Form B1 (Official Form 1) - (Rev. 04/10)	2010 USBC, Central District of California
UNITED STATES BANKRUPTCY COU CENTRAL DISTRICT OF CALIFORNIA	· VAIIDTARV PATITIAN
Name of Debtor (if Individual, enter Last, First, Middle):	Name of Joint Debtor (Spouse) (Last, First, Middle):
AW Financial & Investment, Inc.	N/A
All Other Names used by the Debtor in the last 8 years (include married, maiden, and trade names):	All Other Names used by the Joint Debtor in the last 8 years (include married, maiden, and trade names):
N/A	N/A
Last four digits of Soc. Sec or Individual-Taxpayer i.D. (ITIN) No./Complete EIN (if more than one, state all): $56-2295671$	Last four digits of Soc. Sec. or Individual-Taxpayer I.D. (ITIN) No/Complete EIN (if more than one, state all):
Street Address of Debtor (No. & Street, City, and State):	Street Address of Joint Debtor (No. & Street, City, and State):
10501 Valley Blvd., #1888	N/A
El Monte, CA	ZIP CODE
County of Residence or of the Principal Place of Business:	County of Residence or of the Prinapal Place of Business:
Los Angeles	N/A
Mailing Address of Debtor (if different from street address):	Mailing Address of Joint Debtor (if different from street address): N/A
N/A	70.000
ZIP CODE	ZIP CODE
Location of Principal Assets of Business Debtor (if different from street address about	
416, 418 San Mateo Ave., San Bruno Type of Debtor (Form of Organization) Nature of Business	Chapter of Bankruptcy Code Under Which
(Check one box.) (Check one box.)	the Petition is Filed (Check one box)
□ Individual (includes Joint Debtors) See Exhibit D on page 2 of this form Some Exhibit D on page 2 of this form Corporation (includes LLC and LLP) □ Partnership □ Other (if deblor is not one of the above enlities, check this box and state type of entity below) □ Clearing Bank □ Clearing Bank	Chapter 7 XI Chapter 11 Chapter 15 Petition for Recognition of a Foreign Main Proceeding Chapter 13 Chapter 15 Petition for Recognition of a Foreign Nonmain Proceeding Nature of Debts
Other	(Check one box.)
Tax-Exempt Entity (Check one box, if applicable) Debtor is a tax-exempt organization under Title 26 of the United States Code (the Internal Revenue Code)	Debts are primarily consumer debts, defined in 11 U.S.C. § 101(8) as "incurred by an individual primarily for a personal, family, or house-hold purpose"
Filing Fee (Check one box)	Chapter 11 Debtors.
Full Fiting Fee attached Filing Fee to be paid in installments (Applicable to individuals only). Must attach signed application for the court's consideration certifying that the debtor is unable to pay fee except in installments. Rule 1006(b) See Official Form 3A Filing Fee waiver requested (Applicable to chapter 7 individuals only). Must attach	Check one box: Debtor is a small business debtor as defined in 11 U.S.C. § 101(51D) Debtor is not a small business debtor as defined in 11 U.S.C. § 101 (51D) Check if: Debtor's aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,343,300. (amount subject to adjustment on 04/01/13 and every three years thereafter).
signed application for the court's consideration. See Official Form 36	Check all applicable boxes: A plan is being filed with this petition Acceptances of the plan were solicated prepetition from one or more classes of creditors in accordance with 11 U.S.C. § 1126(b)
Statistical/Administrative Information	THIS SPACE FOR
Debtor estimates that funds will be available for distribution to unsecured creditors. Debtor estimates that after any exempt property is excluded and administrative expense to unsecured creditors.	COURT USE ONLY
Estimated Number of Creditors	r as ED
1- 50- 100- 200- 1,000- 5,001- 10,001 25,001- 50,001- OVER 49 99 199 999 5,000 10,000 25,000 50,000 100,000 100,000 XD	FILED
Estimated Assets	FEB 11 2011
\$0 to \$50,000 to \$100,000 \$500,000 \$1 million \$1,000,001 to \$10,000,000 to \$50,000 to \$50,000 to \$50,000 \$10 million \$50 million \$100	to \$100,000,001 \$500,000,001 More to \$500 million to \$1 billion \$1 billion CLERK U.S. BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA DEBUTY CHERK
Estimated Liabilities	
\$0 to \$50,001 to \$100,001 to \$500,001 to \$1,000,001 to \$10,000,001 \$50,000 \$01 \$100,000 \$500,000 \$1 milton \$10,000 to \$50,000 \$1 milton \$10,000 to \$50,000 \$1 milton \$10,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$100,000 \$	to \$100,000,001 \$500,0x0,001 More than to \$500 million to \$1 t slon \$1 t slon
in an an an an an	

Form B1 (Official Form 1) (Rev.04/10) Voluntary Petition	Name of Debtor(s)	2010 USBC, Central District of California FORM B1 Page 2			
(This page must be completed and filed in every case.)	AW Financial & Investment, Inc.				
Prior Bankruptcy Case Filed Within L	ast 8 Years (if more than two, attach additional	sheet)			
Location Where Filed: N/A	Case Number:	Date Filed.			
Location N/A Where Filed:	Case Number:	Date Filed:			
Pending Bankruptcy Case Filed by any Spouse, Partn	er or Affiliate of this Debtor (if more than one, a	ttach additional sheet)			
Name of Debtor None	Case Number:	Date Filed:			
District:	Relationship	Judge.			
Exhibit A (To be completed if debtor is required to file periodic reports (e.g., forms 10K and 10Q) with the Securities and Exchange Commission pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 and is requesting relief under chapter 11)	(To be completed if debtour are primarily are pattorney for the petitioner named	chibit B or is an individual whose debts consumer debts) in the foregoing petition, declare that I have			
Exhibit A is attached and made a part of this petition.	11, United States Code, and have explain	ay proceed under chapter 7, 11, 12, or 13 of title ted the relief available under each such chapter debtor the notice required by 11 U S C. § 342(b). Date			
Exhibit C Does the debtor own or have possession of any property that poses or is alleged to pose a threat of imminent and identifiable harm to public health or safety? U Yes, and Exhibit C is attached and made a part of this petition.	(To be completed by every individual deb complete and attach a separate Exhibit D	thibit D tor If a joint petition is filed, each spouse must .) he debtor is attached and made a part of this			
∆ i No	 Exhibit D also completed and signed b this petition. 	y the joint debtor is attached and made a part of			
	garding the Debtor - Venue				
Debtor has been domiciled or has had a residence, principal pla of this petition or for a longer part of such 180 days than in any	ce of business, or principal assets in this Dis	trict for 180 days immediately preceding the date			
☐ There is a bankruptcy case concerning debtor's affiliate, general	al partner, or partnership pending in this Dist	nct.			
Debtor is a debtor in a foreign proceeding and has its principal place of business or assets in the United States but is a defenda parties will be served in regard to the relief sought in this District	place of business or principal assets in the ant in an action or proceeding (in a federal or	United States in this District, or has no principal			
·	Resides as a Tenant of Residential Prope ali applicable boxes	rty			
☐ Landlord has a judgment against the debtor for possession of d	debtor's residence (If box checked, comple	te the following.)			
	(Name of landlord that obtained judgment)				
	(Address of landlord)				
 Debtor claims that under applicable nonbankruptcy law, there a default that gave use to the judgment for possession, after the judgment 		ould be permitted to cure the entire monetary			
Debtor has included in this petition the deposit with the of the petition.	court of any rent that would become d	ue during the 30-day period after the filing			
☐ Debtor certifies that he/she has served the Landlord with this or	ertification (11 U.S.C. § 362(1))				

Form	B1.	Exhibit	A -	Rev.	3/98)

1998 USBC, Central District of California

Exhibit "A"

[If debtor is required to file periodic reports (e.g., forms 10K and 10Q) with the Securities and Exchange Commission pursuant

The following intalicial data is the latest available information and releas to the deptor's condition on	934, the SEC
The following financial data is the latest available information and refers to the debtor's condition on	2010
a. Total assets \$	
b. Total debts (including debts listed in 2.c., below) \$ 3,083,846.52 c. Debt securities held by more than 500 holders. secured unsecured subordinated secured subordinated subordinated su	
c. Debt securities held by more than 500 holders. secured unsecured subordinated \$	
secured unsecured subordinated secured secured subordinated secured secured subordinated secured secured subordinated secured se	
secured unsecured subordinated secured secured subordinated secured secured subordinated secured secured subordinated secured	Approxim Numbe of holde
secured unsecured subordinated \$	
secured unsecured subordinated \$	
d. Number of shares of preferred stock e. Number of shares common stock Comments, if any:	
d. Number of shares of preferred stock e. Number of shares common stock Comments, if any:	
e. Number of shares common stock Comments, if any:	
Comments, if any:	
·	
Di 6 de calatire e 6 debte de la calacie	
Brief description of debtor's business:	
Real Estate Development and Management	
List the names of any person who directly or indirectly owns, controls, or holds, with power to vote, 5% voting sequities of debtor:	or more of
voting securities of debtor:	

Form	n B1, Exhibit C - (9/01)	2001 USBC, Central District of California
		Exhibit "C"
(If, to threa	to the best of the debtor's knowledge, the debtor eat of imminent and identifiable harm to the public	owns or has possession of property that poses or is alleged to pose a health or safety, attach this Exhibit "C" to the petition.]
	[Cap	otion as in Form 16B]
	Exhibit "C'	" to Voluntary Petition
1.		property owned by or in possession of the debtor that, to the best of the a threat of imminent and identifiable harm to the public health or safety
	None	
2.	location of the dangerous condition, whether el	em of personal property identified in question 1, describe the nature and nvironmental or otherwise, that poses or is alleged to pose a threat of alth or safety (attach additional sheets if necessary):
	None	

