

**UNITED STATES BANKRUPTCY COURT  
WESTERN DISTRICT OF TEXAS - SAN ANTONIO DIVISION**

Page 1 of 11

**In re: UNITED INDUSTRIES CORPORATION**

**Case No.: 09-50461**

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:** 08 065097-3

**CAPTION OF SUIT:** ACKLEY, REMINDA V UNITED INDISTURES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** DISMISSED

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**CASE NO.:** 4:08-CV-00265

**CAPTION OF SUIT:** BEKINS PROPERTY INC V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** USDC EASTERN DISTRICT OF MISSOURI

**NATURE OF PROCEEDING:** N/A

**STATUS OR DISPOSITION:** SETTLED

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**CASE NO.:** 03-140280

**CAPTION OF SUIT:** BIONDO, JOANN V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** CLOSED

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**CASE NO.:** N/A

**CAPTION OF SUIT:** BONNER, NATHANIEL V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08-065125-2

**CAPTION OF SUIT:** BOWEN, DORTHINE V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** CALIFORNIA DEPARTMENT OF FAIR EMPLOYMENT AND HOUSING AND EEOC

**CAPTION OF SUIT:** BROWN, KIM V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** DHEH AND EEOC INVESTIGATION

**STATUS OR DISPOSITION:** DISMISSED

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**CASE NO.:** 07-00089-MJR

**CAPTION OF SUIT:** BUNYAN ET AL V UNITED INDUSTRIES CORPORATION ET AL

**COURT OR AGENCY OR LOCATION:** FEDERAL DISTRICT COURT FOR THE SOUTHERN DISTRICT OF ILLINOIS

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SETTLED

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:** 08-065785-4

**CAPTION OF SUIT:** CALDERAS, JESUS V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** N/A

**CAPTION OF SUIT:** CIECIRSKI, KEITH V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** NEW YORK WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 3:07-CV-00392-JFA

**CAPTION OF SUIT:** COLIA GROOMS V UNITED INDUSTRIES CORPORATION AND DOLLAR GENERAL CORPORATION

**COURT OR AGENCY OR LOCATION:** USDC DISTRICT OF SOUTH CAROLINA

**NATURE OF PROCEEDING:** PRODUCT LIABILITY

**STATUS OR DISPOSITION:** SUMMARY JUDGMENT GRANTED

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**CASE NO.:** BC392118

**CAPTION OF SUIT:** CONSUMER ADVOCACY GROUP INC. V BAYER CORPORATION, SPECTRUM BRANDS INC., UNITED INDUSTRIES CORPORATION, ET AL

**COURT OR AGENCY OR LOCATION:** SUPERIOR COURT OF CA, COUNTY OF LOS ANGELES

**NATURE OF PROCEEDING:** ALLEGED VIOLATION OF CA PROPOSITION 65

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** N/A

**CAPTION OF SUIT:** CORTES, HUGO V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** CLOSED

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**CASE NO.:** 04-142502

**CAPTION OF SUIT:** CRAFT, SCOTT V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 02944

**CAPTION OF SUIT:** DANIEL WALTERS, PLAINTIFF V UNITED INDUSTRIES CORPORATION (IMMEDIATE PARENT ENTITY TO UPG), BRADLEY CALDWELL, INC. AND PERFECTO MANUFACTURING, INC. AND SPECTRUM BRANDS, AS DEFENDANTS

**COURT OR AGENCY OR LOCATION:** COURT OF COMMON PLEAS OF PHILADELPHIA COUNTY

**NATURE OF PROCEEDING:** PRODUCT LIABILITY

**STATUS OR DISPOSITION:** CLOSED

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:** 08 065380-3

**CAPTION OF SUIT:** DIOSADO, ARMONDA V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** DISMISSED

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**CASE NO.:** 08 065142-2

**CAPTION OF SUIT:** DIOSDADO, JOSE V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 08 065147-3

**CAPTION OF SUIT:** DIOSDADO, MIGEL V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 08 065180-5

**CAPTION OF SUIT:** DORSEY, WILLIE V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** DOCKET NO. 527476

**CAPTION OF SUIT:** E. A., AN INFANT UNDER THE AGE OF 4 BY HIS MOTHER AND NATURAL GUARDIAN

**COURT OR AGENCY OR LOCATION:** SUPREME COURT OF NEW YORK, COUNTY OF KINGS

**NATURE OF PROCEEDING:** PRODUCT LIABILITY

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** EEOC 560-2008-00397

**CAPTION OF SUIT:** ERIC OTTEN V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** EEOC ST LOUIS, MO

**NATURE OF PROCEEDING:** EEOC

**STATUS OR DISPOSITION:** DISMISSED

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**CASE NO.:** 08 655595-2

**CAPTION OF SUIT:** FERGUSON, GARY L V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately  
 preceding the filing of this bankruptcy case.

