| Fill in this information to identify your case: | | |
|---|------------|---------------------------------|
| United States Bankruptcy Court for the: | | |
| NORTHERN DISTRICT OF CALIFORNIA | | |
| Case number (if known) | Chapter 11 | |
| | | Check if this ar amended filing |

Official Form 201 Voluntary Petition for Non-Individuals Filing for Bankruptcy

4/16

If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known). For more information, a separate document, *Instructions for Bankruptcy Forms for Non-Individuals*, is available.

| Debtor's name | Unique Recycling Corporation of California | |
|---|---|--|
| All other names debtor used in the last 8 years | | |
| Include any assumed names, trade names and <i>doing business as</i> names | | |
| Debtor's federal Employer Identification Number (EIN) | 68-0169521 | |
| Debtor's address | Principal place of business | Mailing address, if different from principal place of business |
| | 1051 Broadway, Suite E Sonoma, CA 95476 | P. O. Box 360 Sonoma, CA 95476 |
| | Number, Street, City, State & ZIP Code | P.O. Box, Number, Street, City, State & ZIP Code |
| | Sonoma County | Location of principal assets, if different from principal place of business |
| | | Number, Street, City, State & ZIP Code |
| Debtor's website (URL) | | |
| Type of debtor | Corporation (including Limited Liability Company (I) | LC) and Limited Liability Partnership (LLP)) |
| | | , |
| | | |
| | All other names debtor used in the last 8 years Include any assumed names, trade names and doing business as names Debtor's federal Employer Identification Number (EIN) Debtor's address Debtor's website (URL) | All other names debtor used in the last 8 years Include any assumed names, trade names and doing business as names Debtor's federal Employer Identification Number (EIN) Debtor's address Principal place of business 1051 Broadway, Suite E Sonoma, CA 95476 Number, Street, City, State & ZIP Code Sonoma County |

| Debtor | Unique Recycling Corporation of California |
|--------|--|
| | Name |

| 7. | Describe debtor's business | A. Check one: | | | | |
|-----|--|------------------|-----------|--|---|---------------------------------|
| | | Health Car | Busines | ss (as defined in 11 U.S.C. § 10 | 1(27A)) | |
| | | _ | | state (as defined in 11 U.S.C. § | | |
| | | | | in 11 U.S.C. § 101(44)) | | |
| | | _ ` | | ned in 11 U.S.C. § 101(53A)) | | |
| | | | | | | |
| | | | | as defined in 11 U.S.C. § 101(6 |)) | |
| | | _ | | efined in 11 U.S.C. § 781(3)) | | |
| | | None of the | above | | | |
| | | B. Check all the | at apply | | | |
| | | _ | | s described in 26 U.S.C. §501) | | |
| | | | | | d investment vehicle (as defined in 15 | U.S.C. §80a-3) |
| | | | | as defined in 15 U.S.C. §80b-20 | | 0.0.0. 3000 0/ |
| | | | auvisor | as defined in 15 0.0.0. 3000-21 | (a)(11)) | |
| | | | | can Industry Classification Syste urts.gov/four-digit-national-asso | em) 4-digit code that best describes deb ciation-naics-codes. | otor. |
| | | | | | | |
| 8. | Under which chapter of the | Check one: | | | | |
| | Bankruptcy Code is the debtor filing? | Chapter 7 | | | | |
| | 0 | Chapter 9 | | | | |
| | | Chapter 11 | . Check a | all that apply: | | |
| | | | | Debtor's aggregate nonconting | gent liquidated debts (excluding debts o | owed to insiders or affiliates) |
| | | | | are less than \$2,566,050 (amo | ount subject to adjustment on 4/01/19 a | nd every 3 years after that). |
| | | | | business debtor, attach the me | s debtor as defined in 11 U.S.C. § 101(ost recent balance sheet, statement of a tax return or if all of these documents S(1)(B). | operations, cash-flow |
| | | | | A plan is being filed with this p | betition. | |
| | | | _ | | solicited prepetition from one or more of | classes of creditors, in |
| | | | | accordance with 11 U.S.C. § 1 | | , |
| | | | | Exchange Commission accord | eriodic reports (for example, 10K and 1 ling to § 13 or 15(d) of the Securities E: on for Non-Individuals Filing for Bankru, form. | xchange Act of 1934. File the |
| | | | | The debtor is a shell company | as defined in the Securities Exchange | Act of 1934 Rule 12b-2. |
| | | Chapter 12 | | | - | |
| | | | | | | |
| 9. | Were prior bankruptcy | No. | | | | |
| | cases filed by or against the debtor within the last 8 | □ Yes. | | | | |
| | years? | L res. | | | | |
| | If more than 2 cases, attach a | Distric | | | 0 | |
| | separate list. | Distric | | When | Case number | |
| | | Distric | :t | When | Case number | |
| 10. | Are any bankruptcy cases | No | | | | |
| | pending or being filed by a | | | | | |
| | business partner or an affiliate of the debtor? | TYes. | | | | |
| | List all cases. If more than 1, | | | | | |
| | attach a separate list | Debto | | | Relationship | |
| | | Distric | t | When | Case number, i | f known |

| Deb | onique receyoning | Corporation of | of California | Case number (if known |) |
|-----|--|-------------------|------------------------------|--|---|
| | Name | | | | |
| 11. | Why is the case filed in this district? | Check all that | apply: | | |
| | this district? | | | ipal place of business, or principal assets or for a longer part of such 180 days than | |
| | | A bankr | uptcy case concerning del | btor's affiliate, general partner, or partners | hip is pending in this district. |
| 12. | Does the debtor own or | No | | | |
| | have possession of any real property or personal property that needs | □ Yes. Ans | wer below for each proper | ty that needs immediate attention. Attach | additional sheets if needed. |
| | immediate attention? | Wh | y does the property need | d immediate attention? (Check all that ap | pply.) |
| | | | t poses or is alleged to pos | se a threat of imminent and identifiable ha | zard to public health or safety. |
| | | V | Vhat is the hazard? | | |
| | | | t needs to be physically se | ecured or protected from the weather. | |
| | | | | Is or assets that could quickly deteriorate of meat, dairy, produce, or securities-related | or lose value without attention (for example, assets or other options). |
| | | | Dila a s | | |
| | | Whe | ere is the property? | | |
| | | | | Number, Street, City, State & ZIP Code | |
| | | ls ti | ne property insured? | | |
| | | 1 🗆 | No | | |
| | | | res. Insurance agency | | |
| | | | Contact name | | |
| | | | Phone | | |
| | Statistical and admir | histrative inform | ation | | |
| 13. | | . Check | | | |
| 10. | available funds | _ | | stribution to unsecured creditors. | |
| | | _ | | | |
| | | | er any administrative expe | nses are paid, no funds will be available to | o unsecured creditors. |
| 14. | Estimated number of | □ 1-49 | | □ 1,000-5,000 | □ 25,001-50,000 |
| | creditors | 50-99 | | 5001-10,000 | 50,001-100,000 |
| | | ■ 100-199 | | □ 10,001-25,000 | ☐ More than100,000 |
| | | □ 200-999 | | | |
| 15. | Estimated Assets | □ \$0 - \$50,00 | 00 | □ \$1,000,001 - \$10 million | □ \$500,000,001 - \$1 billion |
| | | 🗖 \$50,001 - \$ | \$100,000 | 🗖 \$10,000,001 - \$50 million | □ \$1,000,000,001 - \$10 billion |
| | | □ \$100,001 - | | □ \$50,000,001 - \$100 million | □ \$10,000,000,001 - \$50 billion |
| | | \$500,001 - | \$1 million | □ \$100,000,001 - \$500 million | ☐ More than \$50 billion |
| 16. | Estimated liabilities | □ \$0 - \$50,00 | 00 | \$1,000,001 - \$10 million | □ \$500,000,001 - \$1 billion |
| | | □ \$50,001 - | | □ \$10,000,001 - \$10 million | □ \$1,000,000,001 - \$10 billion |
| | | □ \$100,001 - | \$500,000 | 🗖 \$50,000,001 - \$100 million | □ \$10,000,000,001 - \$50 billion |
| | | □ \$500,001 - | \$1 million | □ \$100,000,001 - \$500 million | ☐ More than \$50 billion |

| Request for Relie | f, Declaration, and Signatures | |
|---|---|--|
| | ud is a serious crime. Making a false statement in conn for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 151 | ection with a bankruptcy case can result in fines up to \$500,000 or 9, and 3571. |
| 17. Declaration and signate of authorized representative of debto | The debtor requests relief in accordance with the r I have been authorized to file this petition on beha | chapter of title 11, United States Code, specified in this petition. alf of the debtor. nd have a reasonable belief that the information is trued and correct. |
| | I declare under penalty of perjury that the foregoin | ng is true and correct. |
| | Executed on May 31, 2016 MM / DD / YYYY | |
| | X /s/ Tommy DeHennis | Tommy DeHennis |
| | Signature of authorized representative of debtor Title Vice President | Printed name |
| 18. Signature of attorney | X /s/ Michael C. Fallon | Date May 31, 2016 |
| | | ail address mcfallon@fallonlaw.net |
| | Des south as a set Otata | |

Bar number and State

| Fill in this information to identify the case: | |
|---|-------|
| Debtor name Unique Recycling Corporation of California | |
| United States Bankruptcy Court for the: NORTHERN DISTRICT OF CALIFORNIA | |
| Case number (if known) | □ Che |
| | |

Check if this is an amended filing

Official Form 202 Declaration Under Penalty of Perjury for Non-Individual Debtors 12/15

An individual who is authorized to act on behalf of a non-individual debtor, such as a corporation or partnership, must sign and submit this form for the schedules of assets and liabilities, any other document that requires a declaration that is not included in the document, and any amendments of those documents. This form must state the individual's position or relationship to the debtor, the identity of the document, and the date. Bankruptcy Rules 1008 and 9011.