Form B4 (Official Form 4) -	(12/07)				2007 USBC, Central Di	strict of California
				RUPTCY COURT F CALIFORNIA		
AW Financ	ial &	Investment,	Inc.	Debtor(s)	CHAPTER 1 1 CASE NO	

Form 4. LIST OF CREDITORS HOLDING 20 LARGEST UNSECURED CLAIMS

Following is the list of the debtor's creditors holding the 20 largest unsecured claims. The list is prepared in accordance with Fed. R. Bankr. P. 1007(d) for filing in this chapter 11 [or chapter 9] case. The list does not include (1) persons who come within the definition of "insider" set forth in 11 U.S.C. § 101, or (2) secured creditors unless the value of the collateral is such that the unsecured deficiency places the creditor among the holders of the 20 largest unsecured claims. If a minor child is one of the creditors holding the 20 largest unsecured claims, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

(2)

	(1)	(2)	(3)	(4)	(5)
	Name of creditor and complete mailing address including zip code	Name, telephone number and complete mailing address including zip code, of employee, agent, or department of creditor familiar with claim who may be contacted	Nature of claim (Irade debl, bank loan, govern-ment contract, etc.)	Indicate if claim is conlingent, unliquidated, disputed or subject to seloff	Amount of claim [if secured also state value of security]
Jenny		505 S. Atlantic Bl t A, Alhambra, Ca9		N/A	\$600,000
Zoe S	150 Sun Apt	5 S. Atlantic Blvd A, Alhambra, Ca91	d., Loan 803	N/A	\$500,000
Bin	Ling Lei 1	505 S. Atlantic Bl t A, Alhambra, Ca9	vd. Loan	N/A	\$800,000
			Date ⁻	3/10/2 Bu L	y Lo

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AW Financial & Investment, Inc.

(E)

Debtor

Form B4 (Official Form	4) - (12/07)		2007_U	SBC, Central District of California
		TATES BANKRUPTC' L DISTRICT OF CALII		
In re			CHAPTE	R
			Debtor(s), CASE NO	
	LIST OF CREDITORS HO	LDING 20 LARGES Continuation Sheet)	T UNSECURED C	LAIMS
(1)	(2)	(3)	(4)	(5)
Name of creditor and complete mailing address including zip code	Name, telephone number and complete mailing address including zip code, of employee, agent, or department of creditor familiar with daim who may be contacted	Nature of claim (trade debt, bank loan, govern-ment contract, etc.)	Indicate if claim is conting unliquidated, disputed subject to setoff	ent, Amount of claim [if secured also or state value of security]
_				

Party Name, Address and Telephone Number (CA State Bar No If Applicable) Alex Kim 3600 Wilshire Blvd., Ste. 1408 Los Angeles, CA 90010		FOR COURT USE ONLY
California State Bar 225256		!
UNITED STATES BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA		
in re. AW Financial & Investment, Inc.		CHAPTER 11 CASE NUMBER
	Debtor.	(No Hearing Required)

VENUE DISCLOSURE FORM FOR CORPORATIONS FILING CHAPTER 11 (Required by General Order 97-02)

Attach additional sheets as necessary and indicate so in each section

- Specify the address of the principal office of the Debtor currently on file with the California Secretary of State (from Form S0100, S0200, or S0300):
 10501 Valley Blvd., #1888, El Monte, CA 91731
- Specify the address of the principal office of the Debtor listed on the Debtor's most recent federal tax return:
 10501 Valley Blvd., #1888, El Monte, CA 91731
- Disclose the current business address(es) for all corporate officers:
 10501 Valley Blvd., #1888, El Monte, CA 91731
- Disclose the current business address(es) where the Debtor's books and records are located: 10501 Valley Blvd., #1888, El Monte, CA 91731

Case 2:11-bk-15930-VZ Doc 1 Filed 02/11/11 Entered 02/11/11 14:48:38 Desc Main Document Page 9 of 48

			Venue Disclosure For	m for Corporation	ınş Fılin	g Chapter 11	- Page 2	VEN-C
In re	AW Fin	ancial 8	Investment	, Inc.		Debtor	CHAPTER 11 CASE NUMBER	
5. 416	forth on th	ne Debtor's m San Mat	ere the majority of the ost recent balance slee Ave., Sare Ave., Sare	heet: n Bruno,	CA	94066	ased on a book v	alue determination as set
6.	the reason	nsforthecha 5 Lessir	nge in address(es):	listed above v	vithin :	six months	prior to the filing	of this petition and state
7.	(specify):	Ling Le	dress of the officer si i, 10507 Vai i is the as:	llev Blv	/d.,	#800,	El Monte	ch person to the Debtor , CA 91731 debtor
8.	Total num	ber of attache	d pages of supportin	g documenta	ition:			
9.	l declare u	inder penalty c	of perjury under the la	ws of the Unit	ed Sta	ites of Ame	erica, that the foreç	going is true and correct.
Type N	in Lind lame of Offi	g Lei Geer nt Secre	February		20 11	Bignatur	Monte Te of Declarant ssisstant	. California.

and the second of the second o		T
arty Name, Address and Telephone Number (CA State Bar No. If Applicable	e)	FOR COURT USE ONLY
		1
		-
UNITED STATES BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA		I
re: AW Financial & Investment, Inc.		CHAPTER 11
		CASE NUMBER
	Debtor.	(No Hearing Required)

Attach additional sheets as necessary and indicate so in each section

Specify the address(es) of the principal office(s) of the Debtor currently on file with the California Secretary of State

1.

	(from Form LP1, LP5, or GP1):
2.	Specify the address of the principal office(s) of the Debtor listed on the Debtor's most recent federal tax return:
3.	Disclose the current business address(es) for the general partner(s) and all officers of the general partner(s):
4.	Disclose the current business address(es) where the Debtor's books and records are located:

			Not Ap	plicable		
			Venue Disclosure Form	n for Partnerships Filin	ig Chapler 11 - <i>Pag</i> e 2	VEN-P
In re	AW Financ	cial &	Investment,	Inc.	CHAPTER 11 Debtor CASE NUMBER	
5.	List the addre	ess(es) wh Debtor's n	nere the majority of the nost recent balance sho	Debtor's assets ar eet:	re located based on a book val	ue determination as set
6.	Disclose any the reasons	different for the cha	address(es) to those lisange in address(es):	sted above within	six months prior to the filing o	f this petition and state
7.			ddress of the individua eral Partner of the debi		ement and the relationship of	such individual to the
8.	Total number	of attach	ed pages of supporting	documentation:		
9.	l declare und	er penalty	of perjury under the law	s of the United St	ates of America, that the forego	oing is true and correct.
Exec	uted on the	day d	of	, 20	at	California.
Туре	Name of Gener	al Partner	<u> </u>		Signature of Declarant	
					Title of Declarant (if any)	

STATEMENT OF RELATED CASES **INFORMATION REQUIRED BY LOCAL BANKRUPTCY RULE 1015-2** UNITED STATES BANKRUPTCY COURT, CENTRAL DISTRICT OF CALIFORNIA

1.	A petition under the Bankruptcy Act of 1898 or the Bankruptcy Reform Act of 1978 has previously been filed by or against the debtor, his/her spouse, his or her current or former domestic partner, an affiliate of the debtor, any copartnership or joint venture of which debtor is or formerly was a general or limited partner, or member, or any corporation of which the debtor is a director, officer, or person in control, as follows: (Set forth the complete number and title of each such of prior proceeding, date filed, nature thereof, the Bankruptcy Judge and court to whom assigned, whether still pending and, if not, the disposition thereof. If none, so indicate. Also, list any real property included in Schedule A that was filed with any such prior proceeding(s).)					
	None					
2.	(If petitioner is a partnership or joint venture) A petition under the Bankruptcy Act of 1898 or the Bankruptcy Reform Act of 1978 has previously been filed by or against the debtor or an affiliate of the debtor, or a general partner in the debtor, a relative of the general partner, general partner of, or person in control of the debtor, partnership in which the debtor is a general partner, general partner of the debtor, or person in control of the debtor as follows: (Set forth the complete number and title of each such prior proceeding, date filed, nature of the proceeding, the Bankruptcy Judge and court to whom assigned, whether still pending and, if not, the disposition thereof. If none, so indicate. Also, list any real property included in Schedule A that was filed with any such prior proceeding(s).)					
	None					
3.	(If petitioner is a corporation) A petition under the Bankruptcy Act of 1898 or the Bankruptcy Reform Act of 1978 has previously been filed by or against the debtor, or any of its affiliates or subsidiaries, a director of the debtor, an officer of the debtor, a person in control of the debtor, a partnership in which the debtor is general partner, a general partner of the debtor, a relative of the general partner, director, officer, or person in control of the debtor, or any persons, firms or corporations owning 20% or more of its voting stock as follows: (Set forth the complete number and title of each such prior proceeding, date filed, nature of proceeding, the Bankruptcy Judge and court to whom assigned, whether still pending, and if not, the disposition thereof. If none, so indicate. Also, list any real property included in Schedule A that was filed with any such prior proceeding(s).)					
	Not Applicable					
4.	(If petitioner is an individual) A petition under the Bankruptcy Reform Act of 1978, including amendments thereof, has been filed by or against the debtor within the last 180 days: (Set forth the complete number and title of each such prior proceeding, date filed, nature of proceeding, the Bankruptcy Judge and court to whom assigned, whether still pending, and if not, the disposition thereof. If none, so indicate. Also, list any real property included in Schedule A that was filed with any such prior proceeding(s).) Not Applicable					
Ξx	eclare, under penalty of perjury, that the foregoing is true and correct. ecuted atE1 Monte, California. Debtor Joint Debtor					

