTEE CLAIM.
	OMAHA, NE 68114				

<sup>\*</sup> Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as "unknown", "\$0.00\*", "unascertainable", "undetermined", or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE
13	COLONIAL LIFE & ACCIDENT INSURANCE COMPANY ATTN: BEN S. MILLER C/O PROVIDENT INVESTMENT MANAGEMENT, LLC 1 FOUNTAIN SQUARE CHATTANOOGA, TN 37402	5229	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
14	COUNTRY LIFE INSURANCE COMPANY ATTN: MARK J. BURNS 1705 N. TOWANDA AVE. BLOOMINGTON, IL 61702	5237	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
15	CSA FRATERNAL LIFE INSURANCE AAM/CSA FRATERNAL INSURANCE E. CO. ATTN: GEORGE J SOVA 122 W. 22ND ST. OAKBROOK, IL 60523-1598	5536	5/30/2009	\$1,000,000.00	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
16	FIRST GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY ATTN: JANET HURKETT 8515 E. ORCHARD ROAD GREENWOOD VILLAGE, CO 80111	5241	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
17	FIRST SUNAMERICA LIFE INSURANCE COMPANY ATTN: PETER DEFAZIO / GERALD F. HERMAN C/O AIG GLOBAL INVESTMENT CORP. 2929 ALLEN PARKWAY, A36-01 HOUSTON, TX 77019	5216	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
18	GENERAL AMERICAN LIFE INSURANCE COMPANY ATTN: CLAUDIA CROMIE C/O METROPOLITAN LIFE INSURANCE COMPANY 10 PARK AVENUE, P.O. BOX 1902 MORRISTOWN, NJ 07962-1902	5227	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
19	GREAT-WEST LIFE & ANNUITY INSURANCE COMPANY ATTN: JANET HURKETT 8515 E. ORCHARD ROAD GREENWOOD VILLAGE, CO 80111	5240	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.

<sup>\*</sup> Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as "unknown", "\$0.00\*", "unascertainable", "undetermined", or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE
20	GUARANTEE TRUST LIFE	5716	6/1/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: ART FESS				DOCUMENTATION. CLAIM IS DUPLICATIVE
	1275 MILWAUKEE AVE.				OF INDENTURE TRUSTEE CLAIM.
	GLENVIEW, IL 60025				
21	JACKSON NATIONAL LIFE INSURANCE COMPANY	5231	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: PPM AMERICA, AS ATTORNEY-IN-FACT				DOCUMENTATION. CLAIM IS DUPLICATIVE
	225 WEST WACKER, SUITE 1200				OF INDENTURE TRUSTEE CLAIM.
	CHICAGO, IL 60606				
22	JOHN HANCOCK LIFE INSURANCE COMPANY	5333	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	(U.S.A.)				DOCUMENTATION. CLAIM IS DUPLICATIVE
	JOHN HANCOCK FINANCIAL SERVICES				OF INDENTURE TRUSTEE CLAIM.
	ATTN: MICHAEL BROWN, ESQ.				
	197 CLARENDON STREET, C-3				
	BOSTON, MA 02116				
23	JOHN HANCOCK LIFE INSURANCE COMPANY	5336	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	JOHN HANCOCK FINANCIAL SERVICES				DOCUMENTATION. CLAIM IS DUPLICATIVE
	ATTN: MICHAEL BROWN, ESQ.				OF INDENTURE TRUSTEE CLAIM.
	197 CLARENDON STREET, C-3				
	BOSTON, MA 02116				
24	JOHN HANCOCK VARIABLE LIFE INSURANCE	5334	5/29/2009	UNSPECIFIED*	
	COMPANY				DOCUMENTATION. CLAIM IS DUPLICATIVE
	JOHN HANCOCK FINANCIAL SERVICES				OF INDENTURE TRUSTEE CLAIM.
	ATTN: MICHAEL BROWN, ESQ.				
	197 CLARENDON STREET, C-3				
-27	BOSTON, MA 02116		5 /1 / <b>3</b> 0 0 0	Th table differ it	CV A D A V A CV CO
25	LIBERTY BANKERS LIFE INSURANCE COMPANY	5761	6/1/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: THOMAS HAYDEN				DOCUMENTATION. CLAIM IS DUPLICATIVE
	1605 LBJ FREEWAY				OF INDENTURE TRUSTEE CLAIM.
2.5	DALLAS, TX 75234	<b>700</b> 5	# IQQ IQQQQ	Thropiconies :	CV A DAVA A CAVIC CAVIDENCIES VIII
26	MEDICO INSURANCE COMPANY	5036	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN PATRICIA KEAIRNES				DOCUMENTATION. CLAIM IS DUPLICATIVE
	1515 SOUTH 75TH STREET				OF INDENTURE TRUSTEE CLAIM.
	OMAHA, NE 68124				