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

Declaration and signature

I am the president, another officer, or an authorized agent of the corporation; a member or an authorized agent of the partnership; or another individual serving as a representative of the debtor in this case.

I have examined the information in the documents checked below and I have a reasonable belief that the information is true and correct:

- Schedule A/B: Assets–Real and Personal Property (Official Form 206A/B)
- Schedule D: Creditors Who Have Claims Secured by Property (Official Form 206D)
- Schedule E/F: Creditors Who Have Unsecured Claims (Official Form 206E/F)
- Schedule G: Executory Contracts and Unexpired Leases (Official Form 206G)
- Schedule H: Codebtors (Official Form 206H)
- Summary of Assets and Liabilities for Non-Individuals (Official Form 206Sum)
- Amended Schedule
- Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders (Official Form 204)
- Other document that requires a declaration

I declare under penalty of perjury that the foregoing is true and correct.

Executed on May 31, 2016

X /s/ Tommy DeHennis

Signature of individual signing on behalf of debtor

Tommy DeHennis

Printed name

Vice President

Position or relationship to debtor

Official Form 202

Declaration Under Penalty of Perjury for Non-Individual Debtors

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

Fill in this information to identify the case:

| Debtor name | Unique Recycling Corp | oration of California | |
|-----------------|---------------------------|-----------------------|--|
| United States I | Bankruptcy Court for the: | NORTHERN DISTRICT OF | |
| | | CALIFORNIA | |

Case number (if known):

□ Check if this is an

amended filing

Official Form 204 Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders

A list of creditors holding the 20 largest unsecured claims must be filed in a Chapter 11 or Chapter 9 case. Include claims which the debtor disputes. Do not include claims by any person or entity who is an insider, as defined in 11 U.S.C. § 101(31). Also, do not include claims by secured creditors, unless the unsecured claim resulting from inadequate collateral value places the creditor among the holders of the 20 largest unsecured claims.

| Name of creditor and complete mailing address, including zip code | Name, telephone number and email address of creditor contact | Nature of claim (for example, trade debts, bank loans, professional services, | Indicate if claim is contingent, unliquidated, or disputed | claim is partially securation value of collateral or | secured, fill in only unsecur red, fill in total claim amour setoff to calculate unsecure | nt and deduction for ed claim. |
|---|--|--|---|--|---|-----------------------------------|
| | | and government contracts) | | Total claim, if partially secured | Deduction for value of collateral or setoff | Unsecured claim |
| ARCO Business Solutions P. O. Box 70887 Charlotte, NC 28272-0887 | | Business Expense | | | | \$13,195.78 |
| Capital Store Fixtures 4220 Pell Drive, Suite C Sacramento, CA 95938 | | Business Expense | | | | \$4,187.82 |
| DeHennis Designs LLC 110 Outcrop View Lane Austin, TX 78738 | | Business Expense | | | | \$9,670.37 |
| Gone for Good UCP of North Bank Attn: Mailer Program 3835 Cypress Dr., Suite 103 Petaluma, CA 94954 | | Business Expense | | | | \$952,535.02 |
| Heritage Security & Investigation, Inc. P. O. Box 99 Winton, CA 95388 | | Business Expense | | | | \$3,168.00 |
| ILD's Signs Co. 5813 East Harvard Avenue Fresno, CA 93727 | | Business Expense | | | | \$4,042.18 |
| JLO Royal Floors P. O. Box 3356 Fairfield, CA 94533 | | Business Expense | | | | \$2,722.88 |
| Kaiser Foundation File 5915 Los Angeles, CA 90074-5915 | | Business Expense | | | | \$5,791.30 |

Official form 204

Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured claims

page 1

Best Case Bankruptcy

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Debtor Unique Recycling Corporation of California

Case number (if known)

| Name of creditor and complete mailing address, including zip code | Name, telephone number and email address of creditor contact | Nature of claim (for example, trade debts, bank loans, professional services, | Indicate if claim is contingent, unliquidated, or disputed | claim is partially secure | ecured, fill in only unsecured, fill in total claim amount off to calculate unsecure | nt and deduction for |
|---|--|--|---|--------------------------------------|--|----------------------|
| | | | uisputeu | Total claim, if partially secured | Deduction for value of collateral or setoff | Unsecured claim |
| Littler Mendelson PC P. O. Box 45547 San Francisco, CA 94145-0547 | | Business Expense | | | | \$6,149.46 |
| Monument Security Inc. 4926-43rd St McClellan, CA 95652 | | Business Expense | | | | \$2,897.25 |
| PG&E P.O. Box 997300 Sacramento, CA 95899-7300 | | Business Expense | | | | \$2,858.65 |
| PG&E P.O. Box 997300 Sacramento, CA 95899-7300 | | Utilities | | | | \$2,594.65 |
| Recology - Vallejo P. O. Box 60759 Los Angeles, CA 90060-0759 | | Business Expense | | | | \$2,842.15 |
| Sprint PO Box 4181 Carol Stream, IL 60197-4181 | | Business Expense | | | | \$2,802.96 |
| UCP North Bay (Pick Ups) 3835 Cypress Drive, Suite 103 | | Business Expense | | | | \$3,407.50 |
| Petaluma, CA 94954 UCP of Central California 4224 N. Cedar Abenue Fresno, CA 93726-3700 | | Business Expense | | | | \$3,640.59 |
| UCP of Sacramento & N. CA Attn: Doug Berman 4350 Auburn Blvd. Sacramento, CA 95841 | | Business Expense | | | | \$6,390.00 |
| Uline Attn: Accounts Receivable 2200 S. Lakeside Drive Waukegan, IL 60085 | | Business Expense | | | | \$3,031.61 |
| Wells Fargo Financial P.O. Box 98789 Las Vegas, NV 89193 | | | | \$87,201.53 | \$0.00 | \$87,201.53 |

Official form 204

Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured claims

page 2

Best Case Bankruptcy

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Debtor Unique Recycling Corporation of California

Case number (if known)

| · · · · · · · · · · · · · · · · · · · | creditor contact | Nature of claim (for example, trade debts, bank loans, professional services, | Indicate if claim is contingent, unliquidated, or disputed | claim is partially secure value of collateral or set | cured, fill in only unsecur d, fill in total claim amour toff to calculate unsecure | t and deduction for d claim. |
|--|------------------|--|---|---|---|------------------------------|
| | | | | Total claim, if partially secured | Deduction for value of collateral or setoff | Unsecured claim |
| Zenith Ins. Company File 50004 Los Angeles, CA 90074-0004 | | Business Expense | | | | \$40,276.00 |

Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured claims

page 3

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 8 of 106

Fill in this information to identify the case:

Debtor name Unique Recycling Corporation of California

United States Bankruptcy Court for the: NORTHERN DISTRICT OF CALIFORNIA

Case number (if known)

Check if this is an amended filing

| Su | mmary of Assets and Liabilities for Non-Individuals | | 12/15 |
|----------------|---|-----------------|------------|
| Pa | t 1: Summary of Assets | | |
| 1. | Schedule A/B: Assets-Real and Personal Property (Official Form 206A/B) | | |
| | 1a. Real property: Copy line 88 from <i>Schedule A/B</i> | \$ | 0.00 |
| | 1b. Total personal property: Copy line 91A from Schedule A/B | \$ | 580,064.20 |
| | 1c. Total of all property: Copy line 92 from Schedule A/B | \$ | 580,064.20 |
| | | | |
| | t 2: Summary of Liabilities Schedule D: Creditors Who Have Claims Secured by Property (Official Form 206D) Copy the total dollar amount listed in Column A, Amount of claim, from line 3 of Schedule D | \$ | 308,066.53 |
| Pa 2. 3. | Schedule D: Creditors Who Have Claims Secured by Property (Official Form 206D) | \$ | 308,066.53 |
| 2. | Schedule D: Creditors Who Have Claims Secured by Property (Official Form 206D) Copy the total dollar amount listed in Column A, Amount of claim, from line 3 of Schedule D | \$ | 308,066.53 |
| 2. | Schedule D: Creditors Who Have Claims Secured by Property (Official Form 206D) Copy the total dollar amount listed in Column A, <i>Amount of claim</i> , from line 3 of <i>Schedule D</i> Schedule E/F: Creditors Who Have Unsecured Claims (Official Form 206E/F) 3a. Total claim amounts of priority unsecured claims: | \$ \$ +\$ | |

Fill in this information to identify the case:

Debtor name Unique Recycling Corporation of California

United States Bankruptcy Court for the: NORTHERN DISTRICT OF CALIFORNIA

Case number (if known)

☐ Check if this is an amended filing

Official Form 206A/B Schedule A/B: Assets - Real and Personal Property

12/15

Disclose all property, real and personal, which the debtor owns or in which the debtor has any other legal, equitable, or future interest. Include all property in which the debtor holds rights and powers exercisable for the debtor's own benefit. Also include assets and properties which have no book value, such as fully depreciated assets or assets that were not capitalized. In Schedule A/B, list any executory contracts or unexpired leases. Also list them on *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206G).