Main Document 1 age 13 of 40	
Statement of Related Cases Information Required by Local Bankruptcy Rule 1015-2 - Page 2 of 3	1015-2.1
In re CASE NUMBER	
AW Financial & Investment, Inc. Debtor	-
NOTE : When using this form to indicate service of a proposed order, DO NOT list any person or entity in Proposed orders do not generate an NEF because only orders that have been entered are placed on a CN	
PROOF OF SERVICE OF DOCUMENT	
I am over the age of 18 and not a party to this bankruptcy case or adversary proceeding. My business address	ess is:
A true and correct copy of the foregoing document described as	<u> </u>
in chambers in the form and manner required by LBR 5005-2(d), and (b) in the manner indicated below:	a) on the judge
, , , , , , , , , , , , , , , , , , , ,	
I. TO BE SERVED BY THE COURT VIA NOTICE OF ELECTRONIC FILING ("NEF") - Pursuant to cont Order(s) and Local Bankruptcy Rule(s) ("LBR"), the foregoing document will be served by the court via NEF at the document. On I checked the CM/ECF docket for this bankruptcy case proceeding and determined that the following person(s) are on the Electronic Mail Notice List to receive NEF to the email addressed indicated below:	ind hyperlink to e or adversary
☐ Service information continued on a	ittached page
II. SERVED BY U.S. MAIL OR OVERNIGHT MAIL (indicate method for each person or entity served): On I served the following person(s) and/or entity(ies) at the last known add bankruptcy case or adversary proceeding by placing a true and correct copy thereof in a sealed envelope in the Mail, first class, postage prepaid, and/or with an overnight mail service addressed as follow. Listing the judge had declaration that mailing to the judge will be completed no later than 24 hours after the document is filed.	e United States
☐ Service information continued on a	ittached page
III. SERVED BY PERSONAL DELIVERY, FACSIMILE TRANSMISSION OR EMAIL (indicate method for each served): Pursuant to F.R.Civ.P. 5 and/or controlling LBR, on I served the followand/or entity(ies) by personal delivery, or (for those who consented in writing to such service method) by facsimit and/or email as follows. Listing the judge here constitutes a declaration that mailing to the judge will be come than 24 hours after the document is filed.	wing person(s) le transmission
☐ Service information continued on a	ittached page

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Bin Ling Lei

Type Name

Date

Statement of Related Cases Informa Local Bankruptcy Rule 1015-2 -	F 1015-2.1	
AW Financial & Investment, Inc.	CASE NUMBER	
	Debtor	

ADDITIONAL SERVICE INFORMATION (if needed):

Form B6 - Summary	/ (12/07)
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2007 USBC, Central District of California

UNITED STATES BANKRUPTCY COURT Central District of California

ln re				Ca	ase No	
	AW Financial	& Investment,	Inc.	Debtor.		(If known)

SUMMARY OF SCHEDULES

Indicate as to each schedule whether that schedule is attached and state the number of pages in each. Report the totals from Schedules A, B, D, E, F, I, and J in the boxes provided. Add the amounts from Schedules A and B to determine the total amount of the debtor's assets. Add the amounts of all claims from Schedules D, E, and F to determine the total amount of the debtor's liabilities. Individual debtors also must complete the "Statistical Summary of Certain Liabilities and Related Data" if they file a case under chapter 7, 11, or 13.

	NAME OF SCHEDULE	ATTACHED (YES/NO)	NO. OF SHEETS	ASSETS	LIABILITIES	OTHER
Α-	Real Property	YES	1	\$ 1,100,	0 0 0	
В-	Personal Property	YES	3	\$ 0		
C-	Property Claimed as Exempt	YES	1			
D-	Creditors Holding Secured Claims	YES	1		\$783,846.5	52
E-	Creditors Holding Unsecured Priority Claims (Total of Claims on Schedule E)	YES	3		\$1,900,000)
F-	Creditors Holding Unsecured Nonpriority Claims	YES	1		\$400,000	
G-	Executory Contracts and Unexpired Leases	YES	1			
H-	Codebtors	YES	1			
- -	Current Income of Individual Debtor(s)	YES	1			\$
J-	Current Expenditures of Individual Debtors(s)	YES	1			\$
-	<u></u>	TOTAL	14	\$1,100,0	00 3,083,8	46.52

	Not Applicable	
Official Form B6 - Statistical Summary (12/07)		2007 USBC, Central District of California
	TED STATES BANKRUPTCY COURT NTRAL DISTRICT OF CALIFORNIA	'
lore		CHARTER

Debtor(s)

CASE NO

STATISTICAL SUMMARY OF CERTAIN LIABILITIES AND RELATED DATA (28 U.S.C. § 159)

If you are an individual debtor whose debts are primarily consumer debts, as defined in § 101(8) of the Bankruptcy Code (11 U.S.C. § 101(8)), filing a case under chapter 7, 11 or 13, you must report all information requested below.

Check this box if you are an individual debtor whose debts are NOT primarily consumer debts. You are not required to report any information here.

This information is for statistical purposes only under 28 U.S.C. § 159.

AW Financial & Investment, Inc.

Summarize the following types of liabilities, as reported in the Schedules, and total them.

Type of Liability	Amount
Domestic Support Obligations (from Schedule E)	\$
Taxes and Certain Other Debts Owed to Governmental Units (from Schedule E)	\$
Claims for Death or Personal Injury While Debtor Was Intoxicated (from Schedule E) (whether disputed or undisputed)	\$
Student Loan Obligations (from Schedule F)	\$
Domestic Support, Separation Agreement, and Divorce Decree Obligations Not Reported on Schedule E	\$
Obligations to Pension or Profit-Sharing, and Other Similar Obligations (from Schedule F)	\$
TOTAL	\$

State the following:

Average Income (from Schedule I. Line 16)	\$
Average Expenses (from Schedule J, Line 18)	\$
Current Monthly Income (from Form 22A Line 12; OR , Form 22B Line 11; OR , Form 22C Line 20)	\$

State the following:

Total from Schedule D, "UNSECURED PORTION, IF ANY" column	\$
Total from Schedule E. "AMOUNT ENTITLED TO PRIORITY" column	\$
Total from Schedule E. "AMOUNT NOT ENTITLED TO PRIORITY, IF ANY" column	\$
4. Total from Schedule F	\$
5 Total of non-priority unsecured debt (sum of 1, 3, and 4)	\$

Form B6A (12/07)			2007 USBC, Central District of California
In re			Case No ·
AW Financial & Investment,	Inc.		
		Debtor.	(If known)

SCHEDULE A - REAL PROPERTY

Except as directed below, list all real property in which the debtor has any legal, equitable, or future interest, including all property owned as a co-tenant, community property, or in which the debtor has a life estate. Include any property in which the debtor holds rights and powers exercisable for the debtor's own benefit. If the debtor is married, state whether the husband, wife, both, or the marital community own the property by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the debtor holds no interest in real property, write "None" under "Description and Location of Property."

Do not include interests in executory contracts and unexpired leases on this schedule. List them in Schedule G - Executory Contracts and Unexpired Leases.

If an entity claims to have a lien or hold a secured interest in any property, state the amount of the secured claim. See Schedule D. If no entity claims to hold a secured interest in the property, write "None" in the column labeled "Amount of Secured Claim."

If the debtor is an individual or if a joint petition is filed, state the amount of any exemption claimed in the property only in Schedule C - Property Claimed as Exempt.

DESCRIPTION AND LOCATION OF PROPERTY	NATURE OF DEBTOR'S INTEREST IN PROPERTY	HUSBAND, WIFE, JOINT, OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITHOUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION	AMOUNT OF SECURED CLAIM
414 San Mateo Ave., San Bruno, CA 94066	100% Equitable Owner		\$400,000	\$266,570.08
416-418 San Mateo Ave San Bruno, CA 94066	100% Equitable Owner		\$700,000	\$517,276.44
		Total >	\$1,100,000	}

(Report also on Summary of Schedules.)

Form B6B - (12/07)		2007	USBC, Central District of California
In re AW Financial &	Investment, Inc.	Case No	
l		Debtor	(If known)

SCHEDULE B - PERSONAL PROPERTY

Except as directed below, list all personal property of the debtor of whatever kind. If the debtor has no property in one or more of the categories, place an "x" in the appropriate position in the column labeled "None." If additional space is needed in any category, attach a separate sheet properly identified with the case name, case number, and the number of the category. If the debtor is married, state whether the husband, wife, both, or the marital community own the property by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community." If the debtor is an individual or a joint petition is filed, state the amount of any exemptions claimed only in Schedule C - Property Claimed as Exempt.

Do not list interests in executory contracts and unexpired leases on this schedule. List them in Schedule G - Executory Contracts and Unexpired Leases.