<sup>\*</sup> Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as "unknown", "\$0.00\*", "unascertainable", "undetermined", or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

27	NAME / ADDRESS OF CLAIMANT  METLIFE INSURANCE COMPANY OF CONNECTICUT, SEPARATE ACCOUNT STRUCTURED SETTLEMENT FUND (SSF) ATTN: CLAUDIA CROMIE C/O METROPOLITAN LIFE INSURANCE COMPANY 10 PARK AVENUE, P.O. BOX 1902	CLAIM NUMBER 5226	DATE FILED 5/29/2009	TOTAL CLAIM DOLLARS UNSPECIFIED*	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
28	MORRISTOWN, NJ 07962-1902  METLIFE INSURANCE COMPANY OF CONNECTICUT ATTN: CLAUDIA CROMIE C/O METROPOLITAN LIFE INSURANCE COMPANY 10 PARK AVENUE, P.O. BOX 1902 MORRISTOWN, NJ 07962-1902	5225	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
29	METROPOLITAN LIFE INSURANCE COMPANY ATTN: CLAUDIA CROMIE 10 PARK AVENUE, P.O. BOX 1902 MORRISTOWN, NJ 07962-1902	5224	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
30	MONUMENTAL LIFE INSURANCE COMPANY ATTN: JAMES K. SCHAEFFER 230 W. MONROE, SUITE 1450 CHICAGO, IL 60606	5236	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
31	MONY LIFE INSURANCE COMPANY OF AMERICA ATTN: MICHAEL SOHR ALLIANCEBERNSTEIN 1345 AVE OF THE AMERICAS NEW YORK, NY 10105	5205	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
32	MONY LIFE INSURANCE COMPANY ATTN: MICHAEL SOHR ALLIANCEBERNSTEIN 1345 AVE OF THE AMERICAS NEW YORK, NY 10105	5204	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
33	MUNICH AMERICAN REASSURANCE COMPANY ATTN: J. SWEENEY 56 PERIMETER CTR. EAST ATLANTA, GA 30346-2290	5238	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.

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	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE
34	PAUL REVERE VARIABLE ANNUITY INSURANCE COMPANY, THE ATTN: BEN S. MILLER C/O PROVIDENT INVESTMENT MANAGEMENT, LLC 1 FOUNTAIN SQUARE CHATTANOOGA, TN 37402	5230	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
35	PRINCIPAL LIFE INSURANCE COMPANY ATTN: DEBRA SVOBODA EPP C/O PRINCIPAL GLOBAL INVESTORS, LLC 711 HIGH STREET DES MOINES, IA 50392-0800	5207	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
36	RETIREMENT BENEFIT PLAN OF AMERICAN AIRLINES, INC. FOR FLIGHT ATTENDANTS, THE AMERICAN AIRLINES, INC. ATTN: KATHRYN L. KOORENNY 4333 AMON CARTER BLVD. MD 5675, 6W5C76 FORT WORTH, TX 76155	5767	6/1/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
37	RETIREMENT BENEFIT PLAN OF AMERICAN AIRLINES, INC., THE FOR AGENTS, MANAGEMENT, SPECIALISTS, SUPPORT PERSONNEL AND OFFICERS AMERICAN AIRLINES, INC. ATTN: KATHRYN L. KOORENNY 4333 AMON CARTER BLVD. MD 5675, 6W5C76 FORT WORTH, TX 76155	5766	6/1/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
38	RETIREMENT BENEFIT PLAN OF AMERICAN AIRLINES, INC., THE FOR EMPLOYEES REPRESENTED BY THE TRANSPORT WORKERS UNION (TWTU) OF AMERICA, AFL-CIO AMERICAN AIRLINES, INC. ATTN: KATHRYN L. KOORENNY 4333 AMON CARTER BLVD., MD 5675, 6W5C76 FORT WORTH, TX 76155	6392	6/1/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.