Be as complete and accurate as possible. If more space is needed, attach a separate sheet to this form. At the top of any pages added, write the debtor's name and case number (if known). Also identify the form and line number to which the additional information applies. If an additional sheet is attached, include the amounts from the attachment in the total for the pertinent part.

For Part 1 through Part 11, list each asset under the appropriate category or attach separate supporting schedules, such as a fixed asset schedule or depreciation schedule, that gives the details for each asset in a particular category. List each asset only once. In valuing the debtor's interest, do not deduct the value of secured claims. See the instructions to understand the terms used in this form.
Part 1: Cash and cash equivalents

1. Does the debtor have any cash or cash equivalents?

□ No. Go to Part 2. Yes Fill in the information below. All cash or cash equivalents owned or controlled by the debtor Current value of debtor's interest 3. Checking, savings, money market, or financial brokerage accounts (Identify all) Name of institution (bank or brokerage firm) Type of account Last 4 digits of account number Wells Fargo Operating Account Checking 8978 \$28,948.10 3.1. Wells Fargo Payroll Account 5848 \$868.87 Checking 3.2. Wells Fargo Depository Account Checking 8986 \$20,950.23 33 Other cash equivalents (Identify all) 4 Total of Part 1. 5. \$50,767.20 Add lines 2 through 4 (including amounts on any additional sheets). Copy the total to line 80. Deposits and Prepayments Part 2: 6. Does the debtor have any deposits or prepayments? □ No. Go to Part 3. Yes Fill in the information below. 7. Deposits, including security deposits and utility deposits Description, including name of holder of deposit The Western Shore Company - 1305 Water Street Santa Cruz Deposit \$15,000.00 7.1. c/o Law Offices of Anna DiBenedetto 365 Lake Avenue, Suite B Official Form 206A/B Schedule A/B Assets - Real and Personal Property page 1 Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Best Case Bankruptcy Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 10 of Case: 16-10476 Doc# 1

| | | Name | | | | | |
|-----------|--------------|--|-----------------|-----------|--|---------------|--------------------------------------|
| | | Santa Cruz 9506 | j2 | | | | |
| | | Robert Flahive - | 1051 Broad | lway | | | |
| | 70 | 1051 Broadway Sonoma, CA 954 | 476 | | | | \$1,250.0 |
| | 1.2. | Sonoma, CA 954 | +/0 | | | | φ1,230.0 |
| | | Dress Neitling - | Deposit Yuł | oa City | | | |
| | 73 | P.O. Box 387 Yuba City, CA 9 | 5502 | | | | \$5,200.0 |
| | 7.5. | | 5592 | | | | |
| | | 1st Generation - | | | | | |
| | 74 | 4804 Mission St San Francisco | reet, Suite 2 | :22 | | | \$7,504.0 |
| | 7.4. | San Trancisco | | | | | |
| | | R Shannon - Me | rced Deposi | it | | | |
| | 75 | 9812 Rodden Ro | | | | | \$5,500.0 |
| | 7.5. | Oakdale, CA 953 | 501 | | | | φ3,500.0 |
| | | R Shannon - Ma | dera Deposi | it | | | |
| | 7.0 | 9812 Rodden Ro | | | | | \$4,000.0 |
| | 7.6. | Oakdale, CA 953 | 361 | | | | φ4,000.0 |
| | | O'Brien Properti | ies - Vallejo | - Deposit | | | |
| | | P.O. Box 411450 |) | - | | | ¢0,000,0 |
| | 7.7. | San Francissco, | , CA 94141-1 | 450 | | | \$9,000.0 |
| | | R Shannon - Fre | esno Deposi | t | | | |
| | | 9812 Rodden Ro | bad | - | | | ¢5 500 0 |
| | 7.8. | Oakdale, CA 953 | 361 | | | | \$5,500.0 |
| | | | | | | | |
| 5. | | bayments, including cription, including nan | | | racts, leases, insurance, tax | ces, and rent | |
| | Tata | al of Part 2. | | | | | * == == <i>i</i> = = <i>i</i> |
| | | lines 7 through 8. Co | ny the total to | line 81 | | _ | \$52,954.00 |
| | | - | | | | | |
| art 3: | | Accounts receivable debtor have any acc | | able? | | | |
| | | - | | | | | |
| | | to Part 4. in the information bel | low | | | | |
| | | ounts receivable | | | | | |
| ■ Y | | | | 11,710.00 | | 0.00 = | \$11,710.0 |
| | | 90 days old or less: | face amoun | | doubtful or uncollectible a | | φ11,710.0 |
| ■ Y | | | | | | | |
| ■ Y | | | | | | | |
| ■ Y | 11a. | 0 | | 60 551 00 | | 0.00 | ¢60 551 0 |
| ■ Y | 11a. | Over 90 days old: | face amoun | 60,551.00 | - doubtful or uncollectible a | 0.00 = | \$60,551.0 |
| ■ Y | 11a. | Over 90 days old: | face amoun | | doubtful or uncollectible a | | \$60,551.0 |
| ■ Y | 11a. | Over 90 days old: | face amoun | | doubtful or uncollectible a | | \$60,551.0 |
| ■ Y 1. | 11a. 11b. | Over 90 days old: | face amoun | ıt | - doubtful or uncollectible a sets - Real and Personal F | accounts | \$60,551.0 |

| Debtor | T Unique Recycling Corp Name | oration of California | Case | number (If known) | |
|---------------------------|--|-------------------------------------|---|---|------------------------------------|
| 12. | Total of Part 3. Current value on lines 11a + 11l | o = line 12. Copy the total | to line 82. | - | \$72,261.00 |
| Part 4: | Investments | | | | |
| | s the debtor own any investmen | nts? | | | |
| | o. Go to Part 5. es Fill in the information below. | | | | |
| Part 5: | Inventory, excluding agric s the debtor own any inventory | | ssats)? | | |
| | | (excluding agriculture a | 335(3): | | |
| | o. Go to Part 6. es Fill in the information below. | | | | |
| | General description | Date of the last physical inventory | Net book value of debtor's interest (Where available) | Valuation method used for current value | Current value of debtor's interest |
| 19. | Raw materials | | | | |
| 20. | Work in progress | | | | |
| 21. | Finished goods, including goo Inventory 1305 Water Street, Santa | ods held for resale | | | |
| | Cruz | | \$0.00 | Replacement | \$52,898.00 |
| | Inventory 2300 N Texas Street, Fairfield | | \$0.00 | Replacement | \$41,730.00 |
| | Inventory 1472 Bridge Street, Yuba City | | \$0.00 | Replacement | \$49,774.00 |
| 22. | Other inventory or supplies | | | | |
| 23. | Total of Part 5. Add lines 19 through 22. Copy | the total to line 84. | | - | \$144,402.00 |
| 24. | Is any of the property listed in ■ No □ Yes | Part 5 perishable? | | | |
| 25. | Has any of the property listed ■ No □ Yes. Book value | in Part 5 been purchase | - | e bankruptcy was filed? | |
| 26. | Has any of the property listed ■ No □ Yes | in Part 5 been appraised | d by a professional within | the last year? | |
| Part 6: 27. Doe | Farming and fishing-relate s the debtor own or lease any f | | | | |
| ■ N | o. Go to Part 7. | | | | |
| Official | Form 206A/B | Schedule A/B | Assets - Real and Persor | nal Property | page 3 |
| Software C | Copyright (c) 1996-2016 Best Case, LLC - www Case: 16-10476 | | /31/16 Entered: 0 | 5/31/16 17:04:37 | Best Case Bankruptcy Page 12 Of |