If the property is being held for the debtor by someone else, state that person's name and address under "Description and Location of Property". If the property is being held for a minor child, simply state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

	TYPE OF PROPERTY		DESCRIPTION AND LOCATION OF PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITH- OUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION
1	Cash on hand	х			
2	Checking, savings or other financial accounts, certificates of deposit, or shares in banks, savings and loan, thrift, building and loan, and home stead associations, or credit unions, brokerage houses, or cooperatives	x			
3.	Security deposits with public utilities, telephone companies, landlords, and others	x			
4.	Household goods and furnishings, including audio, video, and computer equipment	x			
5	Books; pictures and other art objects, antiques, stamp, coin, record, tape, compact disc, and other collections or collectibles	х			
6	Wearing apparel	х			
7	Furs and jewelry	х			
8	Firearms and sports, photo-graphic, and other hobby equipment	x			
9	Interests in insurance policies Name insurance company of each policy and itemize surrender or refund value of each.	x			
10	Annuities Itemize and name each issuer	х			
11	interests in an education IRA as defined in 26 U S C § 530(b)(1) or under a qualified State tuition plan as defined in 26 U S C § 529(b)(1) Give particulars (File separately the record(s) of any such interest(s) 11 U S C § 521(c) Rule 1007(b))	х			

Form B6B - (12/07)	2007 USBC, Central District of California
AW Financial & Investment, Inc.	' Case No
AW Financial & investment, inc.	Debtor. (If known)

SCHEDULE B - PERSONAL PROPERTY

(Continuation Sheet)

	TYPE OF PROPERTY	NONE	DESCRIPTION AND LOCATION OF PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITH- OUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION
12	Interests in IRA, ERISA, Keogh, or other pension or profit sharing plans. Give particulars	x			
13	Stock and interests in incorporated and unincorporated businesses Itemize	x			
14	Interests in partnerships or joint ventures. Itemize.	x			
15	Government and corporate bonds and other negotiable and non-negotiable instruments	x			
16	Accounts receivable	x			
17	Alimony, maintenance, support, and property settlements to which the debtor is or may be entitled. Give particulars	х			
18.	Other liquidated debts owed to debtor including tax refunds. Give particulars	x			
19	Equitable or future interests, life estates, and rights or powers exercisable for the benefit of the debtor other than those listed in Schedule A – Real Property	x			
20	Contingent and non-contingent interests in estate of a decedent, death benefit plan, life insurance policy, or trust	x			
21	Other contingent and unliquidated claims of every nature, including tax refunds, counterclaims of the debtor, and rights to setoff claims Give estimated value of each	x			
22	Patents, copyrights, and other intellectual property Give particulars	x			
23	Licenses, franchises, and other general intangibles. Give particulars	x			

Form B6B - (12/07)	2007 USBC, Central Distr	rict of California
In re	Case No :	
AW Financial & Investment, Inc.	Debtor	(If known)

SCHEDULE B -PERSONAL PROPERTY

(Continuation Sheet)

	TYPE OF PROPERTY	NONE	DESCRIPTION AND LOCATION OF PROPERTY	HUSBAND, WIFE, JOINT OR COMMUNITY	CURRENT VALUE OF DEBTOR'S INTEREST IN PROPERTY, WITH- OUT DEDUCTING ANY SECURED CLAIM OR EXEMPTION
24	Customer lists or other compilations containing personally identifiable information (as defined in 11 U.S.C. § 101(41A)) provided to the debtor by individuals in connection with obtaining a product or service from the debtor primarily for personal, family, or household purposes	х			
25	Automobiles, trucks, trailers, and other vehicles and accessories.	х			
26	Boats, motors, and accessories	х			
27	Aircraft and accessories	x			
28	Office equipment, furnishings and supplies	x			
29	Machinery, fixtures, equipment, and supplies used in business	х			
30	Inventory	x]	
31	Animals.	x			
32	Crops - growing or harvested Give particulars	x			
33	Farming equipment and implements	х			
34	Farm supplies, chemicals, and feed	х			
35	Other personal property of any kind not already listed litemize	x			
			continuation sheets attached	Total ➤	s 0

(Include amounts from any continuation sheets attached Report total also on Summary of Schedules)

Form B6D - (12/07)		2007 USBC, Central District of California
In re		Case No
AW Financial & Investment, Inc.	Debtor	(If known)

SCHEDULE D - CREDITORS HOLDING SECURED CLAIMS

State the name, mailing address, including zip code, and last four digits of any account number of all entities holding claims secured by property of the debtor as of the date of filing of the petition. The complete account number of any account the debtor has with the creditor is useful to the trustee and the creditor and may be provided if the debtor chooses to do so. List creditors holding all types of secured interests such as judgment liens, garnishments, statutory liens, mortgages, deeds of trust, and other security interests

List creditors in alphabetical order to the extent practicable. If a minor child is the creditor, state the child's initials and the name and address of the child's parent or guardian, such as "A B , a minor child, by John Doe, guardian "Do not disclose the child's name See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m). If all secured creditors will not fit on this page, use the continuation sheet provided.

If any entity other than a spouse in a joint case may be jointly liable on a claim, place an "X" in the column labeled "Codebtor," include the entity on the appropriate schedule of creditors, and complete Schedule H – Codebtors. If a joint petition is filed, state whether the husband, wife, both of them, or the marital community may be liable on each claim by placing an "H," "W," "J," or "C" in the column labeled "Husband, Wife, Joint, or Community"

If the claim is contingent, place an "X" in the column labeled "Contingent" If the claim is unliquidated, place an "X" in the column labeled "Unliquidated" If the claim is disputed, place an "X" in the column labeled "Disputed" (You may need to place an "X" in more than one of these three columns.)

Total the columns labeled "Amount of Claim Without Deducting Value of Collateral" and "Unsecured Portion of Any" in the boxes labeled 'Total(s)' on the last sheet of the completed schedule. Report the total from the column labeled "Amount of Claim Without Deducting Value of Collateral" also on the Summary of Schedules and, if the debtor is an individual with primarily consumer debts, report the total from the column labeled "Unsecured Portion, if Any" on the Statistical Summary of Certain Liabilities and Related Data

	CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE ANO A ACCOUNT NUMBER (See Instructions Above)	CODEBTOR	HUSBAND, WIFE, JOINT, OR COMMUNITY	DATE CLAIM WAS INCURRED, NATURE OF LIEN, AND DESCRIPTION AND VALUE OF PROPERTY SUBJECT TO LIEN	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL	UNSECURED PORTION, IF ANY
9	Last four digits of 2528 Lyle Wagner PO Box 692 Gatos, CA9503	l -		9/5/2007 First Trust Deed	_			\$266,570.0	8
	Last four digits of 2529 ACCOUNTING LY1e Wagner PO BOX 692 Los Gatos, CA 95031-0692			10/16/2007 First Trust Deed Value \$ 700,000				\$517,276.4	4
	Last four digits of ACCOUNT NO			Value \$					
	So thrustin i she ats attached		J	(lula	end to	blotai pag- j	>	983,846.5	2
				(Use o	niy last i	Total page)	>	\$ 783,846.52	
								(Report total also on Summary of Schedules)	(If applicable, report also on Statistical Summary of Certain Liabilities and Related Data)

nre AW Financial	stment, Inc.	Case No Debtor				(If knowr		
SCHI	EDU	LE D	- CREDITORS HOI (Continuation			SEC	URED CLAIN	ns
CREDITOR'S NAME AND MAILING ADDRESS INCLUDING ZIP CODE AND AN ACCOUNT NUMBER (See Instructions Above)	CODEBTOR	HUSBAND, WIFE, JOHNT, OR COMMUNITY	DATE CLAIM WAS INCURRED NATURE OF LIEN, AND DESCRIPTION AND VALUE OF PROPERTY SUBJECT TO LIEN	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM WITHOUT DEDUCTING VALUE OF COLLATERAL	UNSECURED PORTION, IF ANY
ast four digits of CCOUNT NO								
			Value \$					
ast four digits of ACCOUNT NO	-							
			Value \$					
ast four digits of ACCOUNT NO.								
			Value \$					
ast four digits of ACCOUNT NO								
			Value \$					
ast four digits of CCOUNT NO								
			Value \$					
Sheet noofcontinua uttached to Schedule of Creditors Claims			(Total)	Subt s) of lare	oral(s) page)	>	S	,
			(Use	Tonly last	page)	>	s	
							(Report also on Summary of Schedules)	(If applicable report also on Statistical Summary of Certain Liabilities and

Fo <u>rm</u>	B6E - (Rev. 04/10)					2010 USBC, C	entral District of California
in re	AW	Financial	&	Investment,	Inc.	Debtor.	Case No.:	(If known)
- —	_	SCHEDULE	 E -	CREDITORS I	HOLDING I		EED PRIORIT	
ma or t	A cor unsecu iling ac the pro	nplete list of claims red claims entitled ldress, including zi	enti to p cod as o	tled to priority, listed sep riority should be listed in le, and last four digits of t	arately by type of this schedule. In the account numb	priority, is to be n the boxes poter, if any, of all	be set forth on the sheel rovided on the attached I entities holding priority	ts provided. Only holders d sheets, state the name, claims against the debtor h type of priority and label
	e debt	or chooses to do so	. If a	minor child is a creditor,	state the child's in	itials and the n	ame and address of the	editor and may be provided child's parent or guardian, ed. R. Bankr. P. 1007(m).
wife Wif an	entity of e, both e, Join "X" in th	on the appropriate s of them, or the mai t, or Community."	ital c If the "Unli	dule of creditors, and com community may be liable e claim is contingent, pla quidated." If the claim is	plete Schedule H on each claim by ce an "X" in the c	-Codebtors. If placing an "H," column labeled	fajoint petition is filed, st " "W," "J," or "C" in the c I "Contingent." If the cli	beled "Codebtor," include tate whether the husband, tolumn labeled "Husband, aim is unliquidated, place " (You may need to place
Sch								of all claims listed on this Summary of Schedules.
	ounts e	entitled to priority li	sted		ne box labeled "Te	otals" on the l	ast sheet of the comple	eet. Report the total of all eted schedule. Individual Related Data.
	all amo	unts not entitled to	pric	ority listed on this Sched	ule E in the box	labeled "Total	s" on the last sheet of	th sheet. Report the total the completed schedule. illities and Related Data.
	Checi	this box if debtor	has	no creditors holding uns	ecured priority cla	aims to report	on this Schedule E.	
TY	PES O	F PRIORITY CLAI	MS (Check the appropriate b	ox(es) below if cl	aims in that ca	ategory are listed on the	attached sheets)
	of the	debtor, or the par	ent, I		nsible relative of s	such a child, o		e, former spouse, or child o whom such a domestic
	Exter the co	nsions of credit in ommencement of t	an i ne ca	nvoluntary case: Claim se but before the earlier	ns arising in the or of the appointme	rdinary course ent of a trustee	of the debtor's busines or the order for relief.	ss or financial affairs after 11 U.S.C. § 507(a)(3).
	to em	ployees and comm	nissio ling ti	ons owing to qualifying in	ndependent sales	representativ	es up to \$11,725* per p	and sick leave pay owing person earned within 180 irst, to the extent provided
	prece							hin 180 days immediately ent provided in 11 U.S.C.
		in farmers and fis ovided in 11 U.S.C			armers and fisherr	men, up to \$5,	775* per farmer or fishe	rman, against the debtor,
	Depo perso	sits by individual nal, family, or hous	s: Cl sehol	aims of individuals up to d use, that were not deli	\$2,600* for depor	sits for the pur	chase, lease, or rental of 507(a)(7).	of property or services for

^{*}Amount subject to adjustment on 04/01/13, and every three years there after with respect to cases commenced on or after the date of adjustment.