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**CASE NO.:** 08 065146-5

**CAPTION OF SUIT:** GALDERAS, JOSE V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 08 065653-0

**CAPTION OF SUIT:** GARCIA, CARMEN V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 650-2008-01279

**CAPTION OF SUIT:** GARY HANSOME V SPECTRUM BRANDS D/B/A UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** EEOC, ST LOUIS DISTRICT OFFICE

**NATURE OF PROCEEDING:** EEOC

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** N/A

**CAPTION OF SUIT:** GODINEZ, ERIK V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** CALIFORNIA WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** EEOC 560-2007-01572

**CAPTION OF SUIT:** GOOSYBY V SPECTRUM BRANDS CORPORATION

**COURT OR AGENCY OR LOCATION:** EEOC ST. LOUIS, MO

**NATURE OF PROCEEDING:** RACIAL DISCRIMINATION

**STATUS OR DISPOSITION:** DISMISSED

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**CASE NO.:** 08-066436

**CAPTION OF SUIT:** HARRIS, LENORA V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** MISSOURI WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** N/A

**CAPTION OF SUIT:** HARRIS-HAYES, MARY V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** CLOSED

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:** N/A

**CAPTION OF SUIT:** HAYMAN, JEFFEREY V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** FLORIDA WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08 065812-5

**CAPTION OF SUIT:** HERNANDEX, DORA V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** EEOC 28E-2008-00865 FEPA CHARG NO E-02/08-33022

**CAPTION OF SUIT:** HOWARD L. JACKSON V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EEOC

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08 065242-9

**CAPTION OF SUIT:** HUBERT, CHRIS S V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** DISMISSED

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**CASE NO.:** 08 CE CG 01185

**CAPTION OF SUIT:** HUMBERTO ZARAGOSA, DAISY DONATO V UNITED INDUSTRIES CORPORATION, SPECTRUM BRANDS, THE HOME DEPOT

**COURT OR AGENCY OR LOCATION:** SUPERIOR COURT OF CALIFORNIA, COUNTY OF FRESNO

**NATURE OF PROCEEDING:** PRODUCT LIABILITY

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08-028816

**CAPTION OF SUIT:** INGRAM, KEVIN V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** MISSOURI WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:**

**CAPTION OF SUIT:** INNOVATIVE PEST CONTROLS V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:**

**NATURE OF PROCEEDING:** PATENT INFRINGEMENT

**STATUS OR DISPOSITION:** OPEN

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:** 08 065802-8

**CAPTION OF SUIT:** JASSO, JOSE R V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 08 065338-7

**CAPTION OF SUIT:** JASSO, RAMON V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT WAGE CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** N/A

**CAPTION OF SUIT:** JOY, JACQUELIN V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** MISSOURI WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** CV-2006-202

**CAPTION OF SUIT:** KENNETH HOFFREN V UNITED INDUSTRIES CORP., SPECTRUM GROUP, A DIVISION OF UNITED INDUSTRIES CORP., ET AL.

**COURT OR AGENCY OR LOCATION:** CIRCUIT COURT OF CLARK COUNTY, ALABAMA

**NATURE OF PROCEEDING:** PRODUCT LIABILITY

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** E200708RAF10-00-ASE/37AA812058

**CAPTION OF SUIT:** KIM J. BROWN V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** CA DEPARTMENT OF FAIR EMPLOYMENT & HOUSING

**NATURE OF PROCEEDING:** PRODUCT LIABILITY

**STATUS OR DISPOSITION:** CLOSED

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**CASE NO.:** 2008-08062003

**CAPTION OF SUIT:** KRAFT, GAIL V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** GEORGIA WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 6:07CV-1694

