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B6 Summary (Official Form 6 - Summary) (12/14)

# **United States Bankruptcy Court**District of Connecticut

| In re | Thornton & Co., Inc. |        | Case No <b>15-</b> 2 | 21416 |
|-------|----------------------|--------|----------------------|-------|
| -     |                      | Debtor |                      |       |
|       |                      |        | Chapter              | 11    |
|       |                      |        | <u> </u>             |       |

### SUMMARY OF SCHEDULES

Indicate as to each schedule whether that schedule is attached and state the number of pages in each. Report the totals from Schedules A, B, D, E, F, I, and J in the boxes provided. Add the amounts from Schedules A and B to determine the total amount of the debtor's assets. Add the amounts of all claims from Schedules D, E, and F to determine the total amount of the debtor's liabilities. Individual debtors must also complete the "Statistical Summary of Certain Liabilities and Related Data" if they file a case under chapter 7, 11, or 13.

| NAME OF SCHEDULE  | ATTACHED<br>(YES/NO) | NO. OF<br>SHEETS | ASSETS            | LIABILITIES   | OTHER |
|---|----------------------|------------------|-------------------|---------------|-------|
| A - Real Property   | Yes                  | 1                | 0.00              |               |       |
| B - Personal Property   | Yes                  | 5                | 29,315,373.58     |               |       |
| C - Property Claimed as Exempt  | No                   | 0                |                   |               |       |
| D - Creditors Holding Secured Claims  | Yes                  | 1                |                   | 20,578,812.14 |       |
| E - Creditors Holding Unsecured Priority Claims (Total of Claims on Schedule E) | Yes                  | 8                |                   | 253,700.59    |       |
| F - Creditors Holding Unsecured<br>Nonpriority Claims                           | Yes                  | 28               |                   | 11,400,188.25 |       |
| G - Executory Contracts and<br>Unexpired Leases                                 | Yes                  | 1                |                   |               |       |
| H - Codebtors   | Yes                  | 1                |                   |               |       |
| I - Current Income of Individual<br>Debtor(s)                                   | No                   | 0                |                   |               | N/A   |
| J - Current Expenditures of Individual Debtor(s)                                | No                   | 0                |                   |               | N/A   |
| Total Number of Sheets of ALL Schedu  | ıles                 | 45               |                   |               |       |
|   | T                    | otal Assets      | 29,315,373.58     |               |       |
|   |                      | ١                | Total Liabilities | 32,232,700.98 |       |

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B 6 Summary (Official Form 6 - Summary) (12/14)

# **United States Bankruptcy Court**District of Connecticut

| Thornton & Co., Inc.  |                                    | Case No             | 15-21416             |               |
|---|------------------------------------|---------------------|----------------------|---------------|
| D   | ebtor ,                            | CI.                 |                      |               |
|   |                                    | Chapter             | 11                   |               |
| STATISTICAL SUMMARY OF CERTAIN LIA  | BILITIES AN                        | D RELATED           | DATA (28 U.S         | .C. § 159     |
| f you are an individual debtor whose debts are primarily consumer delacase under chapter 7, 11 or 13, you must report all information reque | ots, as defined in § 1 sted below. | 01(8) of the Bankru | uptcy Code (11 U.S.C | § 101(8)), fi |
| ☐ Check this box if you are an individual debtor whose debts are is report any information here.  | NOT primarily consu                | mer debts. You are  | e not required to    |               |
| This information is for statistical purposes only under 28 U.S.C. §<br>Summarize the following types of liabilities, as reported in the Sch |                                    | em.                 |                      |               |
| Type of Liability   | Amount                             |                     |                      |               |
| Domestic Support Obligations (from Schedule E)  |                                    |                     |                      |               |
| Taxes and Certain Other Debts Owed to Governmental Units (from Schedule E)  |                                    |                     |                      |               |
| Claims for Death or Personal Injury While Debtor Was Intoxicated (from Schedule E) (whether disputed or undisputed)                         |                                    |                     |                      |               |
| Student Loan Obligations (from Schedule F)  |                                    |                     |                      |               |
| Domestic Support, Separation Agreement, and Divorce Decree<br>Obligations Not Reported on Schedule E  |                                    |                     |                      |               |
| Obligations to Pension or Profit-Sharing, and Other Similar Obligations (from Schedule F)   |                                    |                     |                      |               |
| TOTAL   |                                    |                     |                      |               |
| State the following:  |                                    |                     |                      |               |
| Average Income (from Schedule I, Line 12)   |                                    |                     |                      |               |
| Average Expenses (from Schedule J, Line 22)   |                                    |                     |                      |               |
| Current Monthly Income (from Form 22A-1 Line 11; OR, Form 22B Line 14; OR, Form 22C-1 Line 14)  |                                    |                     |                      |               |
| State the following:  |                                    |                     |                      |               |
| Total from Schedule D, "UNSECURED PORTION, IF ANY" column   |                                    |                     |                      |               |
| 2. Total from Schedule E, "AMOUNT ENTITLED TO PRIORITY" column  |                                    |                     |                      |               |
| 3. Total from Schedule E, "AMOUNT NOT ENTITLED TO PRIORITY, IF ANY" column  |                                    |                     |                      |               |
| 4. Total from Schedule F  |                                    |                     |                      |               |
| 5. Total of non-priority unsecured debt (sum of 1, 3, and 4)  |                                    |                     |                      |               |

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B6A (Official Form 6A) (12/07)

| In re | Thornton & Co., Inc. | Case No. <u>15-21416</u> |
|-------|----------------------|--------------------------|
| _     |                      | Debtor                   |

### **SCHEDULE A - REAL PROPERTY**

Except as directed below, list all real property in which the debtor has any legal, equitable, or future interest, including all property owned as a cotenant, community property, or in which the debtor has a life estate. Include any property in which the debtor holds rights and powers exercisable for the debtor's own benefit. If the debtor is married, state whether husband, wife, both, or the marital community own the property by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the debtor holds no interest in real property, write "None" under "Description and Location of Property."

Do not include interests in executory contracts and unexpired leases on this schedule. List them in Schedule G - Executory Contracts and Unexpired Leases.

If an entity claims to have a lien or hold a secured interest in any property, state the amount of the secured claim. See Schedule D. If no entity claims to hold a secured interest in the property, write "None" in the column labeled "Amount of Secured Claim." If the debtor is an individual or if a joint petition is filed, state the amount of any exemption claimed in the property only in Schedule C - Property Claimed as Exempt.

Description and Location of Property

Nature of Debtor's Interest in Property

Nature of Debtor's Interest in Property

Nature of Debtor's Interest in Property, without Deducting any Secured Claim or Exemption

Amount of Secured Claim

None

Sub-Total > **0.00** (Total of this page)

Total > **0.00** 

(Report also on Summary of Schedules)

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B6B (Official Form 6B) (12/07)

| In re | Thornton & Co., Inc. |        | Case No. | 15-21416 |  |
|-------|----------------------|--------|----------|----------|--|
| _     |                      | Debtor |          |          |  |

### SCHEDULE B - PERSONAL PROPERTY

Except as directed below, list all personal property of the debtor of whatever kind. If the debtor has no property in one or more of the categories, place an "x" in the appropriate position in the column labeled "None." If additional space is needed in any category, attach a separate sheet properly identified with the case name, case number, and the number of the category. If the debtor is married, state whether husband, wife, both, or the marital community own the property by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the debtor is an individual or a joint petition is filed, state the amount of any exemptions claimed only in Schedule C - Property Claimed as Exempt.

Do not list interests in executory contracts and unexpired leases on this schedule. List them in Schedule G - Executory Contracts and Unexpired Leases.

If the property is being held for the debtor by someone else, state that person's name and address under "Description and Location of Property." If the property is being held for a minor child, simply state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

|    | Type of Property   | N<br>O<br>N<br>E | Description and Location of Property   | Husband,<br>Wife,<br>Joint, or<br>Community | Current Value of<br>Debtor's Interest in Property,<br>without Deducting any<br>Secured Claim or Exemption |
|----|--|------------------|--|---|---|
| 1. | Cash on hand   | X                |  |   |   |
| 2. | Checking, savings or other financial   |                  | Securities Accounts - RM Financial Services  | -   | 529,219.53  |
|    | accounts, certificates of deposit, or<br>shares in banks, savings and loan,<br>thrift, building and loan, and<br>homestead associations, or credit |                  | Checking Account -3456 (Deposit Account) with People's United Bank   | -   | 720,727.39  |
|    | unions, brokerage houses, or cooperatives.   |                  | Checking -6445 (Operating) with People's United Bank   | -   | 0.00  |
|    |  |                  | Checking -6444 (Concentration Account) with People's United Bank   | -   | 0.00  |
| 3. | Security deposits with public utilities, telephone companies, landlords, and others.   | X                |  |   |   |
| 4. | Household goods and furnishings, including audio, video, and computer equipment.   | Х                |  |   |   |
| 5. | Books, pictures and other art<br>objects, antiques, stamp, coin,<br>record, tape, compact disc, and<br>other collections or collectibles.          | X                |  |   |   |
| 6. | Wearing apparel.   | X                |  |   |   |
| 7. | Furs and jewelry.  | X                |  |   |   |
| 8. | Firearms and sports, photographic, and other hobby equipment.  | X                |  |   |   |
| 9. | Interests in insurance policies.<br>Name insurance company of each<br>policy and itemize surrender or<br>refund value of each.                     |                  | Domestic Export Credit Insurance Policy with AIG, Policy No. 5606-2253 (see attached declaration page). (No cash value, listed for reporting purposes only.) | -   | 0.00  |

Sub-Total > **1,249,946.92** (Total of this page)

<sup>4</sup> continuation sheets attached to the Schedule of Personal Property

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B6B (Official Form 6B) (12/07) - Cont.

| In re | Thornton & Co., Inc. | Case No <b>15-21416</b> |  |
|-------|----------------------|-------------------------|--|
|       |                      |                         |  |

Debtor

### **SCHEDULE B - PERSONAL PROPERTY**

(Continuation Sheet)

| Type of Property  | N O Description and Location of Property E  | Husband,<br>Wife,<br>Joint, or<br>Community | Current Value of<br>Debtor's Interest in Property<br>without Deducting any<br>Secured Claim or Exemption |
|---|---|---|--|
|   | Employment practices liability coverage policy with The Hartford, Policy # 02-SBA-EH9352-SB (see attached declaration page). (No cash value, listed for reporting purposes only.) |   | 0.00   |
|   | Property insurance policy with The Hartford, Policy # 02-MS-HX3546 (see attached declaration page) (No cash value, listed for reporting purposes only.)                           |   | 0.00   |
|   | Employment practices liability policy with The Hartford, policy # 106171135 (see attached declaration page). (No cash value, listed for reporting purposes only.)                 | -   | 0.00   |
|   | Connecticare health insurance plan (see attached). (No cash value, listed for reporting purposes only.)   | -   | 0.00   |
|   | Worker's compensation policy with The Hartford, policy # 02-WEC-EO1541 (see attached declaration page). (No cash value, listed for reporting purposes only.)                      | -   | 0.00   |
|   | Debtor is the beneficiary of a life insurance policy on the life of J. Paul Thornton, Jr. (see attached declaration page)   | -   | 22,470.00  |
| 10. Annuities. Itemize and name each issuer.  | x   |   |  |
| 11. Interests in an education IRA as defined in 26 U.S.C. § 530(b)(1) or under a qualified State tuition plan as defined in 26 U.S.C. § 529(b)(1). Give particulars. (File separately the record(s) of any such interest(s). 11 U.S.C. § 521(c).) | X   |   |  |
| 12. Interests in IRA, ERISA, Keogh, or other pension or profit sharing plans. Give particulars.   | x   |   |  |
| 13. Stock and interests in incorporated and unincorporated businesses. Itemize.   | 40% ownership interest in MPD, LLC (Non-operating business.)  | -   | 0.00   |
| 14. Interests in partnerships or joint ventures. Itemize.   | X   |   |  |
|   |   |   |  |
|   | (Total  | Sub-Tot of this page)                       | al > <b>22,470.00</b>  |

Sheet <u>1</u> of <u>4</u> continuation sheets attached to the Schedule of Personal Property

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B6B (Official Form 6B) (12/07) - Cont.

| In re | Thornton & Co., Inc. | Case No | 15-21416 |
|-------|----------------------|---------|----------|
|       |                      |         |          |

Debtor

### **SCHEDULE B - PERSONAL PROPERTY**

(Continuation Sheet)

|     | Type of Property   | N<br>O<br>N<br>E | Description and Location of Property  | Husband,<br>Wife,<br>Joint, or<br>Community | Current Value of<br>Debtor's Interest in Property<br>without Deducting any<br>Secured Claim or Exemption |
|-----|--|------------------|---|---|--|
| 15. | Government and corporate bonds and other negotiable and nonnegotiable instruments.   | X                |   |   |  |
| 16. | Accounts receivable.   |                  | Accounts Receivable (Accounts receiveable aging detail attached hereto).  | -   | 14,387,514.08  |
| 17. | Alimony, maintenance, support, and property settlements to which the debtor is or may be entitled. Give particulars.   | X                |   |   |  |
| 18. | Other liquidated debts owed to debtor  |                  | Shareholder loan to J. Paul Thornton III  | -   | 65,641.00  |
|     | including tax refunds. Give particulars.   |                  | Shareholder loan to J. Paul Thornton, Jr.   | -   | 217,680.00   |
|     |  |                  | Note receivable from J. Paul Thornton, Jr.  | -   | 89,326.00  |
|     |  |                  | Note receivable from J. Paul Thornton, Jr.  | -   | 228,940.00   |
| 19. | Equitable or future interests, life estates, and rights or powers exercisable for the benefit of the debtor other than those listed in Schedule A - Real Property. | X                |   |   |  |
| 20. | Contingent and noncontingent interests in estate of a decedent, death benefit plan, life insurance policy, or trust.   | X                |   |   |  |
| 21. | Other contingent and unliquidated  |                  | Potential claims against People's United Bank, NA   | -   | Unknown  |
|     | claims of every nature, including tax refunds, counterclaims of the debtor, and rights to setoff claims. Give estimated value of each.                             |                  | Potential claims against Westlake Petrochemicals re: wrongful diversion of railcars containing inventory belonging to debtor, lost profit and incidental damages related thereto                                    | -   | Unknown  |
|     |  |                  | Potential claims against Formosa Plastics Corp. re: wrongful diversion of railcars containing inventory belonging to debtor, lost profit and incidental damages related thereto                                     | -   | Unknown  |
|     |  |                  | Claims against Polymer Trading/Linda Meyer, asserted in lawsuit captioned Thornton and Company, Inc. v. Polymer Trading, USA, LLC, Case No. 4:14-cv-00359, brought in US District Court, Southern District of Texas | -   | Unknown  |

Sub-Total > **14,989,101.08** (Total of this page)

Sheet <u>2</u> of <u>4</u> continuation sheets attached to the Schedule of Personal Property

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B6B (Official Form 6B) (12/07) - Cont.

| In re | Thornton & Co., Inc. | Case No. | 15-21416 |
|-------|----------------------|----------|----------|
|       |                      |          |          |

Debtor

## SCHEDULE B - PERSONAL PROPERTY (Continuation Sheet)

|     |   | (Continuation Sheet)   |   |   |
|-----|---|--|---|---|
|     | Type of Property  | N O Description and Location of Property E   | Husband,<br>Wife,<br>Joint, or<br>Community | Current Value of<br>Debtor's Interest in Property<br>without Deducting any<br>Secured Claim or Exemptio |
|     |   | Breach of contract claims against Gracious Living Corp., as asserted in lawsuit captioned Thornton and Company, Inc. v. Gracious Living Corporation, Court file no. cv-15-526847, currently pending in Ontario Superior Court of Justice (Canada). | -   | Unknown   |
| 22. | Patents, copyrights, and other intellectual property. Give particulars.   | Trademarks, logo, etc.   | -   | Unknown   |
| 23. | Licenses, franchises, and other general intangibles. Give particulars.  | x  |   |   |
| 24. | Customer lists or other compilations containing personally identifiable information (as defined in 11 U.S.C. § 101(41A)) provided to the debtor by individuals in connection with obtaining a product or service from the debtor primarily for personal, family, or household purposes. | Customer lists   | -   | Unknown   |
| 25. | Automobiles, trucks, trailers, and other vehicles and accessories.  | x  |   |   |
| 26. | Boats, motors, and accessories.   | x  |   |   |
| 7.  | Aircraft and accessories.   | х  |   |   |
| 8.  | Office equipment, furnishings, and supplies.  | Misc. Office Equipment (Value based on personal property tax declaration)  | -   | 176,202.00  |
| 9.  | Machinery, fixtures, equipment, and supplies used in business.  | X  |   |   |
| 0.  | Inventory.  | Inventory (book value as of petition date, see attached)   | -   | 12,185,510.00   |
| 1.  | Animals.  | x  |   |   |
| 32. | Crops - growing or harvested. Give particulars.   | x  |   |   |
| 3.  | Farming equipment and implements.   | x  |   |   |
| 84. | Farm supplies, chemicals, and feed.   | x  |   |   |
|     |   | (Total   | Sub-Total of this page)                     | al > 12,361,712.00  |

Sheet <u>3</u> of <u>4</u> continuation sheets attached to the Schedule of Personal Property

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B6B (Official Form 6B) (12/07) - Cont.

| In re | Thornton & Co., Inc. | Case N | No | 15-21416 |  |
|-------|----------------------|--------|----|----------|--|
|       | <u> </u>             |        |    |          |  |

Debtor

### **SCHEDULE B - PERSONAL PROPERTY**

(Continuation Sheet)

| Type of Property   | N O Description and Location of Property E   | Husband,<br>Wife,<br>Joint, or<br>Community | Current Value of<br>Debtor's Interest in Property,<br>without Deducting any<br>Secured Claim or Exemption |
|--|--|---|---|
| 35. Other personal property of any kind not already listed. Itemize. | Pre-Petition Retainer Paid by Debtor to Green & Sklarz, LLC, held for the benefit of the debtor.         | -   | 200,000.00  |
|  | Pre-Petition Retainer paid by Debtor to Pullman & Comley, LLC, held for the benefit of the debtor.       | -   | 150,000.00  |
|  | Pre-petition retainer paid by Debtor to Gordian Group, LLC, held for the benefit of the debtor.          | -   | 60,000.00   |
|  | Pre-petition retainer paid by debtor to Adams Samartino & Co., PC, held for the benefit of the debtor.   | -   | 40,000.00   |
|  | Debtor's funds held for the benefit of the debtor by Green & Sklarz, LLC (held in attorney trust account | -   | 242,143.58  |

Sub-Total > **692,143.58** (Total of this page)

Total > 29,315,373.58

(Report also on Summary of Schedules)

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B6D (Official Form 6D) (12/07)

| In re | Thornton & Co., Inc. |        |    | Case No. | 15-21416 |  |
|-------|----------------------|--------|----|----------|----------|--|
| _     |                      |        | _, |          |          |  |
|       |                      | Debtor |    |          |          |  |

### SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS

State the name, mailing address, including zip code, and last four digits of any account number of all entities holding claims secured by property of the debtor as of the date of filing of the petition. The complete account number of any account the debtor has with the creditor is useful to the trustee and the creditor and may be provided if the debtor chooses to do so. List creditors holding all types of secured interests such as judgment liens, garnishments, statutory liens, mortgages, deeds of trust, and other security interests.

other security interests.