Case 2:11-bk-15930-VZ Doc 1 Filed 02/11/11 Entered 02/11/11 14:48:38 Desc Main Document Page 24 of 48

Form B6E - (Rev. 04/10)	2010 USBC, Central District of California
in re	Case No:
AW Financial & Investment, Inc.	Debtor. (If known)
☐ Taxes and Certain Other Debts Owed to Governmental Units: local governmental units as set forth in 11 U.S.C. § 507(a)(8).	Taxes, customs duties, and penalties owing to federal, state, and
Commitments to Maintain the Capital of an Insured Depositor Director of the Office of Thrift Supervision, Comptroller of the Currer predecessors or successors, to maintain the capital of an insured of	ncy, or Board of Governors of the Federal Reserve System, or their
Claims for Death or Personal Injury While Debtor Was Intoxicate of a motor vehicle or vessel while the debtor was intoxicated from us	
*Amounts are subject to adjustment on April 1, 2013, and every three date of adjustment.	years thereafter with respect to cases commenced on or after the
N/A Continuation	n Sheets attached

Form B6E - (Rev. 04/10)				2010	USBC, Central D	istrict of California
AW Financial & Ir	Deb	Case No.:		(if known)		
SCHEDULE E - C	REDITORS HOLDING (Continuation		URED PRI	ORITY CL	AIMS	
				Type of Prior	ity for Claims Lis	ted on This Sheet
CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions.)	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM	CONTINGENT	DISPUTED	AMOUNT OF CLAIM	AMOUNT ENTITLED TO PRIORITY	AMOUNT NOT ENTITLED TO PRIORITY, IF ANY
Jenny Pei Lin 505 S. Atlantic Blvd.	December 2008 \$600,000		 	\$600,000		
Alhambra, CA 91803 Last four digits of Account No. Zoe Sun 505 S Atlantic Blvd.	June 2009 \$500,000			\$500,000		
Alhambra, CA 91803 Account No. Bin Ling Lei 505 S Atlantic Blvd.	December 2007 \$800,000			\$800,000		
Account No.		1				
Last four digits of Account No.			-			
Sheet no of continuation sheets attached to Schedule of Creditors Holding Priority Claims		Subtotals	<u> </u>	1 ^{\$} ,900,000	\$ 	
(U	se only on last page of the completed So Report also on the Summary of S			\$		
	se only on last page of the completed So pplicable, report also on the Statistical of Certain Liabilities and Rela	Summary	>	1,900,000	\$ D	\$

Case 2:11-bk-15930-VZ Doc 1 Filed 02/11/11 Entered 02/11/11 14:48:38 Desc Main Document Page 26 of 48

Form B6F (Official Form 6F) - (Rev. 12/07)	2007 USBC, Central District of California
In re	Case No
AW Financial & Investment, Inc.	!
Debtor	(If known)

SCHEDULE F- CREDITORS HOLDING UNSECURED NONPRIORITY CLAIMS

State the name, mailing address, including zip code, and last four digits of any account number, of all entities holding unsecured claims without priority against the debtor or the property of the debtor, as of the date of filing of the petition. The complete account number of any account the debtor has with the creditor is useful to the trustee and the creditor and may be provided if the debtor chooses to do so. If a minor child is a creditor, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m). Do not include claims listed in Schedules D and E. If all creditors will not fit on this page, use the continuation sheet provided.

If any entity other than a spouse in a joint case may be jointly liable on a claim, place an "X" in the column labeled "Codebtor," include the entity on the appropriate schedule of creditors, and complete Schedule H - Codebtors. If a joint petition is filed, state whether the husband, wife, both of them, or the marital community may be liable on each claim by placing an "H," "W," "J," or "C" in the column labeled "Husband. Wife, Joint, or Community."

If the claim is contingent, place an "X" in the column labeled "Contingent." If the claim is unliquidated, place an "X" in the column labeled "Unliquidated." If the claim is disputed, place an "X" in the column labeled "Disputed." (You may need to place an "X" in more than one of these three columns)

Report the total of all claims listed on this schedule in the box labeled "Total" on the last sheet of the completed schedule. Report this total also on the Summary of Schedules and, if the debtor is an individual with primarily consumer debts filing a case under chapter 7, report this total also on the Statistical Summary of Certain Liabilities and Related Data..

CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR	HUSBAND, WIFE, JOINT, OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
Jenny Pei Lin 505 S Atlantic Blvd			3/11/2010 \$400,000				\$400,000
Apt A, Alhambra, CA Last four digits of ACCOUNT NO.	9180	.3			-		
Last four digits of ACCOUNT NO		_				 	<u>-</u>
Last four digits of ACCOUNT NO				-			:
					: Subto	tal >	\$400,000
Continuation Sheets attached		(Repo	(Use only on last page of the comple ort also on Summary of Schedules and, stical Summary of Certain Liabilities ar	if applie	edule cable,	on	\$400,000

orm B6F (Official Form 6F) - (Rev. 12/0	07)				2007	USBC.	Central District of Calif
AW Financial & I	nvest	ment.	Inc.	Cas	e No	- 244	
			Debtor				(If kno
SCHEDULE F - CF	REDIT	ORS H	OLDING UNSECURED	NON	IPR	IORI	TY CLAIMS
			(Continuation Sheet)				
CREDITOR'S NAME, MAILING ADDRESS INCLUDING ZIP CODE, AND ACCOUNT NUMBER (See instructions above.)	CODEBTOR	HUSBAND, WIFE, JOINT, OR COMMUNITY	DATE CLAIM WAS INCURRED AND CONSIDERATION FOR CLAIM. IF CLAIM IS SUBJECT TO SETOFF, SO STATE.	CONTINGENT	UNLIQUIDATED	DISPUTED	AMOUNT OF CLAIM
ast four digits of CCOUNT NO		— — 			 		
ast four digits of CCOUNT NO							
ast four digits of CCOUNT NO					 —; 	 	 <u> </u>
ist four digits of CCOUNT NO							
					 i Subtot	 al ➤	\$
neet no of sheets attached to chedule of Creditors Holding Unsecure oppriority Claims		(Report a	(Use only on last page of the complete lso on Summary of Schedules and, if ap stical Summary of Certain Liabilities and	plicabl	e on th	.) e >	\$

Form B6G - (12/07)	2007 USBC	, Central District of California				
In re	, Case No	Case No				
AW Financial & Investment, Inc	C . Debtor	(If known)				
Describe all executory contracts of any nature and all unexpidence of debtor's interest in contract, i.e., "Purchaser," "Age the names and complete mailing addresses of all other parties to leases or contracts, state the child's initials and the name and addresses or guardian." Do not disclose the child's name. See, 11 U.S.C.	ired leases of real or personal property. Incluent," etc. State whether debtor is the lessor of each lease or contract described. If a minor ress of the child's parent or guardian, such as \$\frac{1}{2}\$ \text{ \t	ude any timeshare interests or lessee of a lease Provide or child is a party to one of the				
NAME AND MAILING ADDRESS, INCLUDING ZIP CODE, OF OTHER PARTIES TO LEASE OR CONTRACT.	DESCRIPTION OF CONTRACT (NATURE OF DEBTOR'S INTER WHETHER LEASE IS FOR NON REAL PROPERTY. STATE (NUMBER OF ANY GOVERNMEN	REST. STATE IRESIDENTIAL CONTRACT				
Sportsman Club	Rental Lease					
418 San Mateo Avenue.	Debtor is lesson Lease is for nonre					
San Bruno, CA 94066	Real Property.	·				
I	Lease expires on S	semptember 2012.				
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Form B6H - (12/07)		2007 USBC, Central District of California		
AW Financial & Investment, Inc.		Case No		
Aw Financial a investment,	Debtor	(If known)		

SCHEDULE H - CODEBTORS

Provide the information requested concerning any person or entity, other than a spouse in a joint case, that is also liable on any debts listed by the debtor in the schedules of creditors. Include all guarantors and co-signers. If the debtor resides or resided in a community property state, commonwealth, or territory (including Alaska, Anzona, California, Idaho, Louisiana, Nevada, New Mexico, Puerto Rico, Texas, Washington, or Wisconsin) within the eight-year period immediately preceding the commencement of the case, identify the name of the debtor's spouse and of any former spouse who resides or resided with the debtor in the community property state, commonwealth, or territory. Include all names used by the nondebtor spouse during the eight years immediately preceding the commencement of this case. If a minor child is a codebtor or a creditor, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

Check this box if debtor has no codebtors.