| Ц | Yes Fill in the | information below. | | | |
|--------------------------------------|--|--|---|--|--|
| Part 7 | | furniture, fixtures, and equipment; and collec | | | |
| 8. Do | es the debtor | r own or lease any office furniture, fixtures, ec | uipment, or collectibles | ? | |
| | No. Go to Par | | | | |
| - | | information below. | | | |
| | General de | escription | Net book value of debtor's interest (Where available) | Valuation method used for current value | Current value of debtor's interest |
| 39. | Office furn | niture | | | |
| 40. | Office fixtu | ures | | | |
| 41. | | ipment, including all computer equipment and ation systems equipment and software ched List | d \$0.00_ | | \$14,535.0 |
| 12. | books, pict | es <i>Examples</i> : Antiques and figurines; paintings, p ures, or other art objects; china and crystal; stam ; other collections, memorabilia, or collectibles | | | |
| 13. | Tatalation | | | | \$14,535.0 |
| .0. | Total of Pa | | | - | ¢: 1,00010 |
| | Add lines 3 | 39 through 42. Copy the total to line 86. | | _ | • ••••••••• |
| | Add lines 3 | | erty listed in Part 7? | | •••,••••• |
| 44. 45. | Add lines 3 Is a depred No Yes Has any of No | 39 through 42. Copy the total to line 86. | | the last year? | |
| 44. | Add lines 3 Is a depred No Yes Has any of | 9 through 42. Copy the total to line 86. | | the last year? | |
| 14. 15. Part 8 | Add lines 3 Is a depred No Yes Has any of No Yes Has any of Xes Machin | 99 through 42. Copy the total to line 86. ciation schedule available for any of the prope f the property listed in Part 7 been appraised l nery, equipment, and vehicles | by a professional within | the last year? | |
| 14. 15. Part 8 6. Do | Add lines 3 Is a depred No Yes Has any of No Yes Machines the debtor | 99 through 42. Copy the total to line 86. ciation schedule available for any of the prope f the property listed in Part 7 been appraised l nery, equipment, and vehicles | by a professional within | the last year? | |
| 14. 15. Part 8 6. Do | Add lines 3 Is a depred No Yes Has any of No Yes Machines the debtor No. Go to Par | 19 through 42. Copy the total to line 86. ciation schedule available for any of the property f the property listed in Part 7 been appraised l mery, equipment, and vehicles r own or lease any machinery, equipment, or w | by a professional within | the last year? | |
| 14. 15. Part 8 6. Do | Add lines 3 Is a depred No Yes Has any of No Yes Machir es the debtor No. Go to Par Yes Fill in the | 19 through 42. Copy the total to line 86. ciation schedule available for any of the property f the property listed in Part 7 been appraised l mery, equipment, and vehicles r own or lease any machinery, equipment, or w rt 9. information below. | vehicles? | | |
| 14. 15. Part 8 6. Do | Add lines 3 Is a depred No Yes Has any of No Yes Has any of Yes Machin es the debtor No. Go to Pan Yes Fill in the General de Include yea | 19 through 42. Copy the total to line 86. ciation schedule available for any of the property f the property listed in Part 7 been appraised l mery, equipment, and vehicles r own or lease any machinery, equipment, or w rt 9. information below. | by a professional within | the last year? Valuation method used for current value | Current value of debtor's interest |
| 14. 15. Part 8 6. Do | Add lines 3 Is a depred No Yes Has any of No Yes Machin es the debtor No. Go to Par Yes Fill in the General de Include yea (i.e., VIN, F | 19 through 42. Copy the total to line 86. ciation schedule available for any of the proper- f the property listed in Part 7 been appraised I hery, equipment, and vehicles r own or lease any machinery, equipment, or we rt 9. information below. escription ar, make, model, and identification numbers | by a professional within vehicles? Net book value of debtor's interest (Where available) | Valuation method used | Current value of |
| 44. 45. 6. Do | Add lines 3 Is a depred No Yes Has any of No Yes Machin es the debtor No. Go to Pan Yes Fill in the General de Include yea (i.e., VIN, F Automobil | 99 through 42. Copy the total to line 86. ciation schedule available for any of the proper- f the property listed in Part 7 been appraised I hery, equipment, and vehicles r own or lease any machinery, equipment, or we rt 9. information below. escription ar, make, model, and identification numbers HN, or N-number) les, vans, trucks, motorcycles, trailers, and tit | by a professional within vehicles? Net book value of debtor's interest (Where available) | Valuation method used | Current value of |
| 14. 15. 3. Do □ | Add lines 3 Is a depred No Yes Has any of No Yes Has any of Yes Has any of Has any of Has any of Yes Has any of Yes Has any of Yes Has any of Has any of Ha | 19 through 42. Copy the total to line 86. ciation schedule available for any of the proper- f the property listed in Part 7 been appraised I hery, equipment, and vehicles r own or lease any machinery, equipment, or we r own or lease, and identification numbers HIN, or N-number) les, vans, trucks, motorcycles, trailers, and tit D6 Chevrolet Express Cutaway G350 463 | by a professional within rehicles? Net book value of debtor's interest (Where available) led farm vehicles | Valuation method used | Current value of debtor's interest |
| 44. 45. 6. Do | Add lines 3 Is a depred No Yes Has any of No Yes Has any of Yes Has any of Has any of Has any of Yes Has any of Yes Has any of Yes Has any of Has any of Ha | 99 through 42. Copy the total to line 86. ciation schedule available for any of the proper- f the property listed in Part 7 been appraised I hery, equipment, and vehicles r own or lease any machinery, equipment, or we rt 9. information below. escription ar, make, model, and identification numbers HIN, or N-number) les, vans, trucks, motorcycles, trailers, and tit 06 Chevrolet Express Cutaway G350 | by a professional within vehicles? Net book value of debtor's interest (Where available) | Valuation method used | Current value of |
| 14. 15. 3. Do □ | Add lines 3 Is a depred No Yes Has any of No Yes Has any of No Yes Machir es the debtor No. Go to Par Yes Fill in the General de Include yea (i.e., VIN, F Automobil 47.1. 200 #44 22 | 19 through 42. Copy the total to line 86. ciation schedule available for any of the proper- f the property listed in Part 7 been appraised I hery, equipment, and vehicles r own or lease any machinery, equipment, or we r own or lease, and identification numbers HIN, or N-number) les, vans, trucks, motorcycles, trailers, and tit D6 Chevrolet Express Cutaway G350 463 | by a professional within rehicles? Net book value of debtor's interest (Where available) led farm vehicles | Valuation method used | Current value of debtor's interest |
| 44. 95. 35. Do ∎ | Add lines 3 Is a depred No Yes Has any of No Yes Has any of Yes Has any of Has any of Has any of Yes Has any of Yes Has any of Has any | 19 through 42. Copy the total to line 86. ciation schedule available for any of the proper- f the property listed in Part 7 been appraised I hery, equipment, and vehicles r own or lease any machinery, equipment, or we r own or lease any machinery, equipment, or we lift the provide the pr | by a professional within rehicles? Net book value of debtor's interest (Where available) led farm vehicles \$0.00 | Valuation method used | Current value of debtor's interest \$1,000.0 |
| 44. 45. 6. Do | Add lines 3 Is a depred No Yes Has any of No Yes Has any of Yes Has any of Has any of Has any of Yes Has any of Yes Has any of Has any | 19 through 42. Copy the total to line 86. ciation schedule available for any of the proper- f the property listed in Part 7 been appraised I hery, equipment, and vehicles r own or lease any machinery, equipment, or we r own or lease any machinery, equipment, or we information below. escription ar, make, model, and identification numbers HN, or N-number) les, vans, trucks, motorcycles, trailers, and tit 06 Chevrolet Express Cutaway G350 08 Chevrolet Express Cutaway G350 | by a professional within rehicles? Net book value of debtor's interest (Where available) led farm vehicles | Valuation method used | Current value of debtor's interest \$1,000.0 |
| 4. 5. 3. Do ■ | Add lines 3 Is a depred No Yes Has any of No Yes Has any of No Yes Machir es the debtor No. Go to Par Yes Fill in the General de Include yea (i.e., VIN, F Automobil 47.1. 200 #44 26: 47.3. 200 | 19 through 42. Copy the total to line 86. ciation schedule available for any of the proper- f the property listed in Part 7 been appraised I hery, equipment, and vehicles r own or lease any machinery, equipment, or we r own or lease any machinery, equipment, or we lift the provide the pr | by a professional within rehicles? Net book value of debtor's interest (Where available) led farm vehicles \$0.00 | Valuation method used | Current value of debtor's interest |

Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 13 of 106

| | nique Recycling Corporation of California | Case number (If known) | |
|-------|--|------------------------|-------------|
| 47.4. | 2008 Chevrolet Cutaway G350 #5164 1305 Water Street, Santa Cruz | \$0.00 | \$1,000.00 |
| 47.5. | 2008 Chevrolet Cutaway G350 #1886 1305 Water Street, Santa Cruz | \$0.00 | \$1,000.0 |
| 47.6. | 2009 Chevrolet Cutaway G350 #7439 1305 Water Street, Santa Cruz | \$0.00 | \$1,000.0 |
| 47.7. | 2009 Chevrolet Cutaway G350 #5572 5044 Peabody Road, Fairfield | \$0.00 | \$1,000.0 |
| 47.8. | 2011 Chevrolet Express Cutaway G350 #4198 2630 Napa Road, Sonoma | \$0.00 | \$2,835.00 |
| 47.9. | 2011 Chevrolet Express Cutaway G350 #7707 2630 Napa Road, Sonoma | \$0.00 | \$2,865.00 |
| 47.10 | 2013 Chevrolet Express Truck #9129 2630 Napa Road, Sonoma | \$0.00 | \$20,168.00 |
| 47.11 | 2015 Chevrolet Express Truck #5740 1472 Bridge Street, Yuba City | \$0.00 | \$32,658.00 |
| 47.12 | 2013 Chevrolet Truck 3500 #6400 1472 Bridge Street, Yuba City | \$0.00 | \$15,235.00 |
| 47.13 | 2014 Chevrolet Silverado #8438 988 Monterey Street, Madera | \$0.00 | \$17,325.00 |
| 47.14 | 2015 Chevrolet Express #4192 5044 Peabody, Fairfield | \$0.00 | \$31,426.00 |
| 47.15 | 2014 Chevrolet Express Truck #0700 106 West Main, Woodland | \$0.00 | \$31,269.00 |
| 47.16 | 2015 Chevrolet Express Truck #8269 5044 Peabody, Fairfield | \$0.00 | \$35,038.00 |
| 47.17 | 2015 Chevrolet Express Truck #8525 106 West Main, Woodland | \$0.00 | \$31,526.00 |
| 47.18 | 2005 Forklift #3070 3274 Sonoma Blvd, Vallejo | \$0.00 | \$1,500.00 |

page 5

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Best Case Bankruptcy Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 14 of

| Debtor | Ur Na | nique Recycling Corporation of California | Case number (If known, |) |
|---------|-------------------------|---|--|----------------|
| | 47.19 | 1999 Utility Trailer #6106 5044 Peabody Road, Fairfield | \$0.00 | \$1,000.00 |
| | 47.20 | 1999 Utility Trailer MFG #6103 5044 Peabody Road, Fairfield | \$0.00 | \$1,000.00 |
| | 47.21 | 1988 Fruehauf Trailer #5705 1346 W. Main, Merced | \$0.00 | \$500.00 |
| | 47.22 | 1999 Utility Trailer MFG #5017 5044 Peabody, Fairfield | \$0.00 | \$500.00 |
| | 47.23 | 1999 Utility Trailer MFG #6913 5044 Peabody Road, Fairfield | \$0.00 | \$500.00 |
| | 47.24 | 1997 Great Dane Trailer No 7802 5044 Peabody Road, Fairfield | \$0.00 | \$500.00 |
| , | 47.25 | 2005 GMC W4500 #1551 5044 Peabody Road, Fairfield | \$0.00 | \$1,000.00 |
| | 47.26 | 2001 Haulmark Trailer #3061 639 Third Street, Sonoma | \$0.00 | \$1,000.00 |
| | | craft, trailers, motors, and related accessories <i>E</i> g homes, personal watercraft, and fishing vessels | xamples: Boats, trailers, motors, | |
| 49. | Aircra | ft and accessories | | |
| | | machinery, fixtures, and equipment (excluding f inery and equipment) | arm | |
| | | of Part 8. | | \$234,845.00 |
| | | nes 47 through 50. Copy the total to line 87. | norty listed in Part 82 | |
| | No No | | | |
| | Has ai ■ No □ Yes | | I by a professional within the last year? | |
| Part 9: | Re | s eal property ebtor own or lease any real property? | | |
| D No. | . Go to | o Part 10. I the information below. | | |
| | | uilding, other improved real estate, or land whic | h the debtor owns or in which the debtor h | as an interest |
| 55. | | anding, other improved real estate, or land which | | as an Increst |

 Official Form 206A/B
 Schedule A/B Assets - Real and Personal Property
 page 6

 Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com
 Best Case Bankruptcy

 Case: 16-10476
 Doc# 1
 Filed: 05/31/16
 Entered: 05/31/16 17:04:37
 Page 15 of

| Debtor Unique Recycling Corporation of California Case number (If known) | | | | | | |
|--|---|--|---|---|---|---------------------------------------|
| | Na | ame | | | | |
| | prope Includ descri Parce of pro acrea | e street address or other ption such as Assessor I Number (APN), and type perty (for example, ge, factory, warehouse, nent or office building, if | Nature and extent of debtor's interest in property | Net book value of debtor's interest (Where available) | Valuation method used for current value | Current value of debtor's interest |
| | 55.1. | Commercial property, 1301-1305 Water Street, Santa Cruz, California | Leasehold | \$0.00 | | \$0.00 |
| | 55.2. | Commercial building, 1472 Bridge Street, Yuba City | Leashold | \$0.00 | | \$0.00 |
| | 55.3. | Commerical lease, 2300 North Texas Street, Fairfield, CA | Leasehold | \$0.00 | | \$0.00 |
| 56. | | of Part 9. ne current value on lines 55.1 | through 55.6 and entrie | es from any additional shee | ts. | \$0.00 |
| | | the total to line 88. | | | | |
| 57. | Is a d ■ No □ Ye | | ble for any of the prop | perty listed in Part 9? | | |
| 58. | Has a ■ No □ Ye | | Part 9 been appraised | by a professional within | the last year? | |
| Part 10: | In | tangibles and intellectual pr | operty | | | |
| 59. Doe s | s the d | ebtor have any interests in i | ntangibles or intellect | tual property? | | |
| _ | | o Part 11. n the information below. | | | | |
| | Gene | ral description | | Net book value of debtor's interest (Where available) | Valuation method used for current value | Current value of debtor's interest |
| 60. | Paten | ts, copyrights, trademarks, | and trade secrets | | | |
| 61. | | et domain names and webs tcenters.com | ites | \$0.00 | | \$0.00 |
| | urcca | a.com | | \$0.00 | | \$0.00 |
| 62. | Licen | ses, franchises, and royaltie | es | | | |
| 63. | Custo | omer lists, mailing lists, or o | ther compilations | | | |
| 64. | Other | intangibles, or intellectual | property | | | |
| 65. | Good | | | | | |
| Official | | | | Assets - Real and Persor | nal Property | page 7 |
| Sonware C | | c) 1996-2016 Best Case, LLC - www.be Se: 16-10476 Doc | | 31/16 Entered: C |)5/31/16 17:04:37 | Best Case Bankruptcy Page 16 of |

| Debtor | Unique Recycling Corporation of California Name | Case number (If known) | |
|----------|--|--|------------------------------------|
| | See Attached List | \$108,000.00 | \$10,300.00 |
| 66. | Total of Part 10. | | \$10,300.00 |
| | Add lines 60 through 65. Copy the total to line 89. | | |
| 67. | Do your lists or records include personally identifiable in No | formation of customers (as defined in 11 | U.S.C.§§ 101(41A) and 107 ? |
| | | | |
| 68. | Is there an amortization or other similar schedule availab | le for any of the property listed in Part 10 |)? |
| | No | | |
| | □ Yes | | |
| 69. | Has any of the property listed in Part 10 been appraised b | y a professional within the last year? | |
| | No | | |
| | □ Yes | | |
| Part 11: | All other assets | | |

70. Does the debtor own any other assets that have not yet been reported on this form? Include all interests in executory contracts and unexpired leases not previously reported on this form.

No. Go to Part 12.

□ Yes Fill in the information below.

| Debtor | Unique Recycling Corporation of California |
|--------|--|
| | Name |

Case number (If known)

Part 12: Summary

In Part 12 copy all of the totals from the earlier parts of the form Type of property

| 111 F 6 | Type of property | Current value of personal proper | | nt value of real rty |
|---------|---|-------------------------------------|--------------------|-------------------------|
| 80. | Cash, cash equivalents, and financial assets. Copy line 5, Part 1 | \$50, | 767.20 | |
| 81. | Deposits and prepayments. Copy line 9, Part 2. | \$52, | 954.00 | |
| 82. | Accounts receivable. Copy line 12, Part 3. | \$72, | 261.00 | |
| 83. | Investments. Copy line 17, Part 4. | | \$0.00 | |
| 84. | Inventory. Copy line 23, Part 5. | \$144, | 402.00 | |
| 85. | Farming and fishing-related assets. Copy line 33, Part 6. | | \$0.00 | |
| 86. | Office furniture, fixtures, and equipment; and collectibles. Copy line 43, Part 7. | \$14,: | 535.00 | |
| 87. | Machinery, equipment, and vehicles. Copy line 51, Part 8. | \$234, | 845.00 | |
| 88. | Real property. Copy line 56, Part 9 | | > | \$0.00 |
| 89. | Intangibles and intellectual property. Copy line 66, Part 10. | \$10, | 300.00 | |
| 90. | All other assets. Copy line 78, Part 11. | + | \$0.00 | |
| 91. | Total. Add lines 80 through 90 for each column | \$580,06 | 4.20 + 91b. | \$0.00 |
| 92. | Total of all property on Schedule A/B. Add lines 91a+91b=9 | 92 | | \$580,064.20 |