List creditors in alphabetical order to the extent practicable. If a minor child is a creditor, the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m). If all secured creditors will not fit on this page, use the continuation sheet provided.

If any entity other than a spouse in a joint case may be jointly liable on a claim, place an "X" in the column labeled "Codebtor", include the entity on the appropriate schedule of creditors, and complete Schedule H - Codebtors. If a joint petition is filed, state whether the husband, wife, both of them, or the marital community may be liable on each claim by placing an "H", "W", "J", or "C" in the column labeled "Husband, Wife, Joint, or Community".

If the claim is contingent, place an "X" in the column labeled "Contingent". If the claim is unliquidated, place an "X" in the column labeled "Unliquidated". If the claim is disputed, place an "X" in the column labeled "Disputed". (You may need to place an "X" in more than one of these three columns.)

Total the columns labeled "Amount of Claim Without Deducting Value of Collateral" and "Unsecured Portion, if Any" in the boxes labeled "Total(s)" on the last sheet of the completed schedule. Report the total from the column labeled "Unsecured Portion" on the Statistical Summary of Schedules and, if the debtor is an individual with primarily consumer debts, report the total from the column labeled "Unsecured Portion" on the Statistical Summary of Certain Liabilities and Related Data.

Check this box if debtor has no creditors holding secured claims to report on this Schedule D.

Check this box if debtor has no creditors holding secured claims to report on this Schedule D.

| CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.) | CODEBTOR | H N N C | sband, Wife, Joint, or Community  DATE CLAIM WAS INCURRED,  NATURE OF LIEN, AND  DESCRIPTION AND VALUE  OF PROPERTY  SUBJECT TO LIEN  | NT LNG E | シローこのードヱこ        | DISPUTED | AMOUNT OF<br>CLAIM<br>WITHOUT<br>DEDUCTING<br>VALUE OF<br>COLLATERAL | UNSECURED<br>PORTION, IF<br>ANY |
|--|----------|---------|---|----------|------------------|----------|--|---------------------------------|
| Account No.  |          |         | First Priority Lien - All Assets  | Т        | A<br>T<br>E<br>D |          |  |                                 |
| People's United Bank<br>1 Conant Street<br>Attn: Jeffrey Giunta<br>Danvers, MA 01923                 | х        |         | All Assets Summary of debt: \$17,478,099.83 (line of credit); \$2,050,000 (over-advance); Up to \$1,000,000 (letter of creditdebtor believes amount is \$560,495.38). Amounts exclude costs, fees, and other additions.  Value \$ 29,315,373.58 |          | D                | x        | 20,578,812.14  | 0.00                            |
| Account No.  | ┢        |         | Value \$ 25,313,373.36  | $\dashv$ | -                | _        | 20,576,612.14  | 0.00                            |
| Account No.  |          |         | Value \$  |          |                  |          |  |                                 |
| Treesult 1(c).   |          |         | Value \$  |          |                  |          |  |                                 |
| Account No.  |          |         |   |          |                  |          |  |                                 |
|  |          |         | Value \$  |          |                  |          |  |                                 |
| continuation sheets attached   |          |         |   | ubto     |                  | - 1      | 20,578,812.14  | 0.00                            |
|  |          |         | (Report on Summary of Sc  |          | ota<br>ıle       | - 1      | 20,578,812.14  | 0.00                            |

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B6E (Official Form 6E) (4/13)

| In re | Thornton & Co., Inc. |        | Case No. | 15-21416 |  |
|-------|----------------------|--------|----------|----------|--|
| _     | ·                    | ,      |          |          |  |
|       |                      | Debtor |          |          |  |

### SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

A complete list of claims entitled to priority, listed separately by type of priority, is to be set forth on the sheets provided. Only holders of unsecured claims entitled to priority should be listed in this schedule. In the boxes provided on the attached sheets, state the name, mailing address, including zip code, and last four digits of the account number, if any, of all entities holding priority claims against the debtor or the property of the debtor, as of the date of the filing of the petition. Use a separate continuation sheet for each type of priority and label each with the type of priority.

The complete account number of any account the debtor has with the creditor is useful to the trustee and the creditor and may be provided if the debtor chooses to do so. If a minor child is a creditor, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

If any entity other than a spouse in a joint case may be jointly liable on a claim, place an "X" in the column labeled "Codebtor," include the entity on the appropriate schedule of creditors, and complete Schedule H-Codebtors. If a joint petition is filed, state whether the husband, wife, both of them, or the marital community may be liable on each claim by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the claim is contingent, place an "X" in the column labeled "Contingent." If the claim is unliquidated, place an "X" in the column labeled "Unliquidated." If the claim is disputed, place an "X" in the column labeled "Disputed." (You may need to place an "X" in more than one of these three columns.)

Report the total of claims listed on each sheet in the box labeled "Subtotals" on each sheet. Report the total of all claims listed on this Schedule E in the box labeled "Total" on the last sheet of the completed schedule. Report this total also on the Summary of Schedules.

Report the total of amounts entitled to priority listed on each sheet in the box labeled "Subtotals" on each sheet. Report the total of all amounts entitled to priority listed on this Schedule E in the box labeled "Totals" on the last sheet of the completed schedule. Individual debtors with primarily consumer debts report this total also on the Statistical Summary of Certain Liabilities and Related Data.

| also on the Statistical Summary of Certain Liabilities and Related Data.   |
|--|
| Report the total of amounts <u>not</u> entitled to priority listed on each sheet in the box labeled "Subtotals" on each sheet. Report the total of all amounts not entitled to priority listed on this Schedule E in the box labeled "Totals" on the last sheet of the completed schedule. Individual debtors with primarily consumer debts report this total also on the Statistical Summary of Certain Liabilities and Related Data. |
|  |
| ☐ Check this box if debtor has no creditors holding unsecured priority claims to report on this Schedule E.  |
|  |
| TYPES OF PRIORITY CLAIMS (Check the appropriate box(es) below if claims in that category are listed on the attached sheets)  |
| ☐ Domestic support obligations   |
| Claims for domestic support that are owed to or recoverable by a spouse, former spouse, or child of the debtor, or the parent, legal guardian, or responsible relative of such a child, or a governmental unit to whom such a domestic support claim has been assigned to the extent provided in 11 U.S.C. § 507(a)(1).  |
| ☐ Extensions of credit in an involuntary case  |
| Claims arising in the ordinary course of the debtor's business or financial affairs after the commencement of the case but before the earlier of the appointment of a trustee or the order for relief. 11 U.S.C. § 507(a)(3).  |
| ■ Wages, salaries, and commissions   |

Wages, salaries, and commissions, including vacation, severance, and sick leave pay owing to employees and commissions owing to qualifying independent sales representatives up to \$12,475\* per person earned within 180 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(4).

#### ☐ Contributions to employee benefit plans

Money owed to employee benefit plans for services rendered within 180 days immediately preceding the filing of the original petition, or the cessation of business, whichever occurred first, to the extent provided in 11 U.S.C. § 507(a)(5).

#### ☐ Certain farmers and fishermen

Claims of certain farmers and fishermen, up to \$6,150\* per farmer or fisherman, against the debtor, as provided in 11 U.S.C. § 507(a)(6).

#### ☐ Deposits by individuals

Claims of individuals up to \$2,775\* for deposits for the purchase, lease, or rental of property or services for personal, family, or household use, that were not delivered or provided. 11 U.S.C. § 507(a)(7).

#### ■ Taxes and certain other debts owed to governmental units

Taxes, customs duties, and penalties owing to federal, state, and local governmental units as set forth in 11 U.S.C. § 507(a)(8).

#### ☐ Commitments to maintain the capital of an insured depository institution

Claims based on commitments to the FDIC, RTC, Director of the Office of Thrift Supervision, Comptroller of the Currency, or Board of Governors of the Federal Reserve System, or their predecessors or successors, to maintain the capital of an insured depository institution. 11 U.S.C. § 507 (a)(9).

### ☐ Claims for death or personal injury while debtor was intoxicated

Claims for death or personal injury resulting from the operation of a motor vehicle or vessel while the debtor was intoxicated from using alcohol, a drug, or another substance. 11 U.S.C. § 507(a)(10).

<sup>\*</sup> Amount subject to adjustment on 4/01/16, and every three years thereafter with respect to cases commenced on or after the date of adjustment.

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| In re | Thornton & Co., Inc. |        | Case | e No | 15-21416 | _ |
|-------|----------------------|--------|------|------|----------|---|
|       |                      | Debtor | ,    |      |          |   |

### SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

(Continuation Sheet)

Wages, salaries, and commissions

TYPE OF PRIORITY

|   |                 |             |  |            |   |        | TYPE OF PRIORITY   |  |
|---|-----------------|-------------|--|------------|---|--------|--------------------|--|
| CREDITOR'S NAME,  | C               | Hu          | sband, Wife, Joint, or Community                       | C          | U   | D<br>I |                    | AMOUNT NOT   |
| AND MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions.)            | C O D E B T O R | C<br>A<br>M | DATE CLAIM WAS INCURRED<br>AND CONSIDERATION FOR CLAIM | CONTINGENT | DZ1_QD_D <fud< td=""><td>SPUTED</td><td>AMOUNT<br/>OF CLAIM</td><td>ENTITLED TO<br/>PRIORITY, IF ANY  AMOUNT ENTITLED TO PRIORITY</td></fud<> | SPUTED | AMOUNT<br>OF CLAIM | ENTITLED TO<br>PRIORITY, IF ANY  AMOUNT ENTITLED TO PRIORITY |
| Account No.   |                 |             |  | ]⊤         | E   |        |                    |  |
|   |                 |             |  | $\vdash$   | ۲   |        |                    |  |
|   |                 |             |  |            |   |        |                    | 0.00   |
|   |                 | -           |  |            |   |        |                    |  |
|   |                 |             |  |            |   |        |                    |  |
|   |                 |             |  |            |   |        | 0.00               | 0.00   |
| Account No.   | _               |             |  |            |   |        |                    |  |
| Ashley Whitehouse   |                 |             |  |            |   |        |                    |  |
|   |                 |             |  |            |   |        |                    | 0.00   |
|   |                 | -           |  |            |   |        |                    |  |
|   |                 |             |  |            |   |        | 441.03             | 441.03   |
| Account No.   | _               | <u> </u>    |  | +          |   |        | 441.03             | 441.0  |
| Account No.   |                 |             |  |            |   |        |                    |  |
| Austin Gentile  |                 |             |  |            |   |        |                    | 0.00   |
|   |                 |             |  |            |   |        |                    |  |
|   |                 | -           |  |            |   |        |                    |  |
|   |                 |             |  |            |   |        | 407.15             | 407.1  |
| Account No.   |                 |             |  |            |   |        |                    |  |
| Brett Masotta   |                 |             |  |            |   |        |                    |  |
| Brett Masotta   |                 |             |  |            |   |        |                    | 0.00   |
|   |                 | -           |  |            |   |        |                    |  |
|   |                 |             |  |            |   |        |                    |  |
|   | _               |             |  |            |   |        | 4,615.40           | 4,615.40   |
| Account No.   |                 |             |  |            |   |        |                    |  |
| Chip Stahle   |                 |             |  |            |   |        |                    |  |
|   |                 |             |  |            |   |        |                    | 0.00   |
|   |                 | -           |  |            |   |        |                    |  |
|   |                 |             |  |            |   |        | 3,168.12           | 3,168.1  |
|   | <u> </u>        | <u></u>     |  | Subt       | Ota   | 1      | 3,100.12           | 0.00   |
| Sheet <u>1</u> of <u>7</u> continuation sheets<br>Schedule of Creditors Holding Unsecured |                 |             |  |            |   |        | 8,631.70           | 8,631.70   |
| beheadle of Ciednois Holding Offsecured   | 1 1101111       | CI.         | anns (20th of t  |            |   | ,-,    | 0,031.70           | 0,031.7  |

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B6E (Official Form 6E) (4/13) - Cont.

| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

### SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS (Continuation Sheet)

|   |                 |                        |  |            |                  |      | TYPE OF PRIORITY   | ,   |
|---|-----------------|------------------------|--|------------|------------------|------|--------------------|---|
| CREDITOR'S NAME, AND MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions.) | C O D E B T O R | Hu<br>H<br>W<br>J<br>C | sband, Wife, Joint, or Community  DATE CLAIM WAS INCURRED  AND CONSIDERATION FOR CLAIM | CONTINGENT | UNLLQULDA        | UTED | AMOUNT<br>OF CLAIM | AMOUNT NOT<br>ENTITLED TO<br>PRIORITY, IF ANY<br>AMOUN<br>ENTITLED TO<br>PRIORITY |
| Account No.   |                 |                        | labor, services  | Ť          | A<br>T<br>E<br>D |      |                    |   |
| Connor Callahan   |                 | -                      |  |            |                  |      |                    | 0.00  |
| Account No.   | _               |                        |  | -          |                  |      | 1,743.08           | 1,743.08  |
| David Dineno  |                 | -                      |  |            |                  |      |                    | 0.00  |
|   |                 |                        |  |            |                  |      | 444.83             | 444.83  |
| Account No.  David Klein  |                 | -                      |  |            |                  |      | 1,150.62           | 0.00<br>1,150.62  |
| Account No.  J. Paul Thornton III   |                 | -                      |  |            |                  |      |                    | 0.00  |
| AAN-  | _               |                        |  | -          |                  |      | 5,307.69           | 5,307.69  |
| Account No.  J. Paul Thornton, Jr.  |                 | -                      |  |            |                  |      | 14,648.06          | 2,173.06<br>12,475.00   |
| Sheet <b>2</b> of <b>7</b> continuation sheets a  | ttache          | d to                   | ,  | Sub        | tota             | ıl   | .,                 | 2,173.06  |
| Schedule of Creditors Holding Unsecured F   |                 |                        |  | his        | pag              | ge)  | 23,294.28          | 21,121.2  |

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B6E (Official Form 6E) (4/13) - Cont.

| In re | Thornton & Co., Inc. |        | Case No | 15-21416 | _ |
|-------|----------------------|--------|---------|----------|---|
|       |                      | Debtor | ,       |          |   |

### SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

(Continuation Sheet)

|   |                 |              |   |            |           |        | TYPE OF PRIORITY                      | 7  |
|---|-----------------|--------------|---|------------|-----------|--------|---------------------------------------|--|
| CREDITOR'S NAME,<br>AND MAILING ADDRESS<br>INCLUDING ZIP CODE,<br>AND ACCOUNT NUMBER<br>(See instructions.) | C O D E B T O R | Hu<br>H<br>C | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM | CONTINGENT | UNLIQUIDA | T<br>E | AMOUNT<br>OF CLAIM                    | AMOUNT NOT ENTITLED TO PRIORITY, IF ANY  AMOUNT ENTITLED TO PRIORITY |
| Account No.   |                 |              |   | T          | D A T E D |        |                                       |  |
| James Wooten  |                 | -            |   |            |           |        |                                       | 0.00   |
| Account No.   | +               |              |   |            |           |        | 904.80                                | 904.80   |
| Joanne Tollefson  |                 | -            |   |            |           |        |                                       | 0.00   |
|   |                 |              |   |            |           |        | 4,249.12                              | 4,249.12   |
| Account No.  John Denzer  |                 | -            |   |            |           |        | 2,070.80                              | 2,070.80   |
| Account No.   |                 |              |   |            |           |        |                                       |  |
| Josh Prieve   |                 | _            |   |            |           | x      | 4,573.20                              | 4,572.20   |
| Account No.   | ⇉               |              |   |            |           |        | , , , , , , , , , , , , , , , , , , , |  |
| Kevin Polucha   |                 | -            |   |            |           | x      | 5,694.69                              | 5,694.69   |
| Sheet 3 of 7 continuation sheets a  |                 |              | )   | Subi       |           |        |                                       | 4,572.20   |
| Schedule of Creditors Holding Unsecured P   | riority         | Cl           | aims (Total of t                                    | his        | pag       | ge)    | 17,492.61                             | 12,920.41  |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

## SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS (Continuation Sheet)

|   |                 |                        |  |            |                  |                | TYPE OF PRIORITY   | ,  | _   |
|---|-----------------|------------------------|--|------------|------------------|----------------|--------------------|--|-----|
| CREDITOR'S NAME,<br>AND MAILING ADDRESS<br>INCLUDING ZIP CODE,<br>AND ACCOUNT NUMBER<br>(See instructions.) | C O D E B T O R | Hu<br>H<br>W<br>J<br>C | sband, Wife, Joint, or Community  DATE CLAIM WAS INCURRED  AND CONSIDERATION FOR CLAIM | CONTINGENT | UNLIQUIDA        | UTED           | AMOUNT<br>OF CLAIM | AMOUNT NOT<br>ENTITLED TO<br>PRIORITY, IF AN<br>AMO<br>ENTITLE<br>PRIO |     |
| Account No.   |                 |                        |  | ⊤          | A<br>T<br>E<br>D |                |                    |  |     |
| Linda Martin  |                 |                        |  |            |                  |                |                    | 0.00   | Ī   |
|   |                 |                        |  |            |                  |                |                    | 0.00   |     |
|   |                 | -                      |  |            |                  |                |                    |  |     |
|   |                 |                        |  | _          |                  |                | 299.40             | 29   | 9.4 |
| Account No.   |                 |                        |  |            |                  |                |                    |  | 1   |
| Lisa Vaccaro  |                 |                        |  |            |                  |                |                    | 0.00   |     |
|   |                 | -                      |  |            |                  |                |                    |  |     |
|   |                 |                        |  |            |                  |                | A E A A A E        | 4 54   | 4 4 |
| Account No.   | _               |                        |  |            |                  |                | 4,511.15           | 4,51   | 1.1 |
| Marc Paquette   |                 |                        |  |            |                  |                |                    |  |     |
| maro i aquotto  |                 |                        |  |            |                  |                |                    | 0.00   |     |
|   |                 | -                      |  |            |                  |                |                    |  |     |
|   |                 |                        |  |            |                  |                | 9,198.15           | 9,19   | 8.1 |
| Account No.   |                 |                        |  |            |                  |                |                    |  |     |
| Michael Gerckens  |                 |                        |  |            |                  |                |                    | 0.00   |     |
|   |                 |                        |  |            |                  |                |                    | 0.00   |     |
|   |                 |                        |  |            |                  |                |                    |  |     |
|   | _               |                        |  | _          |                  |                | 2,000.00           | 2,00   | 0.0 |
| Account No.   |                 |                        |  |            |                  |                |                    |  |     |
| Morgan Mattero  |                 |                        |  |            |                  |                |                    | 0.00   |     |
|   |                 | -                      |  |            |                  |                |                    |  |     |
|   |                 |                        |  |            |                  |                | 2 4 0 6 4 6        | 2.40   | 6 4 |
| Ch 1 7  | 1               | <u> </u>               | <u> </u>   | Subi       | <br>tota         | <u> </u><br>ւl | 2,186.16           | 2,18<br>0.00   | 0.1 |
| Sheet <u>4</u> of <u>7</u> continuation sheets a Schedule of Creditors Holding Unsecured F                  |                 |                        |  |            |                  |                | 18,194.86          | 18,19  | 4.8 |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 | _ |
|-------|----------------------|--------|---------|----------|---|
|       |                      | Debtor | ,       |          |   |

### SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

(Continuation Sheet)

Wages, salaries, and commissions

TYPE OF PRIORITY

|  |          |             |  |            |              |     | TYPE OF PRIORITY   |  |
|--|----------|-------------|--|------------|--------------|-----|--------------------|--|
| CREDITOR'S NAME,   | C        | Hu          | sband, Wife, Joint, or Community                       | C          | U            | D   |                    | AMOUNT NOT   |
| AND MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions.) | CODEBTOR | C<br>A<br>M | DATE CLAIM WAS INCURRED<br>AND CONSIDERATION FOR CLAIM | CONTINGENT | UNLIQUIDATED | E   | AMOUNT<br>OF CLAIM | AMOUNT NOT<br>ENTITLED TO<br>PRIORITY, IF ANY<br>AMOUNT<br>ENTITLED TO<br>PRIORITY |
| Account No.  | 4        |             |  | l'         | E            |     |                    |  |
| Nancy Hardy  |          | _           |  |            |              |     |                    | 0.00   |
|  |          |             |  |            |              |     | 675.47             | 675.47   |
| Account No.  |          |             |  |            |              |     |                    |  |
| Nathan Deangelis   |          |             |  |            |              |     |                    | 0.00   |
|  |          | -           |  |            |              |     |                    |  |
|  |          |             |  |            |              |     | 3,846.15           | 3,846.15   |
| Account No.  |          |             |  |            |              |     |                    |  |
| Rich Nabet   |          |             |  |            |              |     |                    | 0.00   |
|  |          | -           |  |            |              |     | 2,403.84           | 2,403.84   |
| Account No.  |          |             |  |            |              |     |                    |  |
| Susanne Martano  |          |             |  |            |              |     |                    | 0.00   |
|  |          |             |  |            |              |     | 484.62             | 484.62   |
| Account No.  | 4        |             |  |            |              |     |                    |  |
| Tara Ranciato  |          |             |  |            |              |     |                    | 0.00   |
|  |          | -           |  |            |              |     |                    |  |
|  |          |             |  |            |              |     | 3,269.23           | 3,269.23   |
| Sheet <u>5</u> of <u>7</u> continuation sheets at                              |          |             | )  | Subt       |              |     |                    | 0.00   |
| Schedule of Creditors Holding Unsecured Pr                                     | iority   | / Cl        | aims (Total of t                                       | his 1      | pag          | ge) | 10,679.31          | 10,679.31  |

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| Debtor , | Debtor, |  |
|----------|---------|--|

### SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS (Continuation Sheet)

|   |                       |       |   |            |              |               | TYPE OF PRIORITY   |   |
|---|-----------------------|-------|---|------------|--------------|---------------|--------------------|---|
| CREDITOR'S NAME, AND MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions.) | C<br>C<br>E<br>E<br>T | C C H | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM | COZTLZGEZT | UNLIQUIDATED | D I SP UT E D | AMOUNT<br>OF CLAIM | AMOUNT NOT<br>ENTITLED TO<br>PRIORITY, IF ANY<br>AMOUN<br>ENTITLED TO<br>PRIORITY |
| Account No.   |                       |       |   | ╗          | T<br>E<br>D  |               |                    |   |
| Todd Barlow   |                       | -     |   |            |              |               |                    | 0.00  |
|   | _                     | _     |   |            |              |               | 7,001.20           | 7,001.20  |
| Account No.  Todd Maples  |                       | -     |   |            |              |               |                    | 0.00  |
|   |                       |       |   |            |              |               | 5,769.23           | 5,769.23  |
| Account No.  Tom Klein (Advantage Polymers)   |                       | -     |   |            |              |               | 17,242.40          | 4,767.40<br>12,475.00   |
| Account No.  William Zeronsa  |                       | -     |   |            |              |               |                    | 0.00  |
| Account No.   |                       |       |   |            |              |               | 10,000.00          | 10,000.00   |
| Sheet 6 of 7 continuation sheets  |                       |       |   | Sub        |              |               |                    | 4,767.40  |
| Schedule of Creditors Holding Unsecured   | Priorit               | y Cl  | aims (Total of                                      | this       | pag          | ge)           | 40,012.83          | 35,245.43   |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

### SCHEDULE E - CREDITORS HOLDING UNSECURED PRIORITY CLAIMS

(Continuation Sheet)

Taxes and Certain Other Debts Owed to Governmental Units

TYPE OF PRIORITY UNLIQUIDATED CODEBTOR Husband, Wife, Joint, or Community AMOUNT NOT ENTITLED TO PRIORITY, IF ANY CREDITOR'S NAME, ONTINGENT S P U T E D AND MAILING ADDRESS Н DATE CLAIM WAS INCURRED **AMOUNT** INCLUDING ZIP CODE, W AND CONSIDERATION FOR CLAIM OF CLAIM AMOUNT ENTITLED TO PRIORITY AND ACCOUNT NUMBER J С (See instructions.) Account No. **Revenue Quebec** 135,395.00 Attn: Comm. or Agent in Charge 3800 Rue de Marly Sainte-Foy, Quebec G1X 4A5 **CANADA** 135,395.00 0.00 Account No. Account No. Account No. Account No. Subtotal 135,395.00 Sheet 7 of 7 continuation sheets attached to Schedule of Creditors Holding Unsecured Priority Claims (Total of this page) 0.00 135,395.00 Total 146,907.66 (Report on Summary of Schedules) 253,700.59 106,792.93

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B6F (Official Form 6F) (12/07)

| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |
|-------|----------------------|--------|---------|----------|
| _     |                      | Debtor |         |          |

### SCHEDULE F - CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

State the name, mailing address, including zip code, and last four digits of any account number, of all entities holding unsecured claims without priority against the debtor or the property of the debtor, as of the date of filing of the petition. The complete account number of any account the debtor has with the creditor is useful to the trustee and the creditor and may be provided if the debtor chooses to do so. If a minor child is a creditor, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m). Do not include claims listed in Schedules D and E. If all creditors will not fit on this page, use the continuation sheet provided.

If any entity other than a spouse in a joint case may be jointly liable on a claim, place an "X" in the column labeled "Codebtor," include the entity on the appropriate schedule of creditors, and complete Schedule H - Codebtors. If a joint petition is filed, state whether the husband, wife, both of them, or the marital community may be liable on each claim by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community."

If the claim is contingent, place an "X" in the column labeled "Contingent." If the claim is unliquidated, place an "X" in the column labeled "Unliquidated." If the claim is disputed, place an "X" in the column labeled "Disputed." (You may need to place an "X" in more than one of these three columns.)

Report the total of all claims listed on this schedule in the box labeled "Total" on the last sheet of the completed schedule. Report this total also on the Summary of Schedules and, if the debtor is an individual with primarily consumer debts, report this total also on the Statistical Summary of Certain Liabilities and Related Data.

☐ Check this box if debtor has no creditors holding unsecured claims to report on this Schedule F.

| CREDITOR'S NAME,  | С        | Hu          | sband, Wife, Joint, or Community  |                  | С         | U         | D             |                 |
|---|----------|-------------|---|------------------|-----------|-----------|---------------|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)  | CODEBTOR | C<br>H<br>M | DATE CLAIM WAS INCURRED AN<br>CONSIDERATION FOR CLAIM. IF CL<br>IS SUBJECT TO SETOFF, SO STAT | AIM              | 021-20121 | 071-00-D4 | I S P U T E D | AMOUNT OF CLAIM |
| Account No.   |          |             | business support services   |                  | Ť         | D A T E D |               |                 |
| 98 Main LLC<br>Attn: Pres., Sec., Mng. Agent<br>1 Center St<br>Suite 2A<br>Southington, CT 06489                        |          | -           |   |                  |           | D         |               | 5,959.54        |
| Account No.   |          |             | Trade Debt  |                  |           |           |               |                 |
| A & R Packaging & Distrib. Svc<br>75 Remittance Drive<br>Suite 83077<br>Attn: Pres, Sec or Manager<br>Chicago, IL 60675 |          | -           |   |                  |           |           |               | 121,280.23      |
| Account No.   |          |             | Trade Debt  |                  |           |           |               |                 |
| A & R Transport<br>75 Remittance Drive<br>Suite 83077<br>Atten Pre, Sec, or Manager<br>Chicago, IL 60675                |          | -           |   |                  |           |           |               | 36,614.31       |
| Account No.   |          |             | Trade Debt  |                  |           |           |               |                 |
| A&R Global Logistics<br>38888 Eagle Way<br>Attn Pres, Secretary, or Mng Agent<br>Chicago, IL 60678                      |          | -           |   |                  |           |           |               | 9,709.29        |
| continuation sheets attached  | •        | •           | (   | S<br>Total of th |           | otal      |               | 173,563.37      |

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| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,  | C        | Hu          | sband, Wife, Joint, or Community                                  | Ç          | U             | D               | Л         |                 |
|---|----------|-------------|---|------------|---------------|-----------------|-----------|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                            | CODEBTOR | C<br>J<br>M | CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGENT | NL I QU I DAT | D I S P U T E D | = 1       | AMOUNT OF CLAIM |
| Account No.   |          |             | Trade Debt  |            | E             |                 |           |                 |
| A. Schulman Inc<br>24089 Network Place<br>Aten: Pre, Sec or Manager<br>Chicago, IL 60673                    |          | -           |   |            | D             |                 |           | 24,776.27       |
| Account No.   |          |             | transportation, logistics   | Т          | Т             | Τ               | Т         |                 |
| A.L.L. Transportation Services<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 118<br>Alder, MT 59710            |          | -           |   |            |               |                 |           | 1,010.00        |
| Account No.   | t        |             | Trade Debt  | +          | +             | +               | $\dagger$ |                 |
| A.N. Deringer, Inc<br>75 Remittance drive<br>Suite 1794<br>Atten. Pres. Sec or Manager<br>Chicago, IL 60675 |          | -           |   |            |               |                 |           | 1,283.00        |
| Account No.   |          |             | debt collection services  | T          | T             | T               | T         |                 |
| ABC/AMEGA Group<br>Attn: Pres., Sec., Mng. Agent<br>110 Main Street<br>Buffalo, NY 14209                    |          | -           |   |            |               |                 |           | 2,585.00        |
| Account No.   | t        | T           | Professional Fees   | $\dagger$  | +             | t               | $\dagger$ |                 |
| Adams Samartino & Co. PC<br>P.O. Box 273<br>Atten: Pres, Sec, or Manager<br>Bristol, CT 06011               |          | -           |   |            |               |                 |           | 12,090.00       |
| Sheet no. 1 of 27 sheets attached to Schedule of  |          |             |   | Sub        | tota          | al              | T         | 44 744 27       |
| Creditors Holding Unsecured Nonpriority Claims  |          |             | (Total of   | this       | pas           | ge)             | ١         | 41,744.27       |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,  | C               | Hu          | sband, Wife, Joint, or Community                                  |            | U<br>N<br>L | P        |                 |
|---|-----------------|-------------|---|------------|-------------|----------|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                                  | C O D E B T O R | C<br>A<br>M | CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONFINGENT | I QU I D    | SPUTED   | AMOUNT OF CLAIM |
| Account No.   | 1               |             | Trade Debt  | '          | Ā           |          |                 |
| Advance Polymer Testing<br>2229 Bowie Drive<br>Atten: Pres., Sec. or Manager<br>Carrollton, TX 75006              |                 | -           |   |            | D           |          | 730.00          |
| Account No.   | T               |             | Trade debt  | T          | T           | T        |                 |
| Affton Trucking<br>420 Gimblin St.<br>Atten. Pres. Sec or Manager<br>Saint Louis, MO 63147                        |                 | -           |   |            |             |          | 115,647.19      |
| Account No.   | ╁               |             | Trade Debt  | +          | ╁           | $\vdash$ | ,               |
| AJP Corporation<br>P. O. Box 1181<br>Atten. Pres, Sec or Manager<br>Goshen, IN 46527                              |                 | -           |   |            |             |          | 3,072.41        |
| Account No.   | T               |             |   | T          | T           |          |                 |
| American Express Gold<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 1270<br>Newark, NJ 07101                         |                 | -           |   |            |             |          | 621.72          |
| Account No.   | ✝               | $\vdash$    | Trade Debt  | +          | $\vdash$    | H        |                 |
| American Transport Group<br>75 Remittance Drive<br>Suite 1300<br>Atten. Pres, Sec or Manager<br>Chicago, IL 60675 |                 | -           |   |            |             |          | 96,010.00       |
| Sheet no. 2 of 27 sheets attached to Schedule of  |                 |             |   | Sub        | tota        | ıl       | 246 004 22      |
| Creditors Holding Unsecured Nonpriority Claims  |                 |             | (Total of t   | his        | pag         | ge)      | 216,081.32      |

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B6F (Official Form 6F) (12/07) - Cont.

| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,   | C        | Hu               | sband, Wife, Joint, or Community                                  |           | U<br>N<br>L | P    | ,   |                 |
|--|----------|------------------|---|-----------|-------------|------|---|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                           | CODEBTOR | C<br>1<br>M<br>H | CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGEN | I QU I D    | UTED | ֝֝֝֝֡֜֝֝֡֓֓֓֓֓֓֓֓֓֓֓֓֓֓֡֜֜֜֓֓֓֓֡֡֡֓֓֓֓֡֓֡֓֡֓֡ | AMOUNT OF CLAIM |
| Account No.  | ╽        |                  | Trade Debt  | Т         | Ā           |      |   |                 |
| Asia Chemical Corp., Inc<br>11950 Airline Drive<br>Atten. Pres, Sec or Manager<br>Houston, TX 77037        |          | _                |   |           | D           |      |   | 171,738.93      |
| Account No.  |          |                  | Trade Debt  | Т         | Г           | Г    | T   |                 |
| ASW Supply Chain Services LLC<br>3375 Gilchrist Road<br>Atten. Pres. Sec or Manager<br>Mogadore, OH 44260  |          | -                |   |           |             |      |   | 6,471.78        |
| Account No.  | ╁        | -                | utilities   | +         | $\vdash$    | ╁    | +   | ·               |
| AT&T Mobility<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 6463<br>Carol Stream, IL 60197                    |          | -                |   |           |             |      |   | 191.38          |
| Account No.  | T        |                  | Trade Debt  | T         | T           | T    | Ť   |                 |
| B&B Transport<br>680 Route 143<br>Van Joli, Quebec J1S 0G6<br>Attn: Pres, Sec, or Managing Agent<br>CANADA |          | -                |   |           |             |      |   | 14,581.76       |
| Account No.  | T        |                  | Trade Debt  | Τ         | T           | T    | Ť   |                 |
| BA/CH Polymers<br>603 Admiral Drive<br>Atten. Pres., Sec or Manager<br>Annapolis, MD 21401                 |          | _                |   |           |             |      |   | 231,336.88      |
| Sheet no. 3 of 27 sheets attached to Schedule of   |          |                  |   | Sub       | tota        | ıl   | $\dagger$                                     | 404 000 70      |
| Creditors Holding Unsecured Nonpriority Claims   |          |                  | (Total of t   | his       | paş         | ge)  | ,   | 424,320.73      |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,   | C        | Hu          | sband, Wife, Joint, or Community  | C          | Ϊ́            | TP              | Τ۲        |                 |
|--|----------|-------------|---|------------|---------------|-----------------|-----------|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)   | CODEBTOR | C<br>A<br>M | DATE CLAIM WAS INCURRED AND<br>CONSIDERATION FOR CLAIM. IF CLAIM<br>IS SUBJECT TO SETOFF, SO STATE. | CONTINGENT | NL I QU I DAT | D I S P U T E D | - 1       | AMOUNT OF CLAIM |
| Account No.  | ]        |             | Trade Debt  | '          | E             |                 |           |                 |
| BJG Warehousing & Distribution<br>2111 Lakeshore Rd.<br>Attn: Pres, Sec or Manager<br>Mississauga, Ontario L5R 3Z4<br>CANADA |          | -           |   |            | D             |                 |           | 50,940.55       |
| Account No.  |          |             |   |            | Π             | Г               | T         |                 |
| Blum Shapiro<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 27200<br>West Hartford, CT 06127                                     |          | -           |   |            |               |                 |           | Unknown         |
| Account No.  | T        | T           | vehicle lease/purchase  | $\top$     | T             | T               | †         |                 |
| BMW Financial Services<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 9001065<br>Louisville, KY 40290                            |          | -           |   |            |               |                 |           | 1,255.00        |
| Account No.  |          |             | railway services  | T          | T             | T               | 7         |                 |
| BNSF Railroad<br>2500 Lou Menk Dr.<br>Fort Worth, TX 76131   |          | -           |   |            |               |                 |           | 200.00          |
| Account No.  | ✝        | $\vdash$    | shipping  | +          | +             | +               | $\dagger$ |                 |
| BNX Shipping<br>Attn: Pres., Sec., Mng. Agent<br>6255 Cantay Rd, L5R 3Z4<br>Missisauga, ON<br>Canada                         | •        | -           |   |            |               |                 |           | 2,245.00        |
| Sheet no. 4 of 27 sheets attached to Schedule of   |          | •           | •   | Sub        | tota          | al              | †         | E4 040 E5       |
| Creditors Holding Unsecured Nonpriority Claims   |          |             | (Total of   | this       | pas           | ge)             | , [       | 54,640.55       |

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| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,   | 000      |             | sband, Wife, Joint, or Community  | 000        | U<br>N   | D               |                 |
|--|----------|-------------|---|------------|----------|-----------------|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)   | CODEBTOR | C<br>A<br>M | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGENT | LIQUIDAT | D I S P U T E D | AMOUNT OF CLAIM |
| Account No.  | 1        |             |   | '          | Ė        |                 |                 |
| Brett Masotta<br>411 Nashua Rd<br>Groton, MA 01450   |          | -           |   |            |          |                 | 1.00            |
| Account No.  | t        |             | Trade Debt  | T          | Г        | Г               |                 |
| Browns Sims, P.C. 1177 West Loop South 10th Floor Atten. Pres, Sec or Manager Houston, TX 77027                            |          | -           |   |            |          |                 | 64,792.22       |
| Account No.  | ╀        |             | Trade Debt  | $\vdash$   | ⊢        | ⊬               | 04,732.22       |
| Brunk Corp<br>P.O. Box 1181<br>Atten, Pres. Sec or Manager<br>Goshen, IN 46527   |          | -           |   |            |          |                 | 523.64          |
| Account No.  |          |             | Trade debt  | T          | T        | T               |                 |
| Bulk Plus Logistics<br>Atten. Pres., Sec or Manager<br>PO Box 2532<br>ST M Calgary, AL T2P 5P9<br>CANADA                   |          | -           |   |            |          |                 | 988.87          |
| Account No.  | T        | T           |   | T          | Г        | T               |                 |
| Burlington Northern \$ Santa Fe<br>Railroad<br>Attn: Pres., Sec., Mng. Agent<br>3110 Solutions Center<br>Chicago, IL 60677 |          | -           |   |            |          |                 | 1.00            |
| Sheet no5 of _27_ sheets attached to Schedule of   |          | •           |   | Subt       | iota     | ıl              | 66,306.73       |
| Creditors Holding Unsecured Nonpriority Claims   |          |             | (Total of t   | his        | pag      | ze)             | 00,303.73       |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     | -                    | Debtor |         |          |  |

|  | С       | ш.,   | sband, Wife, Joint, or Community  | Tc         | ш                     | D  |                 |
|--|---------|-------|---|------------|-----------------------|--|-----------------|
| CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                        | ODEBTOR | H W J | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | COXTLXGEXT | Q<br>U<br>I           | SPUTED                                       | AMOUNT OF CLAIM |
| Account No.  |         |       | Trade Debt  | Т          | D<br>A<br>T<br>E<br>D |  |                 |
| C&W Packaging LP<br>4343 Eastpark Road<br>Atten, Pres, Sec or Manager<br>Houston, TX 77028                               |         | -     |   |            | D                     |  | 74,824.51       |
| Account No.  | t       |       |   | $\dagger$  |                       |  |                 |
| Canadian Customs & Revenue<br>Attn: Pres., Sec., Mng. Agent<br>275 Pope Rd Suite 102<br>Summerside, PE C1N 6A2<br>Canada |         | -     |   |            |                       |  | 1.00            |
| Account No.  |         |       | trade debt  |            |                       |  |                 |
| Canadian National Railroad<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 71206<br>Chicago, IL 60694                         |         | -     |   |            |                       |  | 12,008.48       |
| Account No.  | t       |       | Trade Debt  |            |                       |  |                 |
| Capitol Warehousing Corp<br>4461 Duraform Lane<br>P.O. Box 228<br>Atten. Pres. Sec or Manager<br>Windsor, WI 53598       |         | -     |   |            |                       |  | 23,855.31       |
| Account No.  | T       |       | Trade Debt  | T          |                       |  |                 |
| Celanese Performance Polymers<br>16883 Collection Center Drive<br>Atten. Pres., Sec or Manager<br>Chicago, IL 60693      |         | -     |   |            |                       |  | 138,550.91      |
| Sheet no. 6 of 27 sheets attached to Schedule of   | _       | 1     | <u> </u>  | Sub        | tota                  | <u>l                                    </u> | _               |
| Creditors Holding Unsecured Nonpriority Claims   |         |       | (Total of   |            |                       |  | 249,240.21      |

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| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

|  |                 |             |   |            |                  | _   | _                |                 |
|--|-----------------|-------------|---|------------|------------------|-----|------------------|-----------------|
| CREDITOR'S NAME,   | C               | Hu          | sband, Wife, Joint, or Community                                  |            | U<br>N<br>L      | [   | ا د              |                 |
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                                   | C O D E B T O R | C<br>J<br>M | CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONFINGENT | I QU I D         | U   | P<br>U<br>T<br>E | AMOUNT OF CLAIM |
| Account No.  | ]               |             | Trade Debt  | '          | A<br>T<br>E<br>D |     |                  |                 |
| Central Avenue Corp<br>568 Central Ave.<br>Atten. Pres, Sec or Manager<br>Bridgewater, NJ 08807                    |                 | -           |   |            |                  |     |                  | 1,806.00        |
| Account No.  | T               |             | Trade Debt  | $\top$     | T                | T   | †                | -               |
| Central Maine Power PO Box 1084 Atten. Manager, Pres or Secret Augusta, ME 04332                                   |                 | -           |   |            |                  |     |                  | 69.13           |
| Account No.  | t               |             | inventory   | +          | $\vdash$         | t   | †                |                 |
| Chamcory Enterprises<br>4555 Homestead Rd<br>Houston, TX 77028   |                 | -           |   |            |                  |     |                  | 26,880.00       |
| Account No.  | T               |             | information services  | T          | T                | T   | T                |                 |
| Chemical Data LP<br>Attn: Pres., Sec., Mng. Agent<br>1111 North Loop West<br>Suite 1140<br>Houston, TX 77008       |                 | -           |   |            |                  |     |                  | 2,985.00        |
| Account No.  | T               |             | Trade Debt  | T          | $\top$           | T   | †                |                 |
| Chevron Phillips Chemical Co.<br>4358 Collections Center Drive<br>Atten. Pres. Sec or Manager<br>Chicago, IL 60693 |                 | -           |   |            |                  | >   | x                | 984,177.20      |
| Sheet no. 7 of 27 sheets attached to Schedule of   | _               | <u> </u>    |   | Subt       | tota             | al  | †                | 4 045 047 00    |
| Creditors Holding Unsecured Nonpriority Claims   |                 |             | (Total of t   | this       | paş              | ge) | ) [              | 1,015,917.33    |

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| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CDEDITOR'S VANC  | С        | Hus     | sband, Wife, Joint, or Community  | С         | U           | D             |   |
|--|----------|---------|---|-----------|-------------|---------------|---|
| CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)            | ODEBTOR  | H & Y C | DATE CLAIM WAS INCURRED AND<br>CONSIDERATION FOR CLAIM. IF CLAIM<br>IS SUBJECT TO SETOFF, SO STATE. | CONTINGEN | N           | I S P U T E D | AMOUNT OF CLAIM                         |
| Account No.  |          |         |   | Т         | T<br>E<br>D |               |   |
| Clausen Warehouse Co<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 1173<br>Clinton, IA 52732                    |          | -       |   |           | D           |               | 1,138.18                                |
| Account No.  | ┢        |         | Trade Debt  |           |             |               | ,                                       |
| Cotter Merchandise Storage CO.<br>P.O. Box 808<br>Atten. Pres, Sec or Manager<br>Akron, OH 44309             |          | -       |   |           |             |               | 38,547.25                               |
| Account No.  | H        |         | utilities   | +         |             |               | 00,047.120                              |
| Cox Communications<br>Attn: Pres., Sec., Mng. Agent<br>Dept 781 110<br>PO Box 78000<br>Detroit, MI 48278     |          | -       |   |           |             |               | 956.52                                  |
| Account No.  | T        |         | utilities   |           |             |               |   |
| Crain Communications<br>Attn: Pres., Sec., Mng. Agent<br>16309 Collections Center Drive<br>Chicago, IL 60693 |          | -       |   |           |             |               | 7,650.00                                |
| Account No.  | $\vdash$ |         |   | +         |             |               | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| Crystal Rock<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 10028<br>Waterbury, CT 06725                         |          | _       |   |           |             |               | 1,586.53                                |
| Sheet no. <b>8</b> of <b>27</b> sheets attached to Schedule of   | 1        |         | <u> </u>  | Sub       | toto        | 1             | 1,000.00                                |
| Creditors Holding Unsecured Nonpriority Claims   |          |         | (Total of   |           |             |               | 49,878.48                               |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

|  | С       | Тни         | sband, Wife, Joint, or Community  | Tc        | U           | D       |                 |
|--|---------|-------------|---|-----------|-------------|---------|-----------------|
| CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)  | ODEBTOR | H<br>W<br>J | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGEN | NLIQUIDATE  | ISPUTED | AMOUNT OF CLAIM |
| Account No.  |         |             | trade debt  | Т         | T<br>E<br>D |         |                 |
| CSXT Railroad<br>Attn: President, Secretary, Manager<br>PO Box 640839<br>Pittsburgh, PA 15264  |         | -           |   |           |             |         | 6,610.00        |
| Account No.  | T       |             | Trade Debt  | $\dagger$ |             |         |                 |
| Dennis, Corry, Porter & Smith<br>3535 Piedmont Road<br>NE 14 Piedmont Cent Suite 900<br>Atten., Pres., Sec or Manager<br>Atlanta, GA 30305 |         | -           |   |           |             |         | 4,004.00        |
| Account No.  |         |             | employment matters  | +         |             |         |                 |
| Department of Labor<br>Attn Commissioner<br>Dept 417329<br>PO Box 2905<br>Hartford, CT 06107   |         | -           |   |           |             |         | 42.36           |
| Account No.  | ▮       |             | Trade Debt  | T         |             |         |                 |
| DOW Chemical Canada ULC<br>Attn Pres Sec or Mng Agent<br>450-1st St. SW, Suite 2100<br>Calagary AB T2P5H1<br>CANADA                        |         | -           |   |           |             |         | 130,459.38      |
| Account No.  | 1       |             | Trade Debt  | T         | T           |         |                 |
| ECHO Global Logistics, Inc<br>22168 Network Place<br>Atten. Pres. Sec or Manager<br>Chicago, IL 60673                                      |         | -           |   |           |             |         | 51,592.68       |
| Sheet no. <b>9</b> of <b>27</b> sheets attached to Schedule of   | _       |             | ı   | Sub       | tota        | ıl      | 400 700 40      |
| Creditors Holding Unsecured Nonpriority Claims   |         |             | (Total of   | this      | pag         | ge)     | 192,708.42      |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| -     |                      | Debtor |         |          |  |

|  |             |             |   |           |             |              | _ |                   |
|--|-------------|-------------|---|-----------|-------------|--------------|---|-------------------|
| CREDITOR'S NAME,   | C           | Hu          | sband, Wife, Joint, or Community                                  | C         | U<br>N<br>L | P            | ) |                   |
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                       | CODEBTOR    | C<br>A<br>M | CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGEN | L QU L D    | PUTED        |   | AMOUNT OF CLAIM   |
| Account No.  | J           |             | Trade Debt  | Т         | Ā<br>T<br>E |              |   |                   |
| Equistar Chemicals, LP<br>1221 McKinney St<br>Atten. Pres Sec or Manager<br>Houston, TX 77010          |             | -           |   |           | D           |              |   | 1,343,609.06      |
| Account No.  |             |             | Trade Debt  | T         |             | T            | T |                   |
| Eversource<br>P.O. Box 650032<br>Atten. Manager, Pres. or Sec<br>Dallas, TX 75265                      |             | -           |   |           |             |              |   | 753.67            |
| Account No.  |             |             | Trade Debt  | T         | $^{+}$      | T            | † |                   |
| Exel Polymers Inc<br>4639 Collection Center Drive<br>Atten. President, Sec or Man<br>Chicago, IL 60693 |             | -           |   |           |             |              |   | 136.70            |
| Account No.  | t           |             | Trade Debt  | T         | T           | T            | Ť |                   |
| F&W Transport Services 425 Querbes Avenue MONTREAL, QC H2V 3W4 Atten, Pres. Sec or Manager CANADA      |             | -           |   |           |             |              |   | 1,100.00          |
| Account No.  | t           | T           |   | T         | T           | T            | † |                   |
| Fairpoint<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 11021<br>Lewiston, ME 04243                       |             | -           |   |           |             |              |   | 542.07            |
| Sheet no. 10 of 27 sheets attached to Schedule of  | -           | -           |   | Sub       | tota        | ıl           | † | 4 0 4 0 4 4 4 5 5 |
| Creditors Holding Unsecured Nonpriority Claims   | (Total of t | this        | paş   | ge)       |             | 1,346,141.50 |   |                   |

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B6F (Official Form 6F) (12/07) - Cont.

| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

|  |          |             |   |           |             |          | _ |   |
|--|----------|-------------|---|-----------|-------------|----------|---|---|
| CREDITOR'S NAME,   | C        | Hu          | sband, Wife, Joint, or Community  |           | U           | P        | 1 |   |
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                                       | CODEBTOR | C<br>N<br>H | DATE CLAIM WAS INCURRED AND<br>CONSIDERATION FOR CLAIM. IF CLAIM<br>IS SUBJECT TO SETOFF, SO STATE. | CONTINGEN | QULD        | UTED     |   | AMOUNT OF CLAIM                         |
| Account No.  |          |             | shipping  | ٦т        | A<br>T<br>E |          |   |   |
| Federal Express<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 371461<br>Pittsburgh, PA 15250                              |          | -           |   |           | D           |          |   | 330.17                                  |
| Account No.  |          |             | Trade Debt  | T         | Т           |          | 1 |   |
| Flint Hills<br>4111 East 37th Street N<br>Atten. Pres., Manager, Sec<br>Wichita, KS 67220                              |          | -           |   |           |             |          |   |   |
|  |          |             |   |           |             |          |   | 103,631.75                              |
| Account No.  |          |             | Trade debt  | T         |             |          | Ī |   |
| FLS Transportation Inc<br>333 Decarie Coul Suite 250<br>MONTREAL, QC H4N 3M9<br>Atten. Pres., Sec or Manager<br>CANADA |          | -           |   |           |             |          |   | 15,290.00                               |
| Account No.  | T        |             | Trade Debt  | T         | T           | T        | 7 |   |
| Formosa Plastics Corporation<br>9 Peach Tree Hill Rd<br>Atten. Pres sec or Manager<br>Livingston, NJ 07039             |          | -           |   |           |             | x        | < | 2,060,563.65                            |
| Account No.  | ┝        | $\vdash$    | Trade Debt  | +         | $\vdash$    | $\vdash$ | + | _,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| Frontier Logistics LLC<br>1806 South Street<br>Atten. Pres, Sec or manager<br>La Porte, TX 77571                       | -        | -           |   |           |             |          |   | 49,601.11                               |
| Sheet no11_ of _27_ sheets attached to Schedule of   |          | •           | :   | Sub       | tota        | ıl       | 1 | 2 220 416 69                            |
| Creditors Holding Unsecured Nonpriority Claims   |          |             | (Total of t   | his       | paş         | ge)      |   | 2,229,416.68                            |