NAME AND ADDRESS OF CODEBTOR	NAME AND ADDRESS OF CREDITOR
	İ
	<u> </u>
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Form B6 - Declaration (Rev. 12/07)	2007 USBC, Central District of California				
In re	Case No ·				
AW Financial & Investment, Inc.	Debtor (If known)				
DECLARATION CONCERNING	DEBTOR'S SCHEDULES				
DECLARATION UNDER PENALTY OF P	ERJURY BY INDIVIDUAL DEBTOR				
I declare under penalty of perjury that I have read the foregoing summa sheets, and that they are true and correct to the best of my knowledge,					
Date	Signature:				
Date	Signature:				
	(Joint Debtor, if any) [If joint case, both spouses must sign.]				
DECLARATION AND SIGNATURE OF NON-ATTORNEY BAN	KRUPTCY PETITION PREPARER (See 11 U.S.C. § 110)				
I declare under penalty of perjury that: (1) I am a bankruptcy petition pre- for compensation and have provided the debtor with a copy of this doc §§ 110(b), 110(h) and 342(b); and, (3) if rules or guidelines have been p for services chargeable by bankruptcy petition preparers, I have given document for filing for a debtor or accepting any fee from the debtor, as	ument and the notices and information required under 11 U.S.C. romulgated pursuant to 11 U.S.C. § 110(h) setting a maximum fee the debtor notice of the maximum amount before preparing any				
Printed or Typed Name and Title, if any, of Bankruptcy Petition Prepare	Social Security No (Required by 11 U.S.C. § 110)				
principal, responsible person, or partner who signs this document. Address					
X					
Signature of Bankruptcy Petition Preparer	Date				
Names and Social Security numbers of all other individuals who prepared or assisted individual	I in preparing this document, unless the bankruptcy petition preparer is not an				
If more than one person prepared this document, attach additional signed sheets cor	nforming to the appropriate Official Form for each person.				
A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal \S 110, 18 U S C \S 156	Rules of Banknintoty Procedure may result in fines or imprisonment or both 11 U.S.C.				
DECLARATION UNDER PENALTY OF PERJURY ON B	EHALF OF A CORPORATION OR PARTNERSHIP				
I, the authorized agent [the president or other officer or of the partnership] of the AW Financial & InvestMenus for perjury that I have read the foregoing summary and schedules, consisting of	an authorized agent of the corporation or a member or an authorized agent on or pagnership] named as debtor in this case, declare under penaity of sheets, and that				
they are true and correct to the best of my knowledge, information, and belief.	(Total snown on summary page plus 1)				
Date	Signature: Bin Ling Lei [Print or type name of individual signing on behalf of deblor]				
[An individual signing on behalf of a partnership or corpora	ition must indicate position or relationship to debtor j				
	10 H 0 C 20 420 pm 2571				
Penalty for making a false statement or concealing property. Fine of up to \$500,0	UD or imprisonment for up to 5 years or both 18 U S C 38 152 and 3571				

Statement of Financial Affairs (Form 7) - Page 1 - (Rev. 04/10)

2010 USBC, Central District of California

UNITED STATES BANKRUPTCY COURT Central District of California

AW Financial & Investment, Inc.	
Debtor.	(If known)

STATEMENT OF FINANCIAL AFFAIRS

This statement is to be completed by every debtor. Spouses filing a joint petition may file a single statement on which the information for both spouses is combined. If the case is filed under chapter 12 or chapter 13, a married debtor must furnish information for both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed. An individual debtor engaged in business as a sole proprietor, partner, family farmer, or self-employed professional, should provide the information requested on this statement concerning all such activities as well as the individual's personal affairs. To indicate payments, transfers and the like to minor children, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See, 11 U.S.C. §112 and Fed. R. Bankr. P. 1007(m).

Questions 1 - 18 are to be completed by all debtors. Debtors that are or have been in business, as defined below, also must complete Questions 19 - 25. If the answer to an applicable question is "None," mark the box labeled "None." If additional space is needed for the answer to any question, use and attach a separate sheet properly identified with the case name, case number (if known), and the number of the question.

Definitions

"In business." A debtor is "in business" for the purpose of this form if the debtor is a corporation or partnership. An individual debtor is "in business" for the purpose of this form if the debtor is or has been, within six years immediately preceding the filing of this bankruptcy case, any of the following: an officer, director, managing executive, or owner of 5 percent or more of the voting or equity securities of a corporation; a partner, other than a limited partner, of a partnership; a sole proprietor or self-employed full-time or part-time. An individual debtor also may be "in business" for the purpose of this form if the debtor engages in a trade, business, or other activity, other than as an employee, to supplement income from the debtor's primary employment.

"Insider." The term "insider" includes but is not limited to: relatives of the debtor; general partners of the debtor and their relatives; corporations of which the debtor is an officer, director, or person in control; officers, directors, and any owner of 5 percent or more of the voting or equity securities of a corporate debtor and their relatives; affiliates of the debtor and insiders of such affiliates; any managing agent of the debtor. 11 U.S.C. § 101.

1. Income from Employment or Operation of Business

None State the gross amount of income the debtor has received from employment, trade, or profession, or from operation of the debtor's business, including part-time activities either as an employee or in independent trade or business, from the beginning of this calendar year to the date this case was commenced. State also the gross amounts received during the **two years** immediately preceding this calendar year. (A debtor that maintains, or has maintained, financial records on the basis of a fiscal rather than a calendar year may report fiscal year income. Identify the beginning and ending dates of the debtor's fiscal year.) If a joint petition is filed, state income for each spouse separately. (Married debtors filing under chapter 12 or chapter 13 must state income of both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

AMOUNT SOURCE

2010 USBC, Central District of California

2. Income Other than from Employment or Operation of Business

None State the amount of income received by the debtor other than from employment, trade, profession, operation of the debtor's business during the two years immediately preceding the commencement of this case. Give particulars. If 团 a joint petition is filed, state income for each spouse separately. (Married debtors filing under chapter 12 or chapter 13 must state income for each spouse whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

AMOUNT

SOURCE

3. Payments to Creditors

Complete a. or b., as appropriate, and c.

None a. Individual or joint debtor(s) with primarily consumer debts: List all payments on loans, installment purchases of goods or services, and other debts to any creditor made within 90 days immediately preceding the commencement of this case unless the aggregate value of all property that constitutes or is affected by such transfer is less than \$600. Indicate with an asterisk (*) any payments that were made to a creditor on account of a domestic support obligation or as part of an alternative repayment schedule under a plan by an approved nonprofit budgeting and creditor counseling agency. (Married debtors filing under chapter 12 or chapter 13 must include payments by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR

DATES OF **PAYMENTS** **AMOUNT** PAID

AMOUNT STILL OWING

Not Applicable

None b. Debtor whose debts are not primarily consumer debts: List each payment or other transfer to any creditor made within 90 days immediately preceding the commencement of the case unless the aggregate value of all property Х that constitutes or is affected by such transfer is less than \$5,850. If the debtor is an individual, indicate with an asterisk (*) any payments that were made to a creditor on account of a domestic support obligation or as part of an alternative repayment schedule under a plan by an approved nonprofit budgeting and credit counseling agency. (Married debtors filing under chapter 12 or chapter 13 must include payments and other transfers by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

> DATES OF PAYMENTS/

AMOUNT PAID OR VALUE OF TRANSFERS

TAUCOMA STILL OWING

NAME AND ADDRESS OF CREDITOR

TRANSFERS

2010 USBC, Central District of California

None c. All debtors: List all payments made within **one year** immediately preceding the commencement of this case to or for the benefit of creditors who are or were insiders. (Married debtors filing under chapter 12 or chapter 13 must include payments by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR AND RELATIONSHIP TO DEBTOR

DATE OF PAYMENT

AMOUNT PAID AMOUNT STILL OWING

4. Suits and Administrative Proceedings, Executions, Garnishments and Attachments

None
a. List all suits and administrative proceedings to which the debtor is or was a party within **one year** immediately preceding the filing of this bankruptcy case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

CAPTION OF SUIT AND CASE NUMBER

NATURE OF PROCEEDING

COURT OR AGENCY AND LOCATION

STATUS OR DISPOSITION

None b. Describe all property that has been attached, garnished or seized under any legal or equitable process within **one**year immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter
13 must include information concerning property of either or both spouses whether or not a joint petition is filed,
unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF PERSON FOR WHOSE BENEFIT PROPERTY WAS SEIZED

DATE OF SEIZURE DESCRIPTION AND VALUE OF PROPERTY

Statement of Financial Affairs (Form 7) - Page 4 - (Rev. 04/10)

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5. Repossessions, Foreclosures and Returns

None **K** List all property that has been repossessed by a creditor, sold at a foreclosure sale, transferred through a deed in lieu of foreclosure or returned to the seller, within **one year** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR OR SELLER DATE OF REPOSSESSION, FORECLOSURE SALE, TRANSFER OR RETURN

DESCRIPTION AND VALUE OF PROPERTY

6. Assignments and Receiverships

None

a. Describe any assignment of property for the benefit of creditors made within 120 days immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include any assignment by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF ASSIGNEE DATE OF ASSIGNMENT TERMS OF ASSIGNMENT OR SETTLEMENT

None b. List all property which has been in the hands of a custodian, receiver, or court-appointed official within **one year** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning property of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CUSTODIAN NAME AND LOCATION OF COURT CASE TITLE AND NUMBER DATE OF ORDER

DESCRIPTION AND VALUE OF PROPERTY

Statement of Financial Affairs (Form 7) - Page 5 - (Rev. 04/10)

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7. Gifts

List all gifts or charitable contributions made within **one year** immediately preceding the commencement of this case except ordinary and usual gifts to family members aggregating less than \$200 in value per individual family member and charitable contributions aggregating less than \$100 per recipient. (Married debtors filing under chapter 12 or chapter 13 must include gifts or contributions by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is **not** filed.)