Official Form 206A/B Schedule A/B Assets - Real and Personal Property page 9 Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Best Case Bankruptcy Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 18 of Case: 16-10476 Doc# 1 106

| | | | | Fixed Asset Record with Straight Line Depreciation | | | | | | | | | | |
|-------|--|------------|---------------|--|-------------|-----------------|----------|----------------|-------------|--------------|-------------|-----------------|------------------|-----------------|
| Asset | | | | Acquisition | Acquisition | Est Useful Life | Orig Dep | Depreciation @ | Net Value @ | 2014 | Net Value @ | 2015 | Net Value @ | Total |
| No. | Asset Name | Location | Asset Class | Date | Cost | (Years) | Method | 12/31/13 | 12/31/13 | Depreciation | 12/31/14 | Depreciation | 12/31/15 | Depreciation |
| n/a | Fully Depreciated | | Vehicles | 1/1/90 | 176,658 | n/a | n/a | \$ 176,658 | \$ - | \$- | \$- | | \$- | \$ 176,658 |
| n/a | Fully Depreciated | | Equipment | 1/1/90 | 112,282 | n/a | n/a | \$ 112,282 | \$ - | \$- | \$- | | \$- | \$ 112,282 |
| n/a | Fully Depreciated | | Leasehold Imp | 1/1/90 | 4,647 | n/a | n/a | \$ 4,647 | \$ - | - | \$- | | \$- | \$ 4,647 |
| 1 | Leasehold Imp (Del Paso) | Del Paso | Leasehold Imp | 9/1/91 | 1,669 | 31 | MM S/L | \$ 933 | \$ 736 | 324 | \$ 412 | \$ 412 | \$- | \$ 1,669 |
| 2 | Leasehold Imp (Del Paso) | Del Paso | Leasehold Imp | 10/1/91 | 368 | 31 | MM S/L | \$ 203 | \$ 165 | 73 | \$ 92 | \$ 92 | \$- | \$ 368 |
| 3 | Leasehold Imp (Del Paso) | Del Paso | Leasehold Imp | 11/1/91 | 800 | 31 | MM S/L | \$ 443 | \$ 357 | 155 | \$ 202 | \$ 202 | \$- | \$ 800 |
| 81 | Leasehold Imp (Del Paso) | Del Paso | Leasehold Imp | 12/1/91 | 1,200 | 31 | MM S/L | \$ 662 | \$ 538 | 232 | \$ 306 | \$ 306 | \$- | \$ 1,200 |
| 82 | Leasehold Imp (Del Paso) | Del Paso | Leasehold Imp | 3/1/92 | 3,000 | 31 | MM S/L | \$ 1,635 | \$ 1,365 | 576 | \$ 789 | \$ 789 | \$- | \$ 3,000 |
| 83 | Leasehold Imp (Del Paso) | Del Paso | Leasehold Imp | 4/1/92 | 2,290 | 31 | MM S/L | \$ 1,241 | \$ 1,049 | 885 | \$ 164 | \$ 164 | \$- | \$ 2,290 |
| 84 | Leasehold Imp (Del Paso) | Del Paso | Leasehold Imp | 5/1/92 | 335 | 31 | MM S/L | \$ 178 | \$ 157 | 67 | \$ 90 | \$ 90 | \$ - | \$ 335 |
| 90 | Carpets-Santa Cruz | Santa Cruz | Leasehold Imp | 10/31/99 | 3,000 | 15 | HY S/L | \$ 3,000 | \$ - | - | \$ - | | \$ - | \$ 3,000 |
| 96 | Leasehold Imp | | Leasehold Imp | 7/1/00 | 1,000 | 39 | MO S/L | \$ 345 | \$ 655 | 27 | \$ 628 | \$ 26 | \$ 602 | \$ 398 |
| 100 | Telephone & Lease Int | | Leasehold Imp | 7/1/00 | 7,000 | 15 | MO S/L | \$ 6,837 | \$ 163 | 163 | \$ - | | \$ - | \$ 7,000 |
| 114 | Leasehold Imp (Stockton) | Stockton | Leasehold Imp | 7/1/03 | 5,000 | 39 | MO S/L | \$ 1,341 | \$ 3,659 | 3,659 | \$ - | \$ - | \$ - | \$ 5,000 |
| 137 | Leasehold - Del Paso | Del Paso | Leasehold Imp | 7/1/05 | 10,000 | 15 | HY S/L | \$ 5,667 | | 671 | | | \$ - | \$ 10,000 |
| 192 | Carpets-Santa Cruz | Santa Cruz | Leasehold Imp | 6/1/10 | 7,085 | 15 | HY S/L | \$ 4,369 | | 2,716 | | | Ś - | \$ 7,085 |
| 193 | Tenant Improvements - Van Meter | Santa Cruz | Leasehold Imp | 5/1/15 | 21.752 | 15 | MO S/L | \$ - | Ś - | - | , Ś - | Ś 846 | Ś 20.906 | \$ 846 |
| 155 | Signs | | Equipment | 12/15/07 | 812 | 15 | HY S/L | \$ 352 | \$ 460 | 54 | \$ 406 | \$ 54 | \$ 352 | \$ 460 |
| 206 | Computer (Deedra) | Chico | Equipment | 7/25/13 | 932 | 5 | MQ200DB | \$ 536 | | 186 | | | | \$ 909 |
| 208a | Racks & Grids | Fairfield | Equipment | 6/10/14 | 454 | 5 | MO S/L | \$ - | \$ - | 53 | | • | • | \$ 144 |
| 208b | Racks & Grids | Vallejo | Equipment | 6/10/14 | 330 | 5 | MO S/L | \$ - | \$ - | 38 | | | • | \$ 104 |
| 208c | Racks & Grids | Del Paso | Equipment | 6/10/14 | 145 | 5 | MO S/L | \$ - | \$ - | 12 | | • | | \$ 41 |
| 208d | Racks & Grids | Fresno | Equipment | 6/10/14 | 85 | 5 | MO S/L | \$ - | \$ - | | | • | • | \$ 27 |
| 208e | Racks & Grids | Merced | Equipment | 6/10/14 | 85 | 5 | MO S/L | \$ - | \$ - | | | | • | \$ 27 |
| 209 | IT - Best Buy | Admin | Equipment | 6/25/14 | 1,133 | 3 | MO S/L | \$ - | s - | 195 | | \$ 378 | • | \$ |
| 210 | IT - I Pads for Mgrs | Admin | Equipment | 7/15/14 | 3,600 | 3 | MO S/L | \$ - | \$ - | 556 | \$ 3,044 | | | \$ 1,756 |
| 211 | IT - Communications | Admin | Equipment | 8/31/14 | 2,140 | 3 | MO S/L | \$ - | s - | 239 | \$ 1,901 | . , | | \$ 952 |
| 240 | 42" Folding Rounder (15@\$84.63) | Santa Cruz | Equipment | 5/1/15 | 1,269 | 5 | MO S/L | \$ - | \$ - | | \$ - | \$ 148 | | \$ 148 |
| 241 | 60" 2 Bar Double Rail Rack 48-72 (21@\$92.23) | Santa Cruz | Equipment | 5/1/15 | 1,937 | 5 | MO S/L | \$ - | \$ - | - | \$ - | \$ 226 | | \$ 226 |
| 242 | Register Stand Boxed(2@\$144.85) | Santa Cruz | Equipment | 5/1/15 | 290 | 5 | MO S/L | \$ - | \$ - | _ | \$ - | \$ 34 | | \$ 34 |
| 243 | Full Vision Showcase Boxed (2@\$289.70) | Santa Cruz | Equipment | 5/1/15 | 579 | 5 | MO S/L | \$ - | \$ - | _ | \$ | \$ 68 | | \$ 68 |
| 244 | ADA Counter 34x20x36 (2@\$337.98) | Santa Cruz | Equipment | 5/1/15 | 676 | 5 | MO S/L | \$ - | \$ - | _ | \$ - | \$ 79 | • | \$ 79 |
| 245 | Chrome Rack Topper 50x22x3 (20@\$39.06) | Santa Cruz | Equipment | 5/1/15 | 781 | 5 | MO S/L | \$ - | \$ - | | \$ - | \$ 91 | • | \$ 91 |
| 245 | White Poly Pant Hanger 14" (9@\$50.50) | Santa Cruz | Equipment | 5/1/15 | 455 | 5 | MO S/L | \$ - | ş - | | ş - | \$ 53 | • | \$ 53 |
| 240 | White Pant Hanger Swiverl H/C (6@\$50.50) | Santa Cruz | Equipment | 5/1/15 | 303 | 5 | MO S/L | \$ - | ş - | | ş - | \$ 35 | • | \$ 35 |
| 247 | White Poly Pant Hanger 14" (10@\$47.74) | Santa Cruz | Equipment | 5/1/15 | 477 | 5 | MO S/L | \$ - | ş - | | ş - | \$ 56 | | \$ 56 |
| 248 | Cherry Desks (5@\$363.80) | Sonoma | Equipment | 8/1/15 | 1,819 | 5 | MO S/L | ş - \$ - | ş - \$ - | | ş - S - | \$ 152 | • | \$ 152 |
| 250 | Cherry Bookcases (3@\$177.22) | Sonoma | Equipment | 8/1/15 | 532 | 5 | MO S/L | ş - \$ - | ş - \$ - | | ş - S - | \$ 152 \$ 44 | . , | \$ 152 \$ 44 |
| 251 | Wood Lateral File Cabinet (1@\$190.98) | Sonoma | Equipment | 8/1/15 | 191 | 5 | MO S/L | ş - \$ - | ş - \$ - | - | ş - \$ - | \$ 44 \$ 16 | • | \$ 16 |
| 252 | Office Leather Chair (1@\$190.98) | Sonoma | Equipment | 8/1/15 | 272 | 5 | MO S/L | ş - \$ - | ş - \$ - | - | ş - S - | • | \$ 175 \$ 249 | \$ 10 \$ 23 |
| 253 | | | | 8/1/15 8/1/15 | 1,370 | 5 | | \$ - \$ - | ş - S - | - | ş - \$ - | \$ 23 \$ 114 | • | \$ 25 \$ 114 |
| 254 | 3-Drawer Black Metal File Cabinets (2@\$685.11 | j Sonoma | Equipment | 8/1/15 | 1,370 | 5 | MO S/L | ş - | | | ş - | ş 114 | ş 1,256 | ې 114 |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |