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	NAME / ADDRESS OF CLAIMANT	CLAIM	DATE FILED	TOTAL CLAIM	TRUSTEE'S REASON FOR PROPOSED
	TARRIET TABBRESS OF CERTIFICATION	NUMBER	DATETILLD	DOLLARS	DISALLOWANCE
39	SCOTTISH ANNUITY & LIFE INSURANCE COMPANY	5141	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	(CAYMAN) LTD.				DOCUMENTATION. CLAIM IS DUPLICATIVE
	C/O SCOTTISH RE				OF INDENTURE TRUSTEE CLAIM.
	ATTN: BRIAN TRUST, SR VP, INVESTMENTS				
	13840 BALLANTYNE CORPORATE PLACE, SUITE 500				
	CHARLOTTE, NC 28277				
40	SCOTTISH ANNUITY NATIONWIDE 1YR	5209	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: MICHAEL ZORICH				DOCUMENTATION. CLAIM IS DUPLICATIVE
	C/O PRINCIPAL GLOBAL INVESTORS, LLC				OF INDENTURE TRUSTEE CLAIM.
	711 HIGH STREET				
	DES MOINES, IA 50392-0800				
41	SCOTTISH ANNUITY NATIONWIDE 5YR	5210	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: MICHAEL ZORICH				DOCUMENTATION. CLAIM IS DUPLICATIVE
	C/O PRINCIPAL GLOBAL INVESTORS, LLC				OF INDENTURE TRUSTEE CLAIM.
	711 HIGH STREET				
	DES MOINES, IA 50392-0800				
42	SCOTTISH ANNUITY RE CREDIT	5212	5/29/2009	UNSPECIFIED*	
	ATTN: MICHAEL ZORICH				DOCUMENTATION. CLAIM IS DUPLICATIVE
	C/O PRINCIPAL GLOBAL INVESTORS, LLC				OF INDENTURE TRUSTEE CLAIM.
	711 HIGH STREET				
	DES MOINES, IA 50392-0800	5011	# IQQ IQQQQ	Th tabe are to	CV A VA A A CAVIC CAVICTORIAN IN
43	SCOTTISH RE US CAPITAL CREDIT	5211	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	ATTN: MICHAEL ZORICH				DOCUMENTATION. CLAIM IS DUPLICATIVE
	C/O PRINCIPAL GLOBAL INVESTORS, LLC				OF INDENTURE TRUSTEE CLAIM.
	711 HIGH STREET				
4.4	DES MOINES, IA 50392-0800	5213	5/29/2009	TIMODECTERD	CLAIM LACKS SUFFICIENT
44	STATE OF TN/TCRS	5213	5/29/2009	UNSPECIFIED*	
	ATTN: JAMIE LYNN THOMPSON 502 DEADERICK STREET, SUITE 1160				DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
	· · · · · · · · · · · · · · · · · · ·				OF INDENTURE TRUSTEE CLAIM.
45	NASHVILLE, TN 37243-0209 SUNAMERICA LIFE INSURANCE COMPANY	5221	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
43		3221	3/29/2009	UNSPECIFIED	
	ATTN: PETER DEFAZIO / GERALD F. HERMAN C/O AIG GLOBAL INVESTMENT CORP.				DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
	2929 ALLEN PARKWAY, A36-01				OF INDENTURE TRUSTEE CLAIM.
	•				
	HOUSTON, TX 77019				