NAME AND ADDRESS OF PERSON OR ORGANIZATION RELATIONSHIP TO DEBTOR, IF ANY

DATE OF GIFT DESCRIPTION AND VALUE OF GIFT

Losses

None E List all losses from fire, theft, other casualty or gambling within **one year** immediately preceding the commencement of this case **or since the commencement of this case**. (Married debtors filing under chapter 12 or chapter 13 must include losses by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

DESCRIPTION AND VALUE OF PROPERTY DESCRIPTION OF CIRCUMSTANCES AND, IF LOSS WAS COVERED IN WHOLE OR IN PART BY INSURANCE, GIVE PARTICULARS

DATE OF LOSS

9. Payments Related to Debt Counseling or Bankruptcy

None

List all payments made or property transferred by or on behalf of the debtor to any persons, including attorneys, for consultation concerning debt consolidation, relief under the bankruptcy law or preparation of a petition in bankruptcy within **one year** immediately preceding the commencement of this case.

NAME AND ADDRESS OF PAYEE DATE OF PAYMENT, NAME OF PAYER IF OTHER THAN DEBTOR AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY

Statement of Financial Affairs (Form 7) - Page 6 - (Rev. 04/10)

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Other Transfers

None a

a. List all other property, other than property transferred in the ordinary course of the business or financial affairs of the debtor, transferred either absolutely or as security within two years immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include transfers by either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF TRANSFEREE, RELATIONSHIP TO DEBTOR

DATE

DESCRIBE PROPERTY TRANSFERRED AND VALUE RECEIVED

None b. List all property transferred by the debtor within **ten years** immediately preceding the commencement of this case to a self-settled trust or similar device of which the debtor is a beneficiary.

NAME OF TRUST OR OTHER DEVICE

DATE(S) OF TRANSFER(S)

AMOUNT OF MONEY OR DESCRIPTION AND VALUE OF PROPERTY OR DEBTOR'S INTEREST IN PROPERTY

11. Closed Financial Accounts

None

List all financial accounts and instruments held in the name of the debtor or for the benefit of the debtor which were closed, sold, or otherwise transferred within **one year** immediately preceding the commencement of this case. Include checking, savings, or other financial accounts, certificates of deposit, or other instruments, shares and share accounts held in banks, credit unions, pension funds, cooperatives, associations, brokerage houses and other financial institutions. (Married debtors filing under chapter 12 or chapter 13 must include information concerning accounts or instruments held by or for either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF INSTITUTION

TYPE OF ACCOUNT, LAST FOUR DIGITS OF ACCOUNT NUMBER, AND AMOUNT OF FINAL BALANCE

AMOUNT AND DATE OF SALE OR CLOSING Statement of Financial Affairs (Form 7) - Page 7 - (Rev. 04/10)

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12. Safe Deposit Boxes

None

List each safe deposit or other box or depository in which the debtor has or had securities, cash, or other valuables within **one year** immediately preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include boxes or depositories of either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF BANK OR OTHER DEPOSITORY NAMES AND ADDRESSES OF THOSE WITH ACCESS TO BOX OR DEPOSITORY DESCRIPTION OF CONTENTS DATE OF TRANSFER OR SURRENDER, IF ANY

13. Setoffs

None

List all setoffs made by any creditor, including a bank, against a debt or deposit of the debtor within **90 days** preceding the commencement of this case. (Married debtors filing under chapter 12 or chapter 13 must include information concerning either or both spouses whether or not a joint petition is filed, unless the spouses are separated and a joint petition is not filed.)

NAME AND ADDRESS OF CREDITOR

DATE OF SETOFF AMOUNT OF SETOFF

14. Property Held for Another Person

List all property owned by another person that the debtor holds or controls.

NAME AND ADDRESS OF OWNER DESCRIPTION AND VALUE OF PROPERTY

LOCATION OF PROPERTY

Statem	ent of Financial Affairs (Form 7) - Page 8 - (Rev.	04/10)	2010 USBC, Central District of California
15.	Prior Address of Debtor		
None	If debtor has moved within three years in the debtor occupied during that period a report also any separate address of eith		
	ADDRESS	NAME USED	DATES OF OCCUPANCY
	3535 Lessini St. Pleasanton, CA 94566	AW Financial & Inves	Until September 2010
16.	Spouses and Former Spouses		

None If the debtor resides or resided in a community property state, commonwealth, or territory (including Alaska, Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Puerto Rico, Texas, Washington, or Wisconsin) within eight years [3] immediately preceding the commencement of the case, identify the name of the debtor's spouse and of any former spouse who resides or resided with the debtor in the community property state.

NAME

17. **Environmental Information**

For the purpose of this question, the following definitions apply:

"Environmental Law" means any federal, state, or local statute or regulation regulating pollution, contamination, releases of hazardous or toxic substances, wastes or material into the air, land, soil, surface water, groundwater, or other medium, including, but not limited to, statutes or regulations regulating the cleanup of these substances, wastes,

"Site" means any location, facility, or property as defined under any Environmental Law, whether or not presently or formerly owned or operated by the debtor, including, but not limited to, disposal sites.

"Hazardous Material" means anything defined as a hazardous waste, hazardous substance, toxic substance, hazardous material, pollutant, or contaminant or similar term under an Environmental Law.

None a. List the name and address of every site for which the debtor has received notice in writing by a governmental unit that it may be liable or potentially liable under or in violation of an Environmental Law. Indicate the governmental unit, the date of the notice, and, if known, the Environmental Law:

> SITE NAME AND ADDRESS

꼇

NAME AND ADDRESS OF GOVERNMENTAL UNIT DATE OF NOTICE

ENVIRONMENTAL LAW

2010 USBC, Central District of California

X

b. List the name and address of every site for which the debtor provided notice to a governmental unit of a release of Hazardous Material. Indicate the governmental unit to which the notice was sent and the date of the notice.

SITE NAME AND ADDRESS

NAME AND ADDRESS OF GOVERNMENTAL UNIT DATE OF NOTICE

ENVIRONMENTAL LAW

None

None c. List all judicial or administrative proceedings, including settlements or orders, under any Environmental Law with respect to which the debtor is or was a party. Indicate the name and address of the governmental unit that is or was a party to the proceeding, and the docket number.

NAME AND ADDRESS OF GOVERNMENTAL UNIT

DOCKET NUMBER

STATUS OR DISPOSITION

18. Nature, Location and Name of Business

None w

a. If the debtor is an individual, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was an officer, director, partner, or managing executive of a corporation, partner in a partnership, sole proprietor, or was self-employed in a trade, profession, or other activity either full- or part-time within six years immediately preceding the commencement of this case, or in which the debtor owned 5 percent or more of the voting or equity securities within six years immediately preceding the commencement of this case.

If the debtor is a partnership, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was a partner or owned 5 percent or more of the voting or equity securities, within six years immediately preceding the commencement of this case.

If the debtor is a corporation, list the names, addresses, taxpayer identification numbers, nature of the businesses, and beginning and ending dates of all businesses in which the debtor was a partner or owned 5 percent or more of the voting or equity securities within six years immediately preceding the commencement of this case.

> LAST FOUR DIGITS OF SOC. SEC, NO./ COMPLETE EIN' OR OTHER TAXPAYER I.D. NO.

ADDRESS

NATURE OF BUSINESS

BEGINNING AND ENDING DATES

NAME

Statemen	nt of I	Financial Affairs (Form 7) -	Page 10 - (Rev. 04/10)	2010 USBC, Central District of Californ
None □ ≵		Identify any business li in 11 U.S.C. § 101.	isted in response to subdivision a	a., above, that is "single asset real estate" as define
		NAME	ADDRESS	
who is o director, other tha full- or p (An indiv	or ha , ma an a part-t	s been, within six year anaging executive, or o limited partner, of a pa ime. al or joint debtor should	is immediately preceding the com- twner of more than 5 percent of the intership, a sole proprietor, or self and complete this portion of the sta	a corporation or partnership and by any individual debine mencement of this case, any of the following: an officine voting or equity securities of a corporation; a partner femployed in a trade, profession, or other activity, either terment only if the debtor is or has been in business, a terment of this case. A debtor who has not been in busine
within th	ose	six years should go dii	rectly to the signature page.)	
19.	Вос	ks, Records and Fina	ancial Statements	
None	a. I			ears immediately preceding the filing of this bankrupto
		case kept or supervise	d the keeping of books of accour	it and records of the debtor.
	(case kept or supervise NAME AND ADDRESS cial & Invest	5	nt and records of the debtor. DATES SERVICES RENDERED
W Fin	nan Val te,	NAME AND ADDRESS Icial & Invest Ley Blvd., St CA 91731 List all firms or individu	te 1888	DATES SERVICES RENDERED
□ W Fin 501 V Mont	nan Val te,	NAME AND ADDRESS Icial & Invest Ley Blvd., St CA 91731 List all firms or individu	te 1888	DATES SERVICES RENDERED
W Fin	nan Val te,	NAME AND ADDRESS Icial & Invest Ley Blvd., St CA 91731 List all firms or individual	te 1888 als who within two years immediated and records, or prepared a	DATES SERVICES RENDERED diately preceding the filing of this bankruptcy case have a financial statement of the debtor.
□ W Fin 501 V Mont	b. !	NAME AND ADDRESS ICIAL & Invest Ley Blvd., St CA 91731 List all firms or individual audited the books of act NAME	te 1888 als who within two years immediate and records, or prepared a ADDRESS	DATES SERVICES RENDERED diately preceding the filing of this bankruptcy case have a financial statement of the debtor.
W Fin	b. !	NAME AND ADDRESS ICIAL & Invest Ley Blvd., St CA 91731 List all firms or individual audited the books of act NAME	te 1888 als who within two years immediate and records, or prepared a ADDRESS	DATES SERVICES RENDERED diately preceding the filing of this bankruptcy case have a financial statement of the debtor. DATES SERVICES RENDERED
W Fin	b. !	NAME AND ADDRESS Icial & Invest Ley Blvd., St CA 91731 List all firms or individual audited the books of act NAME List all firms or individual account and records of	te 1888 als who within two years immediate and records, or prepared a ADDRESS	diately preceding the filing of this bankruptcy case has a financial statement of the debtor. DATES SERVICES RENDERED Incement of this case were in possession of the books of account and records are not available, explain.
W Fin	b. !	NAME AND ADDRESS Icial & Invest Ley Blvd., St CA 91731 List all firms or individual audited the books of act NAME List all firms or individual account and records of	te 1888 als who within two years immediate and records, or prepared a ADDRESS	diately preceding the filing of this bankruptcy case has a financial statement of the debtor. DATES SERVICES RENDERED Incement of this case were in possession of the books of account and records are not available, explain.
W Fin	b. !	NAME AND ADDRESS Icial & Invest Ley Blvd., St CA 91731 List all firms or individual audited the books of act NAME List all firms or individual account and records of	te 1888 als who within two years immediate and records, or prepared a ADDRESS	DATES SERVICES RENDERED diately preceding the filing of this bankruptcy case has a financial statement of the debtor. DATES SERVICES RENDERED notement of this case were in possession of the books of account and records are not available, explain.