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B6F (Official Form 6F) (12/07) - Cont.

| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

|   | Ic              | ш.,              | sband, Wife, Joint, or Community  |          | <u>- Ti</u> | U [         | <u>.                                      </u> |
|---|-----------------|------------------|---|----------|-------------|-------------|--|
| CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)   | C O D E B T O R | H<br>W<br>J<br>C | DATE CLAIM WAS INCURRED AND<br>CONSIDERATION FOR CLAIM. IF CLAIM<br>IS SUBJECT TO SETOFF, SO STATE. |          | o I i       | N L Q T E   | AMOUNT OF CLAIM                                |
| Account No.   |                 |                  | Trade Debt  |          | T   ]       | T<br>E<br>D |  |
| Fusion Logistics LLC<br>3838 Oak Lawn Ave<br>Ste 600<br>Attn: Chris Snead<br>Dallas, TX 75219       |                 | -                |   |          |             |             | 68,381.47                                      |
| Account No.   |                 |                  | Trade debt  |          |             |             |  |
| G&D Trucking Inc<br>P.O. Box 810<br>Atten Pres Sec or Manager<br>Channahon, IL 60410                |                 | -                |   |          |             |             | 55,916.88                                      |
| Account No.   |                 |                  | professional fees   |          | +           | +           |  |
| Gordian Group<br>Attn: Pres., Sec., Mng. Agent<br>950 Third Ave<br>17th Floor<br>New York, NY 10022 |                 | -                |   |          |             |             | 36,774.19                                      |
| Account No.   |                 |                  |   |          |             | $\top$      |  |
| HH OMPS<br>Attn: Pres., Sec., Mng. Agent<br>18 Martinsburg Pike<br>Winchester, VA 22603             |                 | -                |   |          |             |             | 910.00   |
| Account No.   | $\dashv$        | $\vdash$         | brokerage/trading   | $\dashv$ | +           | +           |  |
| Houston Merchantile Exchange<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 580<br>Katy, TX 77494       |                 | _                |   |          |             |             | 600.00   |
| Sheet no12_ of _27_ sheets attached to Schedule   | e of            | 1                |   | Su       | bto         | tal         |  |
| Creditors Holding Unsecured Nonpriority Claims  |                 |                  | (Total  |          |             |             | 162,582.54                                     |

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| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CDED ITODIS VIA IT  | С        | Hu      | sband, Wife, Joint, or Community  | Тс        | Ιυ       | D             |                 |
|---|----------|---------|---|-----------|----------|---------------|-----------------|
| CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                       | ODE BTOR | H & Y C | DATE CLAIM WAS INCURRED AND<br>CONSIDERATION FOR CLAIM. IF CLAIM<br>IS SUBJECT TO SETOFF, SO STATE. | CONFLEGEN | LQU      | I S P U T E D | AMOUNT OF CLAIM |
| Account No.   |          |         |   | 7         |          |               |                 |
| INEOS<br>Attn: Pres., Sec., Mng. Agent<br>13536 Collections Center Drive<br>Chicago, IL 60693                           |          | -       |   |           | D        |               | 12,477.66       |
| Account No.   | ╁        |         | Trade Debt  | +         |          |               |                 |
| Intermodal Operations Inc.<br>568 Central Ave<br>Atten. Pres, Sec or Manager<br>Bridgewater, NJ 08807                   |          | -       |   |           |          |               | 1,159.76        |
| Account No.   | ┢        |         | Tade Debt   | +         |          |               | ,               |
| John Grant Haulage Ltd<br>21111 lakeshore Road<br>West Mississauga, ON L5J 1J9<br>Atten. Pres, Sec or Manager<br>CANADA |          | -       |   |           |          |               | 116,801.92      |
| Account No.   |          |         | Trade Debt  |           |          |               |                 |
| Kansas City South. Railway Co<br>36454 Treasury Center<br>Atten. Pres., Sec or Manager<br>Chicago, IL 60694             |          | -       |   |           |          |               | 2,606.73        |
| Account No.   | ╁        |         | Trade Debt  | +         | $\vdash$ |               | , -             |
| Katoen Natie Gulf Coast, Inc<br>PO Box 959<br>Atten. Pres, Sec or Manager<br>La Porte, TX 77571                         |          | -       |   |           |          |               | 53.55           |
| Sheet no. <b>13</b> of <b>27</b> sheets attached to Schedule of   |          |         |   | Sub       | tota     | l             |                 |
| Creditors Holding Unsecured Nonpriority Claims  |          |         | (Total of   |           |          |               | 133,099.62      |

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| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

|   | С       | ш.,              | sband, Wife, Joint, or Community  | 10        | U      | D                     |                 |
|---|---------|------------------|---|-----------|--------|-----------------------|-----------------|
| CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                             | ODEBTOR | H<br>W<br>J<br>C | DATE CLAIM WAS INCURRED AND<br>CONSIDERATION FOR CLAIM. IF CLAIM<br>IS SUBJECT TO SETOFF, SO STATE. | CONTINGEN | LQU    | I<br>S<br>P<br>U<br>T | AMOUNT OF CLAIM |
| Account No.   | 1       |                  | Trade Debt  | T         | E<br>D |                       |                 |
| L&B Transport, LLC<br>P.O. Box 748870<br>Atten. Pres., Sec or Manager<br>Baton Rouge, LA 70874                                |         | -                |   |           |        |                       | 3,740.00        |
| Account No.   |         |                  | trade debt  |           | T      |                       |                 |
| LEAF<br>Attn: President, Secretary, Manager<br>PO BOX 644006<br>Cincinnati, OH 45264  |         | -                |   |           |        |                       | 215.46          |
| Account No.   | ┢       |                  | Trade Debt  |           |        |                       |                 |
| Leominster Packaging & Warehou<br>P.O. Box 334<br>Atten. Pres., Sec or Manager<br>Leominster, MA 01453                        |         | -                |   |           |        |                       | 14,512.05       |
| Account No.   |         |                  | Trade debt  |           |        |                       |                 |
| Livingston International<br>6700 Cote De Liesse Bureau 300<br>St. Laurent PQ H4T 2B5<br>Atten. Pres, Sec or Manager<br>CANADA |         | -                |   |           |        |                       | 620.22          |
| Account No.   |         |                  | Trade Debt  |           | T      | T                     |                 |
| Lone Star Chemical<br>P.O. Box 204825<br>Atten. Pres, Sec or Manager<br>Dallas, TX 75320                                      |         | _                |   |           |        |                       | 32,340.00       |
| Sheet no. 14 of 27 sheets attached to Schedule of   | _       |                  |   | Sub       | tota   | ıl                    | F4 407 70       |
| Creditors Holding Unsecured Nonpriority Claims  |         |                  | (Total of   | this      | pag    | ge)                   | 51,427.73       |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,   | C        | Hu          | sband, Wife, Joint, or Community                                  | C          | U          | P   | ٦Τ  |                 |
|--|----------|-------------|---|------------|------------|-----|-----|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                           | CODEBTOR | C<br>A<br>M | CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGENT | UNLIQUIDAT |     | - 1 | AMOUNT OF CLAIM |
| Account No.  |          |             | Trade debt  | '          | E          | 1   |     |                 |
| Luckey Logistics LLC<br>29988 N. 00 East Road<br>Atten. Pres, Sec or Manager<br>Streator, IL 61364         |          | -           |   |            | D          |     |     | 122,024.96      |
| Account No.  |          |             |   |            | T          |     | T   |                 |
| Lynn H. Scott Inc<br>Attn: Pres., Sec., Mng. Agent<br>Bulk Commodities<br>PO Box 70<br>Blossvale, NY 13308 |          | -           |   |            |            |     |     | 1,366.95        |
| Account No.  |          |             |   | $\top$     | T          |     | †   |                 |
| Mark Greene<br>36 Eastern Ave<br>Long Island, ME 04050   |          | -           |   |            |            |     |     | 1.00            |
| Account No.  | t        |             | Trade debt  | $\dagger$  | T          | t   | †   |                 |
| Mass Polymers<br>69 Adams Street<br>Atten. Pres., Sec or Manager<br>Newton, MA 02458                       |          | -           |   |            |            |     |     | 121,799.00      |
| Account No.  | t        | H           | Trade Debt  | +          | +          | t   | +   |                 |
| Matrix Polymers Inc<br>21 Wall Street<br>Atten. Pres., Sec or Manager<br>Huntington, NY 11743              |          | -           |   |            |            |     |     | 258,463.92      |
| Sheet no15_ of _27_ sheets attached to Schedule of   |          |             |   | Sub        | tota       | al  | T   | 503,655.83      |
| Creditors Holding Unsecured Nonpriority Claims   |          |             | (Total of   | this       | pag        | ge) | ۱ ( | 303,033.03      |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,  | C            | Hu          | sband, Wife, Joint, or Community   | Τç         | U      | P   | Л   |                 |
|---|--------------|-------------|--|------------|--------|-----|-----|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)  Account No.   | OD E B T O R | C<br>A<br>M | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.  Professional Services | CONTINGENT | QUIDAT |     | = 1 | AMOUNT OF CLAIM |
| Account No.   | 1            |             | Professional Services  |            | E      |     |     |                 |
| Mclean & Kerr LLP Barristers<br>130 Adelaide Street West, Suite 2800<br>Attn Pres, Sec, or Mng Agent<br>Toronto, ON M5H 3P5<br>CANADA |              | -           |  |            |        |     |     | 5,157.89        |
| Account No.   |              |             |  | T          | Г      | Г   | T   |                 |
| MD Plastics Inc<br>Attn: Pres., Sec., Mng. Agent<br>4151 S. Fremont Ave<br>Suite 140<br>Springfield, MO 65804                         |              | -           |  |            |        |     |     | 31,416.00       |
| Account No.   | T            |             |  | +          | T      | T   | †   |                 |
| Metlife<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 371862<br>Pittsburgh, PA 15250   |              | -           |  |            |        |     |     | 13,927.21       |
| Account No.   |              |             | Trade debt   | T          | T      | T   | Ť   |                 |
| Midstates Packaging Inc<br>150 Blackstone River Road<br>Atten., Pres, Sec or Manager<br>Worcester, MA 01607                           |              | -           |  |            |        |     |     | 92,287.65       |
| Account No.   | t            | H           | Trade debt   | $\dagger$  | T      | t   | †   |                 |
| Modern Materials Services<br>2605 Nicholoson Road<br>Suite 110<br>Atten., Pres, Sec or Manager<br>Sewickley, PA 15143                 |              | -           |  |            |        |     |     | 604.00          |
| Sheet no. 16 of 27 sheets attached to Schedule of   | •            | •           |  | Sub        | tota   | ıl  | †   | 440 000 75      |
| Creditors Holding Unsecured Nonpriority Claims  |              |             | (Total of  | this       | pag    | ze) | ۱ ( | 143,392.75      |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     | -                    | Debtor |         |          |  |

| CREDITOR'S NAME,  | C        | Hu          | sband, Wife, Joint, or Community  | Ğ          | U             | Þ        |                 |
|---|----------|-------------|---|------------|---------------|----------|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                  | CODEBTOR | J<br>M<br>H | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGENT | NL I QU I DAT | DISPUTED | AMOUNT OF CLAIM |
| Account No.   |          |             | Trade Debt  | T          | E             |          |                 |
| Montachem International<br>Suite 200<br>Aten. Pres, Sec or Manager<br>Houston, TX 77027           |          | -           |   |            | D             |          | 442,357.96      |
| Account No.   |          |             | Trade Debt  | T          | Π             |          |                 |
| Network Imaging LLC<br>415 Kilvert Street<br>Atten. Pres. Sec or Manager<br>Warwick, RI 02886     |          | -           |   |            |               |          | 108.48          |
| Account No.   | T        |             | Trade Debt  | +          | T             |          |                 |
| NEWCO Hauling LLC<br>1 Sculley Road<br>Atten. Pres, Manager or Sec<br>Ayer, MA 01432              |          | -           |   |            |               |          | 10,060.57       |
| Account No.   | T        |             | Trade debt  | T          | T             |          |                 |
| Northern Dry Bulk Inc.<br>805 Industrial Drive<br>Atten. Pres., Sec or Manager<br>Clare, MI 48617 |          | -           |   |            |               |          | 2,811.53        |
| Account No.   | t        | T           |   | +          | t             | t        |                 |
| Packwell<br>Attn: Pres., Sec., Mng. Agent<br>10016 Porter Rd<br>La Porte, TX 77571                | -        | -           |   |            |               |          | 1,432.44        |
| Sheet no. <u>17</u> of <u>27</u> sheets attached to Schedule of                                   | _        | <u> </u>    | 1   | Sub        | tota          | ıl       |                 |
| Creditors Holding Unsecured Nonpriority Claims  |          |             | (Total of   |            |               |          | 456,770.98      |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,   | C        | Hu               | sband, Wife, Joint, or Community  | C          | U           | P               |                 |
|--|----------|------------------|---|------------|-------------|-----------------|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                             | CODEBTOR | C<br>1<br>M<br>H | DATE CLAIM WAS INCURRED AND<br>CONSIDERATION FOR CLAIM. IF CLAIM<br>IS SUBJECT TO SETOFF, SO STATE. | CONTINGENT | NL QU L DAT | D I S P U T E D | AMOUNT OF CLAIM |
| Account No.  | ╽        |                  | insurance   | T          | E           | 1               |                 |
| Patriot Insurance<br>Attn: Pres., Sec., Mng. Agent<br>One Mutual Ave<br>Frankenmuth, MI 48787                |          | -                |   |            | D           |                 | 1,160.00        |
| Account No.  |          |                  | Trade Debt  | T          |             |                 |                 |
| PAX Industries Inc<br>P.O. Box 6343<br>Atten. Pres., Manager or Sec<br>Charlotte, NC 28263                   |          | -                |   |            |             |                 | 84,261.06       |
| -  | ╄        |                  |   | $\bot$     | ╄           | L               | 04,201.00       |
| Account No.  PDM Distribution Services, Inc 2768 6th Ave. Atten. Pres., Sec, or Manager Des Moines, IA 50313 |          | -                | Trade Debt  |            |             |                 | 1,033.75        |
| Account No.  |          |                  | trade debt  | T          | T           |                 |                 |
| Petrochem Wire<br>Attn: Pres., Sec., Mng. Agent<br>17853 NW Cambray St<br>Beaverton, OR 97006                |          | -                |   |            |             |                 | 3,095.00        |
| Account No.  | T        |                  | Trade Debt  | T          | $\top$      | T               |                 |
| Phillips 66 Company<br>21064 Network Place<br>Atten. Pres., Sec or Manager<br>Chicago, IL 60673              |          | -                |   |            |             |                 | 313,713.00      |
| Sheet no18_ of _27_ sheets attached to Schedule of   |          |                  | -   | Sub        | tota        | ıl              | 403,262.81      |
| Creditors Holding Unsecured Nonpriority Claims   |          |                  | (Total of t   | this       | pag         | ze)             | +03,202.01      |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,   | C        | Hu          | sband, Wife, Joint, or Community                                  |            | U<br>N<br>L | P     |    |               |
|--|----------|-------------|---|------------|-------------|-------|----|---------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                                   | CODEBTOR | C<br>A<br>M | CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONFINGENT | I QU I D    | PUTED | AM | OUNT OF CLAIM |
| Account No.  |          |             | Trade Debt  | '          | Ā           |       |    |               |
| Plastic Express<br>15450 Salt Lake Ave<br>Atten. Pres., Sec or Manager<br>Hacienda Heights, CA 91745               |          | -           |   |            | D           |       |    | 120,807.35    |
| Account No.  |          |             | trade debt  | T          |             | T     |    |               |
| Plastic News<br>Attn: President, Secretary, Manager<br>PO BOX 3177<br>Northbrook, IL 60065                         |          | -           |   |            |             |       |    | 2,604.00      |
| Account No.  | t        | H           | Trade Debt  | t          | ${\dagger}$ | t     |    |               |
| Plastic Solutions, Inc<br>PO Box 890125<br>Atten. Pres, Sec or Manager<br>Charlotte, NC 28289                      |          | -           |   |            |             |       |    | 77,814.00     |
| Account No.  | T        |             | Trade Debt  | T          | T           | T     |    |               |
| Polymer Distribution Inc<br>256 Victoria Rd.<br>South Guelph, On N1E 5R1<br>Atten. Pres., Sec or Manager<br>CANADA |          | -           |   |            |             |       |    | 135,577.82    |
| Account No.  | T        | T           |   | T          | T           | T     |    |               |
| Portland Express<br>Attn: Pres., Sec., Mng. Agent<br>67 Colchester Dr<br>South Portland, ME 04106                  |          | -           |   |            |             |       |    | 980.00        |
| Sheet no. <b>19</b> of <b>27</b> sheets attached to Schedule of  | _        |             |   | Sub        | tota        | al    |    |               |
| Creditors Holding Unsecured Nonpriority Claims   |          |             | (Total of t   | his        | paş         | ge)   |    | 337,783.17    |