**CAPTION OF SUIT:** LEGE, KENNETH V SPECTRUM BRANDS, INC., UNITED INDUSTRIES CORP, AND CHEMSICO

**COURT OR AGENCY OR LOCATION:** USDC WESTERN DISTRICT OF LOUISIANA

**NATURE OF PROCEEDING:** PRODUCT LIABILITY

**STATUS OR DISPOSITION:** DISMISSED

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:**

**CAPTION OF SUIT:** LYNWOOD LABORATORIES V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:**

**NATURE OF PROCEEDING:** TRADEMARK INFRINGEMENT

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08 065336-0

**CAPTION OF SUIT:** MARTINES, ROMAN V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 08 065654-8

**CAPTION OF SUIT:** MARTINEZ, SANTIAGO V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** N/A

**CAPTION OF SUIT:** MCBRIDE, SCOTT V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** N/A

**CAPTION OF SUIT:** MELARA, CARLOS V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** CLOSED

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**CASE NO.:** BC352211

**CAPTION OF SUIT:** MELISSA BOWMAN AND S N B, A MINOR, BY AND THROUGH HER GUARDIAN AD LITEM, MELISSA BOWMAN

**COURT OR AGENCY OR LOCATION:** SUPERIOR COURT OF CALIFORNIA, COUNTY OF LOS ANGELES, CENTRAL DISTRICT

**NATURE OF PROCEEDING:** WRONGFUL DEATH

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08 065141-4

**CAPTION OF SUIT:** MITRE, JOSE V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:** 1 08 CV 3000

**CAPTION OF SUIT:** MONICA BROWN V SPECTRUM BRANDS AND R.A. CLARK CONSULTING, LTD.

**COURT OR AGENCY OR LOCATION:** USDC NORTHERN DISTRICT OF GA ATLANTA DIVISION

**NATURE OF PROCEEDING:** DISCRIMINATION

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** VNO 0546175

**CAPTION OF SUIT:** MOYA, JOSE V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** CALIFORNIA WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08 065096-5

**CAPTION OF SUIT:** NUNEZ, ANABEL V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 2008-008677, 2008-004493

**CAPTION OF SUIT:** O'NEAL, BARB V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** GEORGIA WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08 065248-8

**CAPTION OF SUIT:** REYES, GUSTAVO V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 08 065337-9

**CAPTION OF SUIT:** ROCHA, HUMBERTO V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM

**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 1:08-CV-134-R

**CAPTION OF SUIT:** RONALD DEWBERRY V UNITED INDUSTRIES (DELAWARE) CORPORATION, UNITED INDUSTRIES CORPORATION, SPECTRUM BRANDS, INC., UNKNOWN DEFENDANTS

**COURT OR AGENCY OR LOCATION:** USDC WESTERN DISTRICT OF KENTUCY, BOWLING GREEN DIVISION

**NATURE OF PROCEEDING:** GENERAL LIABILITY

**STATUS OR DISPOSITION:** OPEN

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:** 05-23550

**CAPTION OF SUIT:** SALKIC, SAHA V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** CLOSED

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**CASE NO.:** 2264208

**CAPTION OF SUIT:** SALMA ZAMAN V SPECTRUM BRANDS, INC. AND UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** SUPREME COURT OF THE STATE OF NEW YORK, COUNTY OF QUEENS

**NATURE OF PROCEEDING:** PRODUCT LIABILITY

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** N/A

**CAPTION OF SUIT:** SIBBIO, LOUIS V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 07-051159

**CAPTION OF SUIT:** SIMMS, YOLNADA V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** MISSOURI WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** N/A

**CAPTION OF SUIT:** SIMPSON, LACALVINCE V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** ILLINOIS WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 06-114333

**CAPTION OF SUIT:** SMITH, ALBERT V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** MISSOURI WORKERS' COMPENSATION BUREAU

**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM

**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:**

**CAPTION OF SUIT:** STATE FARM GENERAL INSURANCE, AS SUBROGEE OF ANNIE ANDROSHAK, EXECUTOR OF THE MARTHA WAROTNIAK ESTATE V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:**

**NATURE OF PROCEEDING:** PRODUCT LIABILITY

**STATUS OR DISPOSITION:** OPEN

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:** N/A  
**CAPTION OF SUIT:** STEELE, HARRISTEEN V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A  
**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM  
**STATUS OR DISPOSITION:** CLOSED