Statem	ent o	f Financial Affairs (Form 7) - Page 1	1 - (Rev. 04/10)	2010 USBC, Central District of California
None	Consideration and the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of the state of			
		NAME AND ADDRESS	C	DATE ISSUED
20.	In	ventories		
None	a.	List the dates of the last two in of each inventory, and the do	iventories taken of your property, the na llar amount and basis of each inventory	me of the person who supervised the taking
		DATE OF INVENTORY	INVENTORY SUPERVISOR	DOLLAR AMOUNT OF INVENTORY (Specify cost, market, or other basis)
None	b.	List the name and address of in a., above.	the person having possession of the re	cords of each of the inventories reported
		DATE OF INVENTORY		RESS OF CUSTODIAN TORY RECORDS
21.	Cu	rrent Partners, Officers, Dire	ctors and Shareholders	
None	a.	If the debtor is a partnership partnership.	, list the nature and percentage of pa	artnership interest of each member of the
		NAME AND ADDRESS	NATURE OF INTEREST	PERCENTAGE OF INTEREST
		N7 / 7		

Statem	ent o	of Financial Affairs (Form 7) - Page 12 - (F	Rev. 04/10)		2010 USBC, Central District o	f California
None	b.				of the corporation, and each stockholder who e e voting or equity securities of the corporation	
	.Te	NAME AND ADDRESS		TITLE	NATURE AND PERCENT. OF STOCK OWNERSH	
	15	05 S Atlantic Blvd. hambra, CA 91803	Apt A		100%	
22.	Fc	ormer Partners, Officers, Directo	rs and Sha	reholders		
None	a.	 a. If the debtor is a partnership, list each member who withdrew from the partnership within one year immediate preceding the commencement of this case. 				
		NAME	ADDRI	ESS	DATE OF WITHDRAWAL	

None j ⊊⊃						ted within
		NAME AND ADDRESS	TIT	ΓLE	DATE OF TERMINATION	
23.		ithdrawals from a Partnership or			•	
None M				demptions, options exercised and any other p	n insider, perquisite	
		NAME AND ADDRESS OF RECIPIENT, RELATIONSHIP TO DEBTOR		DATE AND P OF WITHD		1

ne parent corporation of any
ne parent corporation of any
vithin six years immediately
CATION NUMBER (EIN)
of any pension fund to which ears immediately preceding
CATION NUMBER (EIN)

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Statement of Financial Affairs (Form 7) - Page 14 - (Rev. 04/10)	2010 USBC, Central District of California
[If completed by an individual or individual and spouse]	
I declare under penalty of perjury that I have read the ansany attachments thereto and that they are true and correct. Date	wers contained in the foregoing statement of financial affairs and Signature Debtor
Date	Signature Joint Debtor (if any)
[If completed on behalf of a partnership or corporation]	
any attachments thereto and that they are true and correct to the Date	Signature Bin Ling le,, Asst Se
[An individual signing on behalf of a partnership or corporation mu	
Penalty for making a false statement: Fine of up to \$500,000 or imp	
DECLARATION AND SIGNATURE OF NON-ATTO (See 11 U.S	DRNEY BANKRUPTCY PETITION PREPARER
I declare under penalty of perjury that: (1) I am a bankruptcy petition p for compensation and have provided the debtor with a copy of this doc 110(b), 110(h), and 342(b); and, (3) if rules or guidelines have been p for services chargeable by bankruptcy petition preparers, I have give document for filing for a debtor or accepting any fee from the debtor, a	ument and the notices and information required under 11 U.S.C. §§ promulgated pursuant to 11 U.S.C. § 110(h) setting a maximum fee on the debtor notice of the maximum amount before preparing any
Printed or Typed Name and Title, if any, of Bankruptcy Petition Preparer	Complete Social Security No. (Required by 11 U.S.C. § 110)
If the bankruptcy petition preparer is not an individual, state the name principal, responsible person, or partner who signs this document.	
Address	
Signature of Bankruptcy Petition Preparer	Date

Names and Social Security numbers of all other individuals who prepared or assisted in preparing this document if the bankruptcy petition preparer is not an individual:

If more than one person prepared this document, attach additional signed sheets conforming to the appropriate Official Form for each person.

A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal Rules of Bankruptcy Procedure may result in fines or imprisonment or both. 18 U.S.C. § 156.

For	m B2	203 - Disclosure of Compensation of Attorney for Debtor - (1/88)	1998 USBC, Central District of California		
٦ ا	UNITED STATES BANKRUPTCY COURT CENTRAL DISTRICT OF CALIFORNIA				
 Ir	ı re	AW Financial & Investment, Inc.	Case No.:		
		AW I I MARIOTAL & INVOCAMENT, I I I	DISCLOSURE OF COMPENSATION OF ATTORNEY FOR DEBTOR		
Ĺ					
1.	an me	rsuant to 11 U.S.C. § 329(a) and Bankruptcy Rule 2016(b), I cerd that compensation paid to me within one year before the filing, for services rendered or to be rendered on behalf of the dinkruptcy case is as follow.	ng of the petition in bankruptcy, or agreed to be paid to		
	Fo	or legal services, I have agreed to accept	\$3,000		
	Pr	ior to the filing of this statement I have received	\$3,000		
	Ва	alance Due	\$ <u>0</u>		
2.	Th	e source of the compensation paid to me was:			
		Debtor			
3.	The	e source of compensation to be paid to me is:			
		Debtor □ Other (specify)			
4.	Ø	I have not agreed to share the above-disclosed compensation associates of my law firm.	n with any other person unless they are members and		
		I have agreed to share the above-disclosed compensation associates of my law firm. A copy of the agreement, togeth compensation, is attached.			
5.	ln r	return for the above-disclosed fee, I have agreed to render legal	service for all aspects of the bankruptcy case, including:		
	a.	Analysis of the debtor's financial situation, and rendering advin bankruptcy;	ce to the debtor in determining whether to file a petition		
	b.	Preparation and filing of any petition, schedules, statement of	f affairs and plan which may be required;		
	C.	Representation of the debtor at the meeting of creditors and co	nfirmation hearing, and any adjourned hearings thereof;		
	d.	Representation of the debtor in adversary proceedings and c	ther contested bankruptcy matters;		
	e.	[Other provisions as needed].			

6. By agreement with the debtor(s), the above-disclosed fee does not include the following services		
2) agreement may the debter(s), the above disclosed tee does not include the following services		
	ERTIFICATION	
	ement of any agreement or arrangement for payment to me for	
l certify that the foregoing is a complete state representation of the debtor(s) in this bankrupto	ement of any agreement or arrangement for payment to me for	
l certify that the foregoing is a complete state	ement of any agreement or arrangement for payment to me for	
l certify that the foregoing is a complete state representation of the debtor(s) in this bankrupto	ement of any agreement or arrangement for payment to me for	
I certify that the foregoing is a complete state representation of the debtor(s) in this bankrupto	ement of any agreement or arrangement for payment to me for by proceeding.	
I certify that the foregoing is a complete state representation of the debtor(s) in this bankrupto	ement of any agreement or arrangement for payment to me for by proceeding. Signature of Attorney	
I certify that the foregoing is a complete state representation of the debtor(s) in this bankrupto	ement of any agreement or arrangement for payment to me for by proceeding.	
I certify that the foregoing is a complete state representation of the debtor(s) in this bankrupto	ement of any agreement or arrangement for payment to me for by proceeding. Signature of Attorney Alex Kim	

Verification of Creditor Mailing List - (Rev. 10/05)	2003 USBC, Central District of California
MASTER MAILIN Verification Pursuant to Local Ba	
NameAlex Kim	
Address 3600 Wilshire Blvd., Ste. 1408,	Los Angeles, CA 90010
Telephone	
☑ Attorney for Debtor(s)☐ Debtor in Pro Per	
UNITED STATES BANKR CENTRAL DISTRICT OF	
List all names including trade names used by Debtor(s) within last 8 years):	Case No.:
AW Financial & Investment, Inc.	Chapter:
! 	
VERIFICATION OF CREDITO	OR MAILING LIST
The above named debtor(s), or debtor's attorney if applicable, do he Master Mailing List of creditors, consisting of sheet(s) is complepursuant to Local Rule 1007-2(d) and I/we assume all responsibility for	ete, correct, and consistent with the debtor's schedules
Date: 2 (0 (1) Debtor	In Ly Lai
Attorney (if applidable) Joint Debtor	r

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