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| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

|   | С             | Ни      | sband, Wife, Joint, or Community  | l c        | ш                     | D      |                 |
|---|---------------|---------|---|------------|-----------------------|--------|-----------------|
| CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                 | O D E B T O R | H & J C | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | COXHLXGEXH | Q U L                 |        | AMOUNT OF CLAIM |
| Account No.   |               |         | maritime services   | Т          | D<br>A<br>T<br>E<br>D |        |                 |
| Portland Harbor Marine<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 2350<br>South Portland, ME 04116                |               | -       |   |            | D                     |        | 1,621.83        |
| Account No.   | T             |         | Trade debt  | +          |                       |        |                 |
| Pro Image Specialty Advertisin<br>154 Walnut Street<br>Attn Pres, Sec, or Mng Agent<br>Southington, CT 06489      |               | _       |   |            |                       |        | 557.91          |
| Account No.   | H             |         |   | +          |                       |        |                 |
| Progressive Benefit Solutions<br>Attn: Pres., Sec., Mng. Agent<br>14 Business Park Drive #8<br>Branford, CT 06405 |               | -       |   |            |                       |        | 1,371.59        |
| Account No.   | t             |         | Professional Services   |            |                       |        |                 |
| Pullman & Comley LLC PO Box 7006 Atten. Pres, Sec or Manager Bridgeport, CT 06601                                 |               | -       |   |            |                       |        | 74,836.67       |
| Account No.   | $\vdash$      |         |   | +          |                       |        | •               |
| Purpodock Club<br>Attn: Pres., Sec., Mng. Agent<br>300 Spurwink Ave<br>Cape Elizabeth, ME 04107                   |               | _       |   |            |                       |        | 2,236.73        |
| Sheet no. <b>20</b> of <b>27</b> sheets attached to Schedule of   | _             |         |   | Subt       | ota                   | L<br>l | 22.224.53       |
| Creditors Holding Unsecured Nonpriority Claims  |               |         | (Total of   | this       | pag                   | e)     | 80,624.73       |

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B6F (Official Form 6F) (12/07) - Cont.

| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,  | C        | Hu          | sband, Wife, Joint, or Community  | Ğ          | Ü              | P        | ŀΤ  |                 |
|---|----------|-------------|---|------------|----------------|----------|-----|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                            | CODEBTOR | C<br>J<br>M | DATE CLAIM WAS INCURRED AND<br>CONSIDERATION FOR CLAIM. IF CLAIM<br>IS SUBJECT TO SETOFF, SO STATE. | CONTINGENT | NL I QU I DAT  | DISPUTED | - 1 | AMOUNT OF CLAIM |
| Account No.   |          |             | Trade Debt  |            | E              |          |     |                 |
| Railinc. Corporation<br>P.O Box 79860<br>Atten. Pres., Sed or Manager<br>Baltimore, MD 21279                |          | -           |   |            | D              |          |     | 500.00          |
| Account No.   |          |             | Trade Debt  | T          | Т              | Т        | Ť   |                 |
| Regency Transportation<br>101 Constitution Blvd<br>Suite A<br>Attn: Charlene McDonald<br>Franklin, MA 02038 |          | -           |   |            |                |          |     | 17,539.50       |
| Account No.   | t        |             | Trade Debt  | +          | ${}^{\dagger}$ | T        | t   |                 |
| Resin Distibution Inc. P.O. Box 847898 Atten. Sec, Pres., Manager Boston, MA 02284                          |          | -           |   |            |                |          |     | 2,560.00        |
| Account No.   | T        |             | Tade Debt   | T          | T              | T        | T   |                 |
| RVJ Inc<br>56 Nashua Street<br>Atten. Pres, Sec or Manger<br>Leominster, MA 01453                           |          | -           |   |            |                |          |     | 26,262.75       |
| Account No.   | t        | T           | Trade Debt  | $\dagger$  | $\top$         | t        | †   |                 |
| SDV Logistics<br>2100-5200 Miller Road<br>Richmond, BC V7B 1L1<br>Atten. Pres. Sec or Manager<br>CANADA     |          | -           |   |            |                |          |     | 34,128.13       |
| Sheet no. 21 of 27 sheets attached to Schedule of   |          |             |   | Sub        | tota           | ıl       | †   |                 |
| Creditors Holding Unsecured Nonpriority Claims  |          |             | (Total of   | this       | pas            | ze)      | , [ | 80,990.38       |

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B6F (Official Form 6F) (12/07) - Cont.

| In re | Thornton & Co., Inc. | ,      | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

|  | С       | Ни               | sband, Wife, Joint, or Community  | Tc        | U           | D                     |                 |
|--|---------|------------------|---|-----------|-------------|-----------------------|-----------------|
| CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)          | ODEBTOR | H<br>W<br>J<br>C | DATE CLAIM WAS INCURRED AND<br>CONSIDERATION FOR CLAIM. IF CLAIM<br>IS SUBJECT TO SETOFF, SO STATE. | CONTLEGEN | ONLIQUIDATE | I<br>S<br>P<br>U<br>T | AMOUNT OF CLAIM |
| Account No.  |         |                  | trade debt  | T         | T<br>E<br>D |                       |                 |
| SDV USA<br>Attn: President, Secretary, Manager<br>PO BOX 276<br>Torrance, CA 90507                         |         | -                |   |           |             |                       | 38,858.00       |
| Account No.  | t       |                  | document disposal services  | $\dagger$ | H           |                       |                 |
| Shred-It Connecticut<br>Attn: Pres., Sec., Mng. Agent<br>29 Diana Court<br>Cheshire, CT 06410              |         | -                |   |           |             |                       | 240.92          |
| Account No.  | ╁       |                  |   | +         |             |                       |                 |
| Shuttle Meadow Country Club<br>Attn: Pres., Sec., Mng. Agent<br>51 Randeckers Lane<br>Kensington, CT 06037 |         | -                |   |           |             |                       | 12,906.85       |
| Account No.  | 1       |                  | professional fees   | +         |             |                       |                 |
| Silliman & Assoc. Inc<br>Attn: Pres., Sec., Mng. Agent<br>9850 Meadowglen #12<br>Houston, TX 77042         |         | -                |   |           |             |                       | 750.00          |
| Account No.  | +       |                  | Trade Debt  | +         | $\vdash$    |                       |                 |
| SM Polymers, Inc<br>410 Saint Andew Fergus<br>ON N1M 1P3<br>Attn Pres, Sec, or Mng Agent<br>CANADA         |         | -                |   |           |             |                       | 30,752.05       |
| Sheet no. <b>22</b> of <b>27</b> sheets attached to Schedule of  |         | <u> </u>         |   | Sub       | tota        | ıl                    | 00 507 00       |
| Creditors Holding Unsecured Nonpriority Claims   |         |                  | (Total of   | this      | pag         | ge)                   | 83,507.82       |

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B6F (Official Form 6F) (12/07) - Cont.

| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,   | C             | Hu               | sband, Wife, Joint, or Community  | C          | Ü           | D        |                 |
|--|---------------|------------------|---|------------|-------------|----------|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)  Account No.                        | O D E B T O R | H<br>W<br>J<br>C | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGENT | D<br>A<br>T | SPUTED   | AMOUNT OF CLAIM |
| Account No.  | ł             |                  |   |            | E<br>D      |          |                 |
| Sund Technologies<br>Attn: Pres., Sec., Mng. Agent<br>33 Savarese Lane<br>Burlington, CT 06013                       |               | -                |   |            |             |          | 650.24          |
| Account No.  | Ī             |                  | Trade debt  |            | T           | T        |                 |
| Sunteck Transport Co., Inc<br>6413 Congress Ave.<br>Suite 260<br>Atten. Pres, Sec or Manager<br>Boca Raton, FL 33487 |               | -                |   |            |             |          | 113,184.50      |
| Account No.  | t             |                  | Property Taxes  |            | T           | T        |                 |
| Tax Collector Town of<br>Southington<br>P.O. Box Drawer 579<br>Atten. Pres., Sec or Manager<br>Southington, CT 06489 |               | -                |   |            |             |          | 688.09          |
| Account No.  | Ī             |                  | Trade debt  |            |             | Г        |                 |
| Tennessee Southern Railroad<br>P.O. Box 32<br>Atten. Pres. Sec or Manager<br>Mount Pleasant, TN 38474                |               | -                |   |            |             |          | 330.00          |
| Account No.  | t             |                  |   |            | $\vdash$    | $\vdash$ |                 |
| The Blackiron Group<br>Attn: Pres., Sec., Mng. Agent<br>40 Richard Ave<br>3rd Floor<br>Norwalk, CT 06854             |               | -                |   |            |             |          | 1,050.00        |
| Sheet no. 23 of 27 sheets attached to Schedule of  |               | •                |   | Sub        | tota        | .1       | 115 002 92      |
| Creditors Holding Unsecured Nonpriority Claims   |               |                  | (Total of t   | his        | pag         | e)       | 115,902.83      |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,   | C        | Hu          | sband, Wife, Joint, or Community  | Τç         | U          | P   | Τ۲  |                 |
|--|----------|-------------|---|------------|------------|-----|-----|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                       | CODEBTOR | C<br>H<br>H | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGENT | UNLIQUIDAT |     | - 1 | AMOUNT OF CLAIM |
| Account No.  |          |             | Trade Debt  |            | Ė          | 1   |     |                 |
| The DOW Chemical Company<br>2030 Dow Center<br>Atten. Pres., Sec or Manager<br>Midland, MI 48674       |          | -           |   |            |            |     |     | 430,000.00      |
| Account No.  |          |             |   | T          |            |     | T   |                 |
| The Grigsby Forum, Inc<br>Attn: Pres., Sec., Mng. Agent<br>27 Beaudry Lane<br>Bloomfield, CT 06002     |          | -           |   |            |            |     |     | 600.00          |
| Account No.  | t        |             | Trade debt  | $\top$     | T          | T   | †   |                 |
| The Plastics Exchange LLC<br>233 South Wacker Drive<br>Atten.Pres, Sec or Manager<br>Chicago, IL 60606 |          | -           |   |            |            |     |     | 54,949.09       |
| Account No.  |          |             | Trade Debt  |            | Г          |     | T   |                 |
| Transflo P O Box 640839 Atten., Pres., Sec or Manager Pittsburgh, PA 15264                             |          | _           |   |            |            |     |     | 1,135.09        |
| Account No.  | t        |             | Trade Debt  | $\dagger$  | T          | t   | †   |                 |
| Triangle Warehouse<br>201 Aero Court<br>Atten. Pres. Sec or Manager<br>Greensboro, NC 27409            |          | _           |   |            |            |     |     | 1,787.50        |
| Sheet no. 24 of 27 sheets attached to Schedule of  |          |             |   | Sub        | tota       | al  | T   | 100 171 60      |
| Creditors Holding Unsecured Nonpriority Claims   |          |             | (Total of   | this       | pag        | ze) | ı۱  | 488,471.68      |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

|  | С       | Hus   | sband, Wife, Joint, or Community  | Tc        | U           | D      |                 |
|--|---------|-------|---|-----------|-------------|--------|-----------------|
| CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)      | ODEBTOR | H W J | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONFLEGEN | LQU         | SPUTED | AMOUNT OF CLAIM |
| Account No.  |         |       | Trade debt  | T         | T<br>E<br>D |        |                 |
| Trimac Transportation Serv LLP PO Box 2532 St M Calgary, AB T2P 5P9 Atten. Pres, Sec or Manager CANADA |         | -     |   |           |             |        | 3,997.21        |
| Account No.  |         |       | Trade debt  |           |             |        |                 |
| Troyer Trucking<br>7505 Sandy Point Road<br>Atten. Pres. Sec or President<br>Lima, OH 45807            |         | -     |   |           |             |        | 20,670.55       |
| Account No.  |         |       | Trade Debt  | +         | T           |        |                 |
| Underwood and Welding Co., Inc<br>PO Box 669<br>Atten. Pres, Sec or Manager<br>Spruce Pine, NC 28777   |         | -     |   |           |             |        | 9,773.59        |
| Account No.  |         |       | railway services  | +         |             |        |                 |
| Union Pacific Railroad<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 843465<br>Dallas, TX 75284           |         | -     |   |           |             |        | 5,285.00        |
| Account No.  | ┞       | H     | Trade Debt  | +         | $\vdash$    |        | 5,253.00        |
| United World Lines<br>1340 Depot Street #200<br>Attn Pres, Sec, or Mng Agent<br>Rocky River, OH 44116  |         | _     |   |           |             |        | 3,132.50        |
| Sheet no. <b>25</b> of <b>27</b> sheets attached to Schedule of  |         |       |   | Sub       | tota        | 1      |                 |
| Creditors Holding Unsecured Nonpriority Claims   |         |       | (Total of   |           |             |        | 42,858.85       |

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B6F (Official Form 6F) (12/07) - Cont.

| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| -     |                      | Debtor |         |          |  |

|   | _        |             |   |            | _           | _           | 1               |
|---|----------|-------------|---|------------|-------------|-------------|-----------------|
| CREDITOR'S NAME,  | C        | Hu          | sband, Wife, Joint, or Community                                  |            | U<br>N<br>L | P           |                 |
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                          | CODEBTOR | C<br>A<br>M | CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONFINGENT | I QU I D    | S P U T E D | AMOUNT OF CLAIM |
| Account No.   | 1        |             | Trade Debt  | '          | A<br>T<br>E |             |                 |
| UPS<br>PO Box 7247-0244<br>Atten. Pres., Sec or Manager<br>Philadelphia, PA 19170                         |          | -           |   |            | D           |             | 200.23          |
| Account No.   |          |             | utilities   |            |             |             |                 |
| Verizon Wireless<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 15062<br>Albany, NY 12212                     |          | -           |   |            |             |             | 704.53          |
| Account No.   | ╀        |             |   |            | ╄           | L           | 7 0 4.00        |
| Vinmar Overseas LTD Attn: Pres., Sec., Mng. Agent 16800 Imperial Valley Drive Suite 499 Houston, TX 77060 |          | -           |   |            |             |             | 13,227.50       |
| Account No.   | T        |             | trade debt  |            | T           | T           |                 |
| Visco<br>Sttn: President, Secretary, Manager<br>721 BROADWAY SUITE 270<br>Kingston, NY 12401              |          | -           |   |            |             |             | 5,508.34        |
| Account No.   | ✝        | T           | office supplies   | T          | $\top$      | T           |                 |
| W.B. Mason Co Inc<br>Attn: Pres., Sec., Mng. Agent<br>PO Box 981101<br>Boston, MA 02298                   |          | -           |   |            |             |             | 136.11          |
| Sheet no. <b>26</b> of <b>27</b> sheets attached to Schedule of   |          |             |   | Sub        | tota        | ıl          | 40.770.74       |
| Creditors Holding Unsecured Nonpriority Claims  |          |             | (Total of t   | his        | pag         | ge)         | 19,776.71       |

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| In re | Thornton & Co., Inc. |        | Case No | 15-21416 |  |
|-------|----------------------|--------|---------|----------|--|
| _     |                      | Debtor |         |          |  |

| CREDITOR'S NAME,  | Ç             | Hu          | sband, Wife, Joint, or Community  | č         | Ų           | Ţ  | 5          |                 |
|---|---------------|-------------|---|-----------|-------------|----|------------|-----------------|
| MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)                        | O D E B T O R | C<br>A<br>M | DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE. | CONTINGEN | QU<br>LD    | Ιċ | - 1        | AMOUNT OF CLAIM |
| Account No.   | 厂             |             | Trade debt  | Ť         | E           |    | İ          |                 |
| We Pack Logistics, LP P. O. Box 876 Atten. Pres, Sec or Manager Paris, TX 75461                         |               | -           |   |           | D           |    |            | 36.00           |
| Account No.   | t             |             | Trade debt  | +         |             | t  | +          |                 |
| Westlake Longview Corporation<br>2801 Post Oak Blvd<br>Atten. Pres, Sec pr Manager<br>Houston, TX 77056 | -             | -           |   |           |             |    |            |                 |
|   |               |             |   |           |             |    |            | 1,079,223.54    |
| Account No.   |               |             | Trade Debt  | T         |             |    | 1          |                 |
| Westlake Petrochemicals LLC<br>2801 Post Oak Blvd<br>Atten. Pres. Sec or Manager<br>Houston, TX 77056   |               | -           |   |           |             | ,  | x          |                 |
| Account No.   | L             |             | Trade Debt  | ot        |             | 1  | 4          | 1,154,957.26    |
| Westwood Golf Club<br>8888 Country Creek Drive<br>Atten. Pres., Sec or Manager<br>Houston, TX 77036     | -             | -           | Trade Best  |           |             |    |            | 1,058.43        |
| Account No.   | T             |             | Trade Debt  | T         |             | t  | 1          |                 |
| Westwoods Shipping<br>136 Freemont St.<br>Concord, ON L4K5H9<br>Atten. Pres., Sec or Manager<br>CANADA  |               | -           |   |           |             |    |            | 845.00          |
| Sheet no. <b>27</b> of <b>27</b> sheets attached to Schedule of   |               |             |   | Sub       |             |    | <u>,  </u> | 2,236,120.23    |
| Creditors Holding Unsecured Nonpriority Claims  |               |             | (Total of t   |           | -           |    | <b>'</b>   |                 |
|   |               |             | (Report on Summary of So  |           | Fota<br>dul |    | , [        | 11,400,188.25   |

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B6G (Official Form 6G) (12/07)

| T     | Thomas 9 Co. Inc.    |              | C N-    | 45 04 440 |  |
|-------|----------------------|--------------|---------|-----------|--|
| In re | Thornton & Co., Inc. |              | Case No | 15-21416  |  |
| _     | <u> </u>             | <del>,</del> |         |           |  |
|       |                      | Debtor       |         |           |  |

## SCHEDULE G - EXECUTORY CONTRACTS AND UNEXPIRED LEASES

Describe all executory contracts of any nature and all unexpired leases of real or personal property. Include any timeshare interests. State nature of debtor's interest in contract, i.e., "Purchaser", "Agent", etc. State whether debtor is the lessor or lessee of a lease. Provide the names and complete mailing addresses of all other parties to each lease or contract described. If a minor child is a party to one of the leases or contracts, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

☐ Check this box if debtor has no executory contracts or unexpired leases.