Case: 16-10476 Doc# 1 Filed: 05/31/16 r2 Entered: 05/31/16 17:04:37 Page 19 of Docs/WPDATA/7/Unique/Client Docs/Fixed Asset Listing.xlsx Li, Veh, Eq

| | Fixed Asset Record with Straight Line Depreciation | | | | | | | | | | | | | | | |
|-------|--|-------------|------------------|-------|-------------|------------------|------------|-----|--------------|-----------|--------------|----|----------|--------------|----|---------|
| Asset | | | | | | Estimated Useful | | Dep | reciation at | Value at | 2014 | | /alue at | 2015 | v | alue at |
| No. | Asset Name | Asset Class | Acquisition Date | Acqui | sition Cost | Life (Years) | Dep Method | 1 | 2/31/13 | 12/31/13 | Depreciation | 1 | 2/31/14 | Depreciation | 12 | 2/31/15 |
| 126 | Goodwill | Goodwill | 8/1/04 | \$ | 18,000 | 15 | MO Amort | \$ | 11,300 | \$ 6,700 | \$ 1,200 | \$ | 5,500 | \$ 1,200 | \$ | 4,300 |
| 127 | Goodwill | Goodwill | 7/15/03 | \$ | 15,000 | 15 | MO Amort | \$ | 10,000 | \$ 5,000 | \$ 1,000 | \$ | 4,000 | \$ 1,000 | \$ | 3,000 |
| 128 | Goodwill | Goodwill | 7/15/02 | \$ | 15,000 | 15 | MO Amort | \$ | 10,000 | \$ 5,000 | \$ 1,000 | \$ | 4,000 | \$ 1,000 | \$ | 3,000 |
| 135 | Goodwill Del Paso | Goodwill | 7/1/05 | \$ | 60,000 | 15 | MO Amort | \$ | 34,000 | \$ 26,000 | \$ 4,000 | \$ | 22,000 | \$ 22,000 | \$ | - |
| | | | | | | | | | | \$- | | \$ | - | | \$ | - |
| | TOTAL | | | \$ | 108,000 | | | \$ | 65,300 | \$ 42,700 | \$ 7,200 | \$ | 35,500 | \$ 25,200 | \$ | 10,300 |

Fill in this information to identify the case:

Debtor name Unique Recycling Corporation of California

United States Bankruptcy Court for the: NORTHERN DISTRICT OF CALIFORNIA

Case number (if known)

Official Form 206D Schedule D: Creditors Who Have Claims Secured by Property

12/15

☐ Check if this is an amended filing

Be as complete and accurate as possible.

1. Do any creditors have claims secured by debtor's property?

D No. Check this box and submit page 1 of this form to the court with debtor's other schedules. Debtor has nothing else to report on this form.

Yes. Fill in all of the information below.

Part 1: List Creditors Who Have Secured Claims

| 21 | st in alphabetical order all creditors w | Column A | Column B | |
|--------|--|--|--|--|
| | n, list the creditor separately for each clai | ho have secured claims. If a creditor has more than one secured m. | Amount of claim Do not deduct the value of collateral. | Value of collateral that supports this claim |
| 2.1 | Ally Financial | Describe debtor's property that is subject to a lien | \$520.00 | \$1,000.00 |
| | Creditor's Name P. O. Box 380902 Minneapolis, MN 55438 | 2006 Chevrolet Express Cutaway G350 #4463 221 F Street, Fresno | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| | Creditor's mailing address | Describe the lien <u>Car Loan</u> Is the creditor an insider or related party? ■ No | | |
| | Creditor's email address, if known | ☐ Yes Is anyone else liable on this claim? | | |
| | Date debt was incurred | ■ No Yes. Fill out Schedule H: Codebtors (Official Form 206H) | | |
| | Last 4 digits of account number 0324 | | | |
| | Do multiple creditors have an interest in the same property? ■ No | As of the petition filing date, the claim is: Check all that apply Contingent | | |
| | Yes. Specify each creditor, including this creditor and its relative priority. | Unliquidated Disputed | | |
| 2.2 | Ally Financial | Describe debtor's property that is subject to a lien | \$2,835.00 | \$2,835.00 |
| | Creditor's Name P. O. Box 380902 Minneapolis, MN 55438 | 2011 Chevrolet Express Cutaway G350 #4198 2630 Napa Road, Sonoma | | |
| | Creditor's mailing address | Describe the lien | | |
| | | Is the creditor an insider or related party? ■ No | | |
| | Creditor's email address, if known | ☐ Yes Is anyone else liable on this claim? | | |
| | Date debt was incurred | ■ No Yes. Fill out Schedule H: Codebtors (Official Form 206H) | | |
| | Last 4 digits of account number | | | |
| | Do multiple creditors have an interest in the same property? | As of the petition filing date, the claim is: Check all that apply | | |
| Offic | ial Form 206D | Schedule D: Creditors Who Have Claims Secured by Prope | erty | page 1 of 6 |
| Softwa | are Copyright (c) 1996-2016 Best Case, LLC - w | ww.bestcase.com | | Best Case Bankruptc |

| Name | | | |
|---|---|----------------|---------------|
| No | | | |
| Yes. Specify each creditor, | Unliquidated | | |
| including this creditor and its relative | Disputed | | |
| priority. | | | |
| | | | |
| Ally Financial | Describe debtor's property that is subject to a lien | \$2,865.00 | \$2,865.0 |
| Creditor's Name | 2011 Chevrolet Express Cutaway G350 #7707 | | |
| P. O. Box 380902 | 2630 Napa Road, Sonoma | | |
| Minneapolis, MN 55438 | | | |
| Creditor's mailing address | Describe the lien | | |
| | | | |
| | Is the creditor an insider or related party? ■ No | | |
| Creditor's email address, if known | ■ No □ Yes | | |
| Creditor's email address, il known | L Yes Is anyone else liable on this claim? | | |
| - | - | | |
| Date debt was incurred | No | | |
| Last 4 digits of account number | ☐ Yes. Fill out Schedule H: Codebtors (Official Form 206H) | | |
| Lust - uigns VI account number | | | |
| Do multiple creditors have an | As of the petition filing date, the claim is: | | |
| interest in the same property? | Check all that apply | | |
| No | | | |
| Yes. Specify each creditor, | Unliquidated | | |
| including this creditor and its relative priority. | | | |
| Ally Financial | Describe debtor's property that is subject to a lien | ¢15 225 00 | ¢15 225 0 |
| Ally Financial | 2013 Chevrolet Truck 3500 #6400 | \$15,235.00 | \$15,235.00 |
| Creditors Marile | | | |
| P. O. Box 380902 | 1472 Bridge Street, Yuba City | | |
| Minneapolis, MN 55438 | | | |
| Creditor's mailing address | Describe the lien | | |
| | Is the creditor an insider or related party? | | |
| | No | | |
| Creditor's email address, if known | □ Yes | | |
| | Is anyone else liable on this claim? | | |
| Date debt was incurred | No No | | |
| | | | |
| Last 4 digits of account number | Yes. Fill out Schedule H: Codebtors (Official Form 206H) | | |
| Do multiple creditors have an | As of the polition filing data, the claim is: | | |
| Do multiple creditors have an interest in the same property? | As of the petition filing date, the claim is: Check all that apply | | |
| | | | |
| | | | |
| Yes. Specify each creditor, | | | |
| including this creditor and its relative priority. | | | |
| Ally Financial | Describe debtor's property that is subject to a lien | \$31,269.00 | \$31,269.00 |
| Ally Financial | 2014 Chevrolet Express Truck #0700 | ψυ 1,200.00 | ψ01,200.00 |
| | 106 West Main, Woodland | | |
| P. O. Box 380902 | | | |
| Minneapolis, MN 55438 | | | |
| Creditor's mailing address | Describe the lien | | |
| | Is the creditor an insider or related party? | | |
| | | | |
| | No | | |
| Creditor's email address, if known | ☐ Yes Is anyone else liable on this claim? | | |
| ial Form 206D Additional | Page of Schedule D: Creditors Who Have Claims Secured by | / Property | page |
| are Copyright (c) 1996-2016 Best Case, LLC - wv | ww.bestcase.com | | Best Case Ban |
| | Doc# 1 Filed: 05/31/16 Entered: 05/31/1 | 6 17:04:37 Pag | je 22 of |