<sup>\*</sup> Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as "unknown", "\$0.00\*", "unascertainable", "undetermined", or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE
46	TRANSAMERICA LIFE BERMUDA, LTD. ATTN: JAMES K. SCHAEFFER 230 W. MONROE, SUITE 1450 CHICAGO, IL 60606	5235	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
47	TRANSAMERICA LIFE INSURANCE COMPANY F/K/A LIFE INVESTORS INSURANCE COMPANY OF AMERICA ATTN: JAMES K. SCHAEFFER 230 W. MONROE, SUITE 1450 CHICAGO, IL 60606	5232	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
48	TRANSAMERICA LIFE INSURANCE COMPANY ATTN: JAMES K. SCHAEFFER 230 W. MONROE, SUITE 1450 CHICAGO, IL 60606	5234	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
49	UMWA 1974 PENSION TRUST C/O BARBARA E. LOCKLIN, ESQ. UMWA HEALTH & RETIREMENT FUNDS 2121 K STREET NW, SUITE 350 WASHINGTON, DC 20037	5956	6/3/2009	\$720,000.00	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
50	UNITED STATES LIFE INSURANCE COMPANY IN THE CITY OF NEW YORK, THE ATTN: PETER DEFAZIO / GERALD F. HERMAN C/O AIG GLOBAL INVESTMENT CORP. 2929 ALLEN PARKWAY, A36-01 HOUSTON, TX 77019	5218	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
51	UNUM LIFE INSURANCE COMPANY OF AMERICA ATTN: BEN S. MILLER C/O PROVIDENT INVESTMENT MANAGEMENT, LLC 1 FOUNTAIN SQUARE CHATTANOOGA, TN 37402	5228	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.
52	VARIABLE ANNUITY LIFE INSURANCE COMPANY, THE ATTN: PETER DEFAZIO / GERALD F. HERMAN C/O AIG GLOBAL INVESTMENT CORP. 2929 ALLEN PARKWAY, A36-01 HOUSTON, TX 77019	5219	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT DOCUMENTATION. CLAIM IS DUPLICATIVE OF INDENTURE TRUSTEE CLAIM.

<sup>\*</sup> Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as "unknown", "\$0.00\*", "unascertainable", "undetermined", or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.

	NAME / ADDRESS OF CLAIMANT	CLAIM NUMBER	DATE FILED	TOTAL CLAIM DOLLARS	TRUSTEE'S REASON FOR PROPOSED DISALLOWANCE
53	WELLS FARGO BANK, N.A. AS INDENTURE	5266	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	TRUSTEE				DOCUMENTATION.
	299 SOUTH MAIN STREET 12TH FLOOR				
	ATTN: VAL T. ORTON				
	SALT LAKE CITY, UT 84111				
54	WESTERN NATIONAL LIFE INSURANCE COMPANY	5215	5/29/2009	UNSPECIFIED*	CLAIM LACKS SUFFICIENT
	F/K/A AIG ANNUITY INSURANCE COMPANY				DOCUMENTATION. CLAIM IS DUPLICATIVE
	ATTN: PETER DEFAZIO / GERALD F. HERMAN				OF INDENTURE TRUSTEE CLAIM.
	C/O AIG GLOBAL INVESTMENT CORP.				
	2929 ALLEN PARKWAY, A36-01				
	HOUSTON, TX 77019				
	Total			\$1,720,000.00	

<sup>\*</sup> Claim includes unspecified amounts (i.e., amounts not specified by the claimant, amounts listed in a foreign currency, unliquidated amounts and/or amounts listed as "unknown", "\$0.00\*", "unascertainable", "undetermined", or where no dollar amounts were entered in the spaces provided on the proof of claim form), or is a customer claim reclassified to a general creditor claim, which, consistent with the general creditor claims register, is listed as unspecified even where the claimant listed a specific amount on the SIPC customer claim form.