Name and Mailing Address, Including Zip Code, of Other Parties to Lease or Contract

Description of Contract or Lease and Nature of Debtor's Interest. State whether lease is for nonresidential real property. State contract number of any government contract.

132 LLC 1 Center Street Attn: Pres, Sec, Mng Agent Southington, CT 06489

140 Lburg LLC 140 Leominster-Shirly Road Attn Pres, Sec, or Mng Agent Lunenburg, MA 01462

Leaf 1720A Crete Street Attn: President, Sec, or Managing Agent Moberly, MO 65270 Office Lease

Office Lease

Copier Lease - Sharp MX-3116 Serial No. 45121389 Case 15-21416 Doc 110 Filed 08/31/15 Entered 08/31/15 19:19:25 Desc Main 8/31/15 7:06PM Document Page 47 of 62

B6H (Official Form 6H) (12/07)

| In re | Thornton & Co., Inc. |          | Case No. | 15-21416 |  |
|-------|----------------------|----------|----------|----------|--|
| -     |                      | Debtor , |          |          |  |

## **SCHEDULE H - CODEBTORS**

Provide the information requested concerning any person or entity, other than a spouse in a joint case, that is also liable on any debts listed by debtor in the schedules of creditors. Include all guarantors and co-signers. If the debtor resides or resided in a community property state, commonwealth, or territory (including Alaska, Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Puerto Rico, Texas, Washington, or Wisconsin) within the eight year period immediately preceding the commencement of the case, identify the name of the debtor's spouse and of any former spouse who resides or resided with the debtor in the community property state, commonwealth, or territory. Include all names used by the nondebtor spouse during the eight years immediately preceding the commencement of this case. If a minor child is a codebtor or a creditor, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

☐ Check this box if debtor has no codebtors.

| <br>Cheek this box ii debtoi has no codebtois.                                       |  |  |
|--|--|--|
| <br>NAME AND ADDRESS OF CODEBTOR   | NAME AND ADDRESS OF CREDITOR   |  |
| J. Paul Thornton, III<br>2118 Meriden Waterbury Tpke.<br>Unit 15<br>Marion, CT 06444 | People's United Bank<br>1 Conant Street<br>Attn: Jeffrey Giunta<br>Danvers, MA 01923 |  |
| J. Paul Thorton, Jr.<br>13 Parsons Road<br>Long Island, ME 04050                     | People's United Bank<br>1 Conant Street<br>Attn: Jeffrey Giunta<br>Danvers, MA 01923 |  |
| Nathan DeAngelis<br>39 Robins Road<br>Farmington, CT 06032                           | People's United Bank<br>1 Conant Street<br>Attn: Jeffrey Giunta<br>Danvers, MA 01923 |  |

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B6 Declaration (Official Form 6 - Declaration). (12/07)

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## **United States Bankruptcy Court District of Connecticut**

| In re | Thornton & Co., Inc. |           | Case No. | 15-21416 |
|-------|----------------------|-----------|----------|----------|
|       |                      | Debtor(s) | Chapter  | 11       |
|       |                      |           |          |          |

## DECLARATION CONCERNING DEBTOR'S SCHEDULES

DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP

|      |                 | es, consisting of | otor in this case, declare under penalty of perjury that I have 1 47 sheets, and that they are true and correct to the best |
|------|-----------------|-------------------|---|
| Date | August 31, 2015 | Signature         | /s/ J. Paul Thornton, Jr. J. Paul Thornton, Jr. President   |

Penalty for making a false statement or concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 and 3571.

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B7 (Official Form 7) (04/13)

## United States Bankruptcy Court District of Connecticut

| In re | Thornton & Co., Inc. |           | Case No. | 15-21416 |
|-------|----------------------|-----------|----------|----------|
|       |                      | Debtor(s) | Chapter  | 11       |

### STATEMENT OF FINANCIAL AFFAIRS

This statement is to be completed by every debtor. Spouses filing a joint petition may file a single statement on which the information for both spouses is combined. If the case is filed under chapter 12 or chapter 13, a married debtor must furnish information for both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed. An individual debtor engaged in business as a sole proprietor, partner, family farmer, or self-employed professional, should provide the information requested on this statement concerning all such activities as well as the individual's personal affairs. To indicate payments, transfers and the like to minor children, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. § 112; Fed. R. Bankr. P. 1007(m).

Questions 1 - 18 are to be completed by all debtors. Debtors that are or have been in business, as defined below, also must complete Questions 19 - 25. **If the answer to an applicable question is "None," mark the box labeled "None."** If additional space is needed for the answer to any question, use and attach a separate sheet properly identified with the case name, case number (if known), and the number of the question.

#### **DEFINITIONS**

"In business." A debtor is "in business" for the purpose of this form if the debtor is a corporation or partnership. An individual debtor is "in business" for the purpose of this form if the debtor is or has been, within six years immediately preceding the filing of this bankruptcy case, any of the following: an officer, director, managing executive, or owner of 5 percent or more of the voting or equity securities of a corporation; a partner, other than a limited partner, of a partnership; a sole proprietor or self-employed full-time or part-time. An individual debtor also may be "in business" for the purpose of this form if the debtor engages in a trade, business, or other activity, other than as an employee, to supplement income from the debtor's primary employment.

"Insider." The term "insider" includes but is not limited to: relatives of the debtor; general partners of the debtor and their relatives; corporations of which the debtor is an officer, director, or person in control; officers, directors, and any persons in control of a corporate debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(2), (31).

## 1. Income from employment or operation of business

None

State the gross amount of income the debtor has received from employment, trade, or profession, or from operation of the debtor's business, including part-time activities either as an employee or in independent trade or business, from the beginning of this calendar year to the date this case was commenced. State also the gross amounts received during the **two years** immediately preceding this calendar year. (A debtor that maintains, or has maintained, financial records on the basis of a fiscal rather than a calendar year may report fiscal year income. Identify the beginning and ending dates of the debtor's fiscal year.) If a joint petition is filed, state income for each spouse separately. (Married debtors filing under chapter 12 or chapter 13 must state income of both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

AMOUNT SOURCE

\$163,180,054.00 2013, gross sales \$196,856,118.00 2014, gross sales

\$82,814,397.88 2015 (1/1/15-8/10/15) Gross sales

### 2. Income other than from employment or operation of business

None

State the amount of income received by the debtor other than from employment, trade, profession, or operation of the debtor's business during the **two years** immediately preceding the commencement of this case. Give particulars. If a joint petition is filed, state income for each spouse separately. (Married debtors filing under chapter 12 or chapter 13 must state income for each spouse whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

AMOUNT SOURCE

\$5,031.29 2015 YTD: Interest / Dividends

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AMOUNT SOURCE

\$29,479.83 2014: Interest / Dividends \$14,567.44 2013: Interest / Dividends

### 3. Payments to creditors

None

Complete a. or b., as appropriate, and c.

a. *Individual or joint debtor(s) with primarily consumer debts:* List all payments on loans, installment purchases of goods or services, and other debts to any creditor made within **90 days** immediately preceding the commencement of this case unless the aggregate value of all property that constitutes or is affected by such transfer is less than \$600. Indicate with an asterisk (\*) any payments that were made to a creditor on account of a domestic support obligation or as part of an alternative repayment schedule under a plan by an approved nonprofit budgeting and credit counseling agency. (Married debtors filing under chapter 12 or chapter 13 must include payments by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS DATES OF AMOUNT STILL PAYMENTS AMOUNT PAID OWING

None

b. Debtor whose debts are not primarily consumer debts: List each payment or other transfer to any creditor made within 90 days immediately preceding the commencement of the case unless the aggregate value of all property that constitutes or is affected by such transfer is less than \$6,225\*. If the debtor is an individual, indicate with an asterisk (\*) any payments that were made to a creditor on account of a domestic support obligation or as part of an alternative repayment schedule under a plan by an approved nonprofit budgeting and credit counseling agency. (Married debtors filing under chapter 12 or chapter 13 must include payments and other transfers by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

DATES OF PAID OR PAYMENTS/ VALUE OF AMOUNT STILL NAME AND ADDRESS OF CREDITOR TRANSFERS TRANSFERS OWING

See attached report \$0.00 \$0.00

**AMOUNT** 

None c. *All debtors:* List all payments made within **one year** immediately preceding the commencement of this case to or for the benefit of creditors who are or were insiders. (Married debtors filing under chapter 12 or chapter 13 must include payments by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR AND
RELATIONSHIP TO DEBTOR

DATE OF PAYMENT

AMOUNT STILL
AMOUNT PAID
OWING

See attached
(Excludes regular compensation)

AMOUNT STILL
SOURCE
OWING

\$0.00

### 4. Suits and administrative proceedings, executions, garnishments and attachments

None

a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

| CAPTION OF SUIT AND CASE NUMBER Thornton and Company, Inc. v. Polymer Trading USA, LLC, Case No. 4:14-cv-00359 | NATURE OF<br>PROCEEDING<br>Breach of<br>Contract | COURT OR AGENCY AND LOCATION U.S. District Court for the Southern District of Texas | STATUS OR DISPOSITION Closed as of 6-26-2015. |
|--|--|---|---|
| Thornton and Company, Inc. v. Gracious Living Corporation, court file no. cv-15-526847.                        | Breach of<br>Contract                            | Ontario Superior Court of Justice   | Pending.                                      |
| Thornton and Company, Inc. v. Willy (dba<br>Mayflower Enterprises), No. PLCV2012-00379                         | business<br>litigation                           | Superior Court of Massachusetts, Plymouth County                                    | Dismissed.                                    |

<sup>\*</sup> Amount subject to adjustment on 4/01/16, and every three years thereafter with respect to cases commenced on or after the date of adjustment.

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None b. Describe all property that has been attached, garnished or seized under any legal or equitable process within **one year** immediately

preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF PERSON FOR WHOSE BENEFIT PROPERTY WAS SEIZED

DATE OF SEIZURE

DESCRIPTION AND VALUE OF PROPERTY

### 5. Repossessions, foreclosures and returns

None

List all property that has been repossessed by a creditor, sold at a foreclosure sale, transferred through a deed in lieu of foreclosure or returned to the seller, within **one year** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR OR SELLER Formosa Plastics Corporation 9 Peach Tree Hill Rd Atten. Pres sec or Manager Livingston, NJ 07039

Westlake Petrochemicals LLC 2801 Post Oak Blvd Atten. Pres. Sec or Manager Houston, TX 77056 DATE OF REPOSSESSION, FORECLOSURE SALE, TRANSFER OR RETURN

8-10-2015

DESCRIPTION AND VALUE OF PROPERTY

Diverted railcars containing shipments of debtor's inventory.

8-7-2015 Diverted railcars containing shipments of debtor's inventory.

#### 6. Assignments and receiverships

None

a. Describe any assignment of property for the benefit of creditors made within **120 days** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include any assignment by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF ASSIGNEE

DATE OF ASSIGNMENT

TERMS OF ASSIGNMENT OR SETTLEMENT

None b. List all property which has been in the hands of a custodian, receiver, or court-appointed official within one year immediately

preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CUSTODIAN NAME AND LOCATION OF COURT CASE TITLE & NUMBER

DATE OF ORDER DESCRIPTION AND VALUE OF PROPERTY

### 7. Gifts

None

List all gifts or charitable contributions made within **one year** immediately preceding the commencement of this case except ordinary and usual gifts to family members aggregating less than \$200 in value per individual family member and charitable contributions aggregating less than \$100 per recipient. (Married debtors filing under chapter 12 or chapter 13 must include gifts or contributions by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

RELATIONSHIP TO NAME AND ADDRESS OF DESCRIPTION AND DATE OF GIFT PERSON OR ORGANIZATION DEBTOR, IF ANY VALUE OF GIFT **Nazareth Academy Country Club** 9-12-2015 \$1,500 **Poly One** 10-7-2015 \$250 **United Way** 12-7-2014 \$7,700

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| NAME AND ADDRESS OF<br>PERSON OR ORGANIZATION<br>Ike Warehime/Christmas with Kids<br>Charity | RELATIONSHIP TO<br>DEBTOR, IF ANY | DATE OF GIFT<br><b>12-16-2014</b> | DESCRIPTION AND<br>VALUE OF GIFT<br>\$1,000 |
|--|-----------------------------------|-----------------------------------|---|
| Roswell High School Dugout Club  |                                   | 3-17-2015                         | \$500                                       |
| American Cancer Society  |                                   | 4-7-2015                          | \$250                                       |
| Easter Seals   |                                   | 4-8-2015                          | \$1,500                                     |
| Clinton Noon Lion Club   |                                   | 5-5-2015                          | \$100                                       |
| S&E Specialty Golf Tournament  |                                   | 6-24-2015                         | \$1,500                                     |

#### 8. Losses

None

List all losses from fire, theft, other casualty or gambling within **one year** immediately preceding the commencement of this case **or since the commencement of this case.** (Married debtors filing under chapter 12 or chapter 13 must include losses by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

DESCRIPTION AND VALUE OF PROPERTY

DESCRIPTION OF CIRCUMSTANCES AND, IF LOSS WAS COVERED IN WHOLE OR IN PART BY INSURANCE, GIVE PARTICULARS

DATE OF LOSS

## 9. Payments related to debt counseling or bankruptcy

None

List all payments made or property transferred by or on behalf of the debtor to any persons, including attorneys, for consultation concerning debt consolidation, relief under the bankruptcy law or preparation of the petition in bankruptcy within **one year** immediately preceding the commencement of this case.

| NAME AND ADDRESS OF PAYEE Green & Sklarz, LLC 700 State St. Suite 100 New Haven, CT 06511           | DATE OF PAYMENT,<br>NAME OF PAYER IF OTHER<br>THAN DEBTOR<br><b>6-17-2015</b> | AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY \$5,000, disbursed from Green & Sklarz attorney trust account at debtor's direction for payment of invoice. |
|---|---|--|
| Green & Sklarz, LLC<br>700 State St. Suite 100<br>New Haven, CT 06511                               | 6-27-15   | \$8,431.65, disbursed from<br>Green & Sklarz attorney trust<br>account at debtor's direction<br>for payment of invoice.  |
| Green & Sklarz, LLC<br>700 State St. Suite 100<br>New Haven, CT 06511                               | 6-27-15   | \$2,980, disbursed from Green & Sklarz attorney trust account at debtor's direction for payment of invoice.  |
| Gordian Group<br>Attn: Pres., Sec., Mng. Agent<br>950 Third Ave<br>17th Floor<br>New York, NY 10022 | 7-10-15   | \$20,0000, disbursed from Green & Sklarz attorney trust account at debtor's direction for payment of invoice.  |
| Green & Sklarz, LLC<br>700 State St. Suite 100<br>New Haven, CT 06511                               | 7-17-15   | \$8,711.50, disbursed from<br>Green & Sklarz attorney trust<br>account at debtor's direction<br>for payment of invoice.  |

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| NAME AND ADDRESS OF PAYEE Green & Sklarz, LLC 700 State St., Suite 100 New Haven, CT 06511          | DATE OF PAYMENT,<br>NAME OF PAYER IF OTHER<br>THAN DEBTOR<br><b>8-4-2015</b> | AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY \$13,105.31, disbursed from Green & Sklarz attorney trust account at debtor's direction for payment of invoice.               |
|---|--|--|
| Gordian Group<br>Attn: Pres., Sec., Mng. Agent<br>950 Third Ave<br>17th Floor<br>New York, NY 10022 | 8-4-15   | \$20,000, disbursed from Green & Sklarz attorney trust account at debtor's direction for payment of invoice.   |
| Green & Sklarz, LLC<br>700 State St. Suite 100<br>New Haven, CT 06511                               | 8-10-15  | \$17,503.58, disbursed from<br>Green & Sklarz attorney trust<br>account at debtor's direction<br>for payment of invoice.   |
| Pullman & Comley LLC<br>PO Box 7006<br>Atten. Pres, Sec or Manager<br>Bridgeport, CT 06601          | 8-10-15  | \$150,000, disbursed from Green & Sklarz attorney trust account at debtor's direction for payment of pre-petition retainer, which is now being held for the benefit of the debtor. |
| Adams Samartino & Co. PC<br>P.O. Box 273<br>Atten: Pres, Sec, or Manager<br>Bristol, CT 06011       | 8-10-15  | \$40,000, disbursed from Green & Sklarz attorney trust account at debtor's direction for payment of pre-petition retainer, which is now being held for the benefit of the debtor.  |
| Gordian Group<br>Attn: Pres., Sec., Mng. Agent<br>950 Third Ave<br>17th Floor<br>New York, NY 10022 | 8-10-15  | \$60,000, disbursed from Green & Sklarz attorney trust account at debtor's direction for payment of pre-petition retainer, which is now being held for the benefit of the debtor.  |
| Green & Sklarz LLC<br>700 State Street, Suite 100<br>New Haven, CT 06511                            | 8-10-15  | \$200,000, pre-petition retainer held in Green & Sklarz attorney trust account for the benefit of the debtor.  |

## 10. Other transfers

None 

a. List all other property, other than property transferred in the ordinary course of the business or financial affairs of the debtor, transferred either absolutely or as security within two years immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include transfers by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF TRANSFEREE, RELATIONSHIP TO DEBTOR Miller & Martin, PLLC

Suite 2100 Regions Plaza, 1180 West Peachtree Street, N.W.