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**CASE NO.:** 560-2009-01261  
**CAPTION OF SUIT:** STEPHANIE CLAYTON V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** EEOC, ST LOUIS DISTRICT OFFICE  
**NATURE OF PROCEEDING:** EEOC  
**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08-WC-24207  
**CAPTION OF SUIT:** SUMNER, LARRY V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** ILLINOIS WORKERS' COMPENSATION BUREAU  
**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM  
**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 07-130319  
**CAPTION OF SUIT:** SWINK, TERRY V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** MISSOURI WORKERS' COMPENSATION BUREAU  
**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM  
**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08 065178-3  
**CAPTION OF SUIT:** THOMAS, EDWARD V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A  
**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM  
**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** 560-2009-01378  
**CAPTION OF SUIT:** TYRONE MOORE V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** EEOC, ST LOUIS DISTRICT OFFICE  
**NATURE OF PROCEEDING:** EEOC  
**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** OPPOSITION NO. 91158240  
**CAPTION OF SUIT:** UNITED INDUSTRIES CORP. V OMS INVESTMENTS, INC.

**COURT OR AGENCY OR LOCATION:** UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD  
**NATURE OF PROCEEDING:** TRADEMARK INFRINGEMENT  
**STATUS OR DISPOSITION:** OPEN

In re: UNITED INDUSTRIES CORPORATION

Case No.: 09-50461

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**4. SUITS AND ADMINISTRATIVE PROCEEDINGS, EXECUTIONS, GARNISHMENTS AND ATTACHMENTS**

**None** a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case.

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**CASE NO.:** OPPOSITION NO. 91166371; DIRECTED TO U.S. SERIAL NO. 78/335,992  
**CAPTION OF SUIT:** UNITED INDUSTRIES CORP. V OMS INVESTMENTS, INC.

**COURT OR AGENCY OR LOCATION:** UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD  
**NATURE OF PROCEEDING:** TRADEMARK INFRINGEMENT  
**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 06CC-2939  
**CAPTION OF SUIT:** UNITED INDUSTRIES CORPORATION V NATIONAL UNION FIRE INSURANCE COMPANY OF PITTSBURGH, PA

**COURT OR AGENCY OR LOCATION:** CIRCUIT COURT OF ST. LOUIS COUNTY, MISSOURI  
**NATURE OF PROCEEDING:** GENERAL LIABILITY  
**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 560-2009-01377  
**CAPTION OF SUIT:** VENUS CUTTS V SPECTRUM BRANDS D/B/A UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** EEOC, ST LOUIS DISTRICT OFFICE  
**NATURE OF PROCEEDING:** EEOC  
**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08 06533905  
**CAPTION OF SUIT:** VILLASENOR, JOSE L V UNITED INDUSTRIES CORPORATION

**COURT OR AGENCY OR LOCATION:** N/A  
**NATURE OF PROCEEDING:** EMPLOYMENT LAW CLAIM  
**STATUS OR DISPOSITION:** SATISFIED

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**CASE NO.:** NO. 145-4747  
**CAPTION OF SUIT:** VILMA MONTERROSO, WIFE OF/AND GERARDO MONTERROSO V UNITED INDUSTRIES CORPORATION (SPECTRUM DIVISION) AND XYZ INSURANCE COMPANY

**COURT OR AGENCY OR LOCATION:** FIRST PARISH COURT, PARISH OF JEFFERSON, LOUISIANA  
**NATURE OF PROCEEDING:** PRODUCT LIABILITY  
**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** 08-052590  
**CAPTION OF SUIT:** WISE, PERRY V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** MISSOURI WORKERS' COMPENSATION BUREAU  
**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM  
**STATUS OR DISPOSITION:** OPEN

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**CASE NO.:** N/A  
**CAPTION OF SUIT:** YOUNG, BRENDA V SPECTRUM BRANDS, INC.

**COURT OR AGENCY OR LOCATION:** MISSOURI WORKERS' COMPENSATION BUREAU  
**NATURE OF PROCEEDING:** WORKER'S COMPENSATION CLAIM  
**STATUS OR DISPOSITION:** OPEN

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