Atlanta, GA 30309

DATE 9-25-2014 DESCRIBE PROPERTY TRANSFERRED AND VALUE RECEIVED

\$350,000 (money paid to Southern Polymer's

attorney for settlement of lawsuit)

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NAME AND ADDRESS OF TRANSFEREE, RELATIONSHIP TO DEBTOR

Green & Sklarz, LLC 700 State St. Suite 100 New Haven, CT 06511 **Debtor's counsel** 

DESCRIBE PROPERTY TRANSFERRED DATE AND VALUE RECEIVED

See attached accounting and reconciliation.

\$787,875.62 (transferred to Green & Sklarz attorney trust account for the benefit of the

debtor)

None

b. List all property transferred by the debtor within ten years immediately preceding the commencement of this case to a self-settled trust or similar device of which the debtor is a beneficiary.

NAME OF TRUST OR OTHER

DEVICE

DATE(S) OF TRANSFER(S) AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY OR DEBTOR'S INTEREST

IN PROPERTY

### 11. Closed financial accounts

None

List all financial accounts and instruments held in the name of the debtor or for the benefit of the debtor which were closed, sold, or otherwise transferred within one year immediately preceding the commencement of this case. Include checking, savings, or other financial accounts, certificates of deposit, or other instruments; shares and share accounts held in banks, credit unions, pension funds, cooperatives, associations, brokerage houses and other financial institutions. (Married debtors filing under chapter 12 or chapter 13 must include information concerning accounts or instruments held by or for either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF INSTITUTION

TYPE OF ACCOUNT, LAST FOUR DIGITS OF ACCOUNT NUMBER. AND AMOUNT OF FINAL BALANCE

AMOUNT AND DATE OF SALE OR CLOSING

## 12. Safe deposit boxes

None

List each safe deposit or other box or depository in which the debtor has or had securities, cash, or other valuables within one year immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include boxes or depositories of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF BANK OR OTHER DEPOSITORY

NAMES AND ADDRESSES OF THOSE WITH ACCESS TO BOX OR DEPOSITORY

DESCRIPTION OF CONTENTS DATE OF TRANSFER OR SURRENDER, IF ANY

### 13. Setoffs

None

List all setoffs made by any creditor, including a bank, against a debt or deposit of the debtor within 90 days preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR

DATE OF SETOFF

AMOUNT OF SETOFF

#### 14. Property held for another person

None

List all property owned by another person that the debtor holds or controls.

NAME AND ADDRESS OF OWNER

DESCRIPTION AND VALUE OF PROPERTY

LOCATION OF PROPERTY

## 15. Prior address of debtor

None

If the debtor has moved within three years immediately preceding the commencement of this case, list all premises which the debtor occupied during that period and vacated prior to the commencement of this case. If a joint petition is filed, report also any separate address of either spouse.

**ADDRESS** NAME USED DATES OF OCCUPANCY Document Page 55 of 62

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#### 16. Spouses and Former Spouses

None

If the debtor resides or resided in a community property state, commonwealth, or territory (including Alaska, Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Puerto Rico, Texas, Washington, or Wisconsin) within eight years immediately preceding the commencement of the case, identify the name of the debtor's spouse and of any former spouse who resides or resided with the debtor in the community property state.

NAME

#### 17. Environmental Information.

For the purpose of this question, the following definitions apply:

"Environmental Law" means any federal, state, or local statute or regulation regulating pollution, contamination, releases of hazardous or toxic substances, wastes or material into the air, land, soil, surface water, groundwater, or other medium, including, but not limited to, statutes or regulations regulating the cleanup of these substances, wastes, or material.

"Site" means any location, facility, or property as defined under any Environmental Law, whether or not presently or formerly owned or operated by the debtor, including, but not limited to, disposal sites.

"Hazardous Material" means anything defined as a hazardous waste, hazardous substance, toxic substance, hazardous material, pollutant, or contaminant or similar term under an Environmental Law

None

a. List the name and address of every site for which the debtor has received notice in writing by a governmental unit that it may be liable or potentially liable under or in violation of an Environmental Law. Indicate the governmental unit, the date of the notice, and, if known, the Environmental Law:

SITE NAME AND ADDRESS

NAME AND ADDRESS OF

DATE OF

**ENVIRONMENTAL** 

GOVERNMENTAL UNIT NOTICE LAW

None b. List the name and address of every site for which the debtor provided notice to a governmental unit of a release of Hazardous

Material. Indicate the governmental unit to which the notice was sent and the date of the notice.

SITE NAME AND ADDRESS

NAME AND ADDRESS OF GOVERNMENTAL UNIT

DATE OF NOTICE

**ENVIRONMENTAL** 

c. List all judicial or administrative proceedings, including settlements or orders, under any Environmental Law with respect to which

the debtor is or was a party. Indicate the name and address of the governmental unit that is or was a party to the proceeding, and the docket number.

NAME AND ADDRESS OF GOVERNMENTAL UNIT

DOCKET NUMBER

STATUS OR DISPOSITION

### 18. Nature, location and name of business

None 

a. If the debtor is an individual, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was an officer, director, partner, or managing executive of a corporation, partner in a partnership, sole proprietor, or was self-employed in a trade, profession, or other activity either full- or part-time within six years immediately preceding the commencement of this case, or in which the debtor owned 5 percent or more of the voting or equity securities within six years immediately preceding the commencement of this case.

If the debtor is a partnership, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was a partner or owned 5 percent or more of the voting or equity securities, within six **years** immediately preceding the commencement of this case.

If the debtor is a corporation, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was a partner or owned 5 percent or more of the voting or equity securities within six years immediately preceding the commencement of this case.

> LAST FOUR DIGITS OF SOCIAL-SECURITY OR OTHER INDIVIDUAL TAXPAYER-I.D. NO. (ITIN)/ COMPLETE EIN ADDRESS

NATURE OF BUSINESS

**BEGINNING AND ENDING DATES** 

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Thornton & Co., Inc.

LAST FOUR DIGITS OF SOCIAL-SECURITY OR OTHER INDIVIDUAL TAXPAYER-I.D. NO.

NAME (ITIN)/ COMPLETE EIN **ADDRESS** 132 Main Street, #3

Southington, CT 06489

NATURE OF BUSINESS Commercial sale of plastic resins and

petrochemicals.

**ENDING DATES** Approx. Aug. 1994-present

BEGINNING AND

None

b. Identify any business listed in response to subdivision a., above, that is "single asset real estate" as defined in 11 U.S.C. § 101.

NAME ADDRESS

06-1319629

The following questions are to be completed by every debtor that is a corporation or partnership and by any individual debtor who is or has been, within six years immediately preceding the commencement of this case, any of the following: an officer, director, managing executive, or owner of more than 5 percent of the voting or equity securities of a corporation; a partner, other than a limited partner, of a partnership, a sole proprietor, or self-employed in a trade, profession, or other activity, either full- or part-time.

(An individual or joint debtor should complete this portion of the statement only if the debtor is or has been in business, as defined above, within six years immediately preceding the commencement of this case. A debtor who has not been in business within those six years should go directly to the signature page.)

#### 19. Books, records and financial statements

None a. List all bookkeepers and accountants who within two years immediately preceding the filing of this bankruptcy case kept or supervised the keeping of books of account and records of the debtor.

NAME AND ADDRESS Adams Samartino & Co. PC P.O. Box 273

Atten: Pres, Sec, or Manager

Bristol, CT 06011

**Blum Shapiro** 2 Enterprise Dr. Suite 302

Shelton, CT 06484

DATES SERVICES RENDERED

2012-present

December 2014

None b. List all firms or individuals who within the two years immediately preceding the filing of this bankruptcy case have audited the books of account and records, or prepared a financial statement of the debtor.

Blum Shapiro (independent auditor)

Attn: Pres., Sec., Mng. Agent

DATES SERVICES RENDERED Early 2015.

PO Box 27200

West Hartford, CT 06127

Adams Samartino & Co. PC

P.O. Box 273

2012-present

(accountant)

Atten: Pres, Sec, or Manager

Bristol, CT 06011

People's United Bank (due diligence)

1 Conant Street Attn: Jeffrev Giunta Danvers, MA 01923

Bank of America--Due Diligence **Bank of America Tower** 

New York, NY 10036

None c. List all firms or individuals who at the time of the commencement of this case were in possession of the books of account and records of the debtor. If any of the books of account and records are not available, explain. 

NAME **ADDRESS** 

NAME ADDRESS

William Zeronsa, CFO of Debtor 132 Main Street, #3
Southington, CT 06489

Green & Sklarz, LLC 700 State St. Suite 100 New Haven, CT 06511

People's United Bank 1 Conant Street
Attn: Jeffrey Giunta
Danvers, MA 01923

Adams Samartino & Co. PC P.O. Box 273

Atten: Pres, Sec, or Manager

Bristol, CT 06011

Gordian Group Attn: Pres., Sec., Mng. Agent

950 Third Ave 17th Floor

New York, NY 10022

Pullman & Comley LLC PO Box 7006

Atten. Pres, Sec or Manager Bridgeport, CT 06601

None d. List all financial institutions, creditors and other parties, including mercantile and trade agencies, to whom a financial statement was issued by the debtor within **two years** immediately preceding the commencement of this case.

NAME AND ADDRESS

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People's United Bank 1 Conant Street Attn: Jeffrey Giunta Danvers, MA 01923

Bank of America--Due Diligence Bank of America Tower New York, NY 10036

Berkshire Bank 396 Cromwell Ave. Rocky Hill, CT 06067

Webster Bank 80 Elm St. New Haven, CT 06519

Wells Fargo 420 Montgomery St. San Francisco, CA 94104

Pullman & Comley LLC PO Box 7006 Atten. Pres, Sec or Manager Bridgeport, CT 06601

Otterbourg, PC 230 Park Ave. New York, NY 10169

Chevron Phillips Chemical Co. 4358 Collections Center Drive Atten. Pres. Sec or Manager Chicago, IL 60693

Westlake Petrochemicals LLC 2801 Post Oak Blvd Atten. Pres. Sec or Manager Houston, TX 77056 DATE ISSUED

Dates of submission unavailable.

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DATE ISSUED

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NAME AND ADDRESS **DOW Chemical Canada ULC** Attn Pres Sec or Mng Agent 450-1st St. SW, Suite 2100 Calagary AB T2P5H1 **CANADA** 

**Formosa Plastics Corporation** 9 Peach Tree Hill Rd Atten. Pres sec or Manager Livingston, NJ 07039

**Total Petrochemical** 1201 Louisiana St. Suite 1800 Houston, TX 77002

Equistar Chemicals, LP 1221 McKinney St Atten. Pres Sec or Manager Houston, TX 77010

Flint Hills 4111 East 37th Street N Atten. Pres., Manager, Sec Wichita, KS 67220

**INEOS** 

Attn: Pres., Sec., Mng. Agent 13536 Collections Center Drive Chicago, IL 60693

Phillips 66 Company 21064 Network Place Atten. Pres., Sec or Manager Chicago, IL 60673

**Touchstone Advisors** 308 Enfield St. Enfield, CT 06082

#### 20. Inventories

None 

a. List the dates of the last two inventories taken of your property, the name of the person who supervised the taking of each inventory, and the dollar amount and basis of each inventory.

DATE OF INVENTORY

INVENTORY SUPERVISOR William Zeronsa, CFO

DOLLAR AMOUNT OF INVENTORY (Specify cost, market or other basis) See attached inventory report.

6-4-2015

8-20-2015

William Zeronsa, CFO

See attached inventory aging report

None

b. List the name and address of the person having possession of the records of each of the inventories reported in a., above.

DATE OF INVENTORY

8-20-2015

NAME AND ADDRESSES OF CUSTODIAN OF INVENTORY

RECORDS

William Zeronza, CFO 132 Main Street. #3 Southington, CT 06489

6-4-2015

William Zeronza, CFO 132 Main Street, #3 Southington, CT 06489 10

#### 21. Current Partners, Officers, Directors and Shareholders

None

a. If the debtor is a partnership, list the nature and percentage of partnership interest of each member of the partnership.

NAME AND ADDRESS

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NATURE OF INTEREST

PERCENTAGE OF INTEREST

NATURE AND PERCENTAGE

OF STOCK OWNERSHIP

50% of non-voting stock

50% of non-voting stock

100% of voting stock

11

None b. If the debtor is a corporation, list all officers and directors of the corporation, and each stockholder who directly or indirectly owns, controls, or holds 5 percent or more of the voting or equity securities of the corporation.

NAME AND ADDRESS
J. Paul Thornton, Jr.
132 Main Street, #3
Southington, CT 06489

Southington, CT 06489

J. Paul Thornton III Vice

132 Main Street, #3 Southington, CT 06489

Nathan DeAngelis 132 Main Street, #3 Southington, CT 06489

William Zeronsa 132 Main Street, #3 Southington, CT 06489

Lisa C. Vaccaro 132 Main Street, #3 Southington, CT 06489 Vice President

TITLE

President

Vice President

Chief Financial Officer None.

Secretary None.

22. Former partners, officers, directors and shareholders

None

a. If the debtor is a partnership, list each member who withdrew from the partnership within **one year** immediately preceding the commencement of this case.

NAME ADDRESS DATE OF WITHDRAWAL

None b. If the debtor is a corporation, list all officers, or directors whose relationship with the corporation terminated within **one year** immediately preceding the commencement of this case.

NAME AND ADDRESS TITLE DATE OF TERMINATION

23. Withdrawals from a partnership or distributions by a corporation

None

If the debtor is a partnership or corporation, list all withdrawals or distributions credited or given to an insider, including compensation in any form, bonuses, loans, stock redemptions, options exercised and any other perquisite during **one year** immediately preceding the commencement of this case.

NAME & ADDRESS OF RECIPIENT, RELATIONSHIP TO DEBTOR See attachments

DATE AND PURPOSE OF WITHDRAWAL

AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY •

## 24. Tax Consolidation Group.

None

B7 (Official Form 7) (04/13)

If the debtor is a corporation, list the name and federal taxpayer identification number of the parent corporation of any consolidated group for tax purposes of which the debtor has been a member at any time within **six years** immediately preceding the commencement of the case.

NAME OF PARENT CORPORATION

TAXPAYER IDENTIFICATION NUMBER (EIN)

12

#### 25. Pension Funds.

None ]

If the debtor is not an individual, list the name and federal taxpayer-identification number of any pension fund to which the debtor, as an employer, has been responsible for contributing at any time within **six years** immediately preceding the commencement of the case.

NAME OF PENSION FUND

TAXPAYER IDENTIFICATION NUMBER (EIN)

Thornton & Co., Inc. 401(k) profit sharing plan

\* \* \* \* \*

#### DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP

I declare under penalty of perjury that I have read the answers contained in the foregoing statement of financial affairs and any attachments thereto and that they are true and correct to the best of my knowledge, information and belief.

Date August 31, 2015 Signature /s/ J. Paul Thornton, Jr.

J. Paul Thornton, Jr.

J. Paul Thornton, Jr. President

[An individual signing on behalf of a partnership or corporation must indicate position or relationship to debtor.]

 $Penalty\ for\ making\ a\ false\ statement:\ Fine\ of\ up\ to\ \$500,000\ or\ imprisonment\ for\ up\ to\ 5\ years,\ or\ both.\ 18\ U.S.C.\ \$\$\ 152\ and\ 3571$ 

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## **United States Bankruptcy Court District of Connecticut**

| In re | Thornton & Co., Inc. |        | Case No <b>15-2</b> ′ | 1416 |  |
|-------|----------------------|--------|-----------------------|------|--|
| -     |                      | Debtor |                       |      |  |
|       |                      |        | Chapter               | 11   |  |

## LIST OF EQUITY SECURITY HOLDERS

Following is the list of the Debtor's equity security holders which is prepared in accordance with Rule 1007(a)(3) for filing in this chapter 11 case.

| Name and last known address<br>or place of business of holder                        | Security<br>Class | Number of Securities | Kind of<br>Interest        |
|--|-------------------|----------------------|----------------------------|
| J. Paul Thornton, III<br>2118 Meriden Waterbury Tpke.<br>Unit 15<br>Marion, CT 06444 | Class B           | 675                  | Non-Voting<br>Common Stock |
| J. Paul Thorton, Jr.<br>13 Parsons Road<br>Long Island, ME 04050                     | Class A           | 150                  | Voting Common<br>Stock     |
| Nathan DeAngelis<br>39 Robins Road<br>Farmington, CT 06032                           | Class B           | 675                  | Non-Voting<br>Common Stock |

## DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP

I, the President of the corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing List of Equity Security Holders and that it is true and correct to the best of my information and belief.

| Date_ | August 31, 2015 | Signature /s/ J. Paul Thornton, Jr. |
|-------|-----------------|-------------------------------------|
|       |                 | J. Paul Thornton, Jr.               |
|       |                 | President                           |

Penalty for making a false statement or concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both.

18 U.S.C §§ 152 and 3571.

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# **United States Bankruptcy Court District of Connecticut**

| In re | I nornton & Co., Inc.                             |  | Case No.            | 15-21416                         |
|-------|---|--|---------------------|----------------------------------|
| _     |   | Debtor(s)  | Chapter             | 11                               |
|       | VER   | RIFICATION OF CREDITOR                           | MATRIX              |                                  |
|       | esident of the corporation named of my knowledge. | as the debtor in this case, hereby verify that t | he attached list of | creditors is true and correct to |
| Date: | August 31, 2015                                   | /s/ J. Paul Thornton, Jr.                        |                     |                                  |

J. Paul Thornton, Jr./President

Signer/Title