| Debtor | Unique Recycling Corpo | ration of California Ca | ase number (if know) | | |
|---------|---|---|----------------------|-------------|------------------|
| Da | Name te debt was incurred | | | | |
| | | Yes. Fill out Schedule H: Codebtors (Official Form) | 2064) | | |
| La | st 4 digits of account number | | 2001) | | |
| Do | multiple creditors have an | As of the petition filing date, the claim is: | | | |
| | erest in the same property? | Check all that apply | | | |
| | No | ☐ Contingent ☐ Unliquidated | | | |
| | Yes. Specify each creditor, luding this creditor and its relative | | | | |
| | prity. | | | | |
| | - II- Fanna Daalan | | | | |
| | ells Fargo Dealer ervices | Describe debtor's property that is subject to a lien | | \$17,325.00 | \$17,325.00 |
| | ditor's Name | 2014 Chevrolet Silverado #8438 | | | |
| Б | O. Box 25341 | 988 Monterey Street, Madera | | | |
| | anta Ana, CA 92799-5341 | | | | |
| | ditor's mailing address | Describe the lien | | | |
| | 3 | Car Loan | | | |
| | | Is the creditor an insider or related party? | | | |
| | | No | | | |
| Cre | ditor's email address, if known | T Yes | | | |
| | | Is anyone else liable on this claim? | | | |
| Da | te debt was incurred | No | | | |
| | | Yes. Fill out Schedule H: Codebtors (Official Form | 206H) | | |
| | st 4 digits of account number 05 | , , , , , , , , , , , , , , , , , , , | , | | |
| | multiple creditors have an | As of the petition filing date, the claim is: | | | |
| _ | erest in the same property? | Check all that apply | | | |
| | No | | | | |
| | Yes. Specify each creditor, luding this creditor and its relative | Unliquidated Disputed | | | |
| | ority. | | | | |
| | | | | | |
| | ells Fargo Dealer | | | ¢24,426,00 | ¢24 426 00 |
| Se | ervices editor's Name | Describe debtor's property that is subject to a lien | | \$31,426.00 | \$31,426.00 |
| Cie | altor s Name | 2015 Chevrolet Express #4192 5044 Peabody, Fairfield | | | |
| | O. Box 25341 | 5044 Feabouy, Faimeiu | | | |
| Sa | anta Ana, CA 92799-5341 | | | | |
| Cre | editor's mailing address | Describe the lien | | | |
| | | Car Loan Is the creditor an insider or related party? | | | |
| | | | | | |
| | | No | | | |
| Cre | ditor's email address, if known | Yes Is anyone else liable on this claim? | | | |
| | | | | | |
| Da | te debt was incurred | | | | |
| | st 4 digits of account number | ☐ Yes. Fill out Schedule H: Codebtors (Official Form | 206H) | | |
| | 79 multiple creditors have an | As of the petition filing date, the claim is: | | | |
| | erest in the same property? | Check all that apply | | | |
| | No | Contingent | | | |
| | Yes. Specify each creditor, | Unliquidated | | | |
| inc | luding this creditor and its relative ority. | Disputed | | | |
| | olle Eargo Doalar | | | | |
| | ells Fargo Dealer ervices | Describe debtor's property that is subject to a lien | | \$31,526.00 | \$31,526.00 |
| icial F | orm 206D Additional | Page of Schedule D: Creditors Who Have Claim | s Secured by Pro | perty | page 3 c |
| | | - | | | |
| ware Co | opyright (c) 1996-2016 Best Case, LLC - w | | HOE/21/16 1 | 7.04.27 Dec | Best Case Bankru |
| | Case: 16-10476 E | Doc# 1 Filed: 05/31/16 Entered 106 | 1. UD/31/10 1 | 1.04.37 Pag | ge 23 of |
| | | TOO | | | |

Debtor **Unique Recycling Corporation of California**

Case number (if know)

| | Name | | | |
|---|--|--|-------------|-------------|
| | Creditor's Name P. O. Box 25341 | 2015 Chevrolet Express Truck #8525 106 West Main, Woodland | | |
| | Santa Ana, CA 92799-5341 | | | |
| | Creditor's mailing address | Describe the lien <u>Car Loan Is the creditor an insider or related party?</u> | | |
| | | No | | |
| | Creditor's email address, if known | ☐ Yes Is anyone else liable on this claim? | | |
| | Date debt was incurred | No Yes. Fill out Schedule H: Codebtors (Official Form 206H) | | |
| | Last 4 digits of account number 5695 | | | |
| | Do multiple creditors have an interest in the same property? | As of the petition filing date, the claim is: Check all that apply | | |
| | ■ No □ Yes. Specify each creditor, | Contingent Unliquidated | | |
| | including this creditor and its relative priority. | Disputed | | |
| 9 | Wells Fargo Dealer | | \$20,469,00 | ¢20,469,00 |
| - | Creditor's Name | Describe debtor's property that is subject to a lien | \$20,168.00 | \$20,168.00 |
| | P. O. Box 25341 Santa Ana, CA 92799-5341 | 2630 Napa Road, Sonoma | | |
| | Creditor's mailing address | Describe the lien | | |
| | | Is the creditor an insider or related party? | | |
| | Creditor's email address, if known | ■ No □ Yes | | |
| | | Is anyone else liable on this claim? | | |
| | Date debt was incurred | No | | |
| | Last 4 digits of account number | Yes. Fill out <i>Schedule H: Codebtors</i> (Official Form 206H) | | |
| | Do multiple creditors have an interest in the same property? | As of the petition filing date, the claim is: Check all that apply | | |
| | No | Contingent Unliquidated | | |
| | ☐ Yes. Specify each creditor, including this creditor and its relative priority. | | | |
| 1 | Wells Fargo Dealer Services | Describe debtor's property that is subject to a lien | \$32,658.00 | \$32,658.00 |
| | Creditor's Name | 2015 Chevrolet Express Truck #5740 | | |
| | P. O. Box 25341 Santa Ana, CA 92799-5341 | 1472 Bridge Street, Yuba City | | |
| | Creditor's mailing address | Describe the lien | | |
| | | Is the creditor an insider or related party? ■ No | | |
| | Creditor's email address, if known | ■ No □ Yes Is anyone else liable on this claim? | | |
| | Date debt was incurred | No | | |
| | | Yes. Fill out Schedule H: Codebtors (Official Form 206H) | | |

Official Form 206D

Additional Page of Schedule D: Creditors Who Have Claims Secured by Property

page 4 of 6

Best Case Bankruptcy

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

| | Unique Recycling Corpo | ration of California Case number (if R | | |
|-------|---|---|-------------|-------------|
| | Name | | | |
| | multiple creditors have an erest in the same property? | As of the petition filing date, the claim is: Check all that apply | | |
| | | | | |
| | | | | |
| | Yes. Specify each creditor, uding this creditor and its relative | | | |
| prior | | | | |
| | | | | |
| We | ells Fargo Dealer | | | |
| | rvices | Describe debtor's property that is subject to a lien | \$35,038.00 | \$35,038.00 |
| | ditor's Name | 2015 Chevrolet Express Truck #8269 | · | |
| - | 0.0.050// | 5044 Peabody, Fairfield | | |
| | O. Box 25341 | ••• | | |
| | nta Ana, CA 92799-5341 | Development of the line | | |
| Cred | ditor's mailing address | Describe the lien | | |
| | | Is the creditor an insider or related party? | | |
| | | No No | | |
| Cred | ditor's email address, if known | | | |
| 0100 | | Is anyone else liable on this claim? | | |
| Date | e debt was incurred | ■ No | | |
| Date | e debt was incurred | | | |
| Las | t 4 digits of account number | Yes. Fill out Schedule H: Codebtors (Official Form 206H) | | |
| | | | | |
| | multiple creditors have an erest in the same property? | As of the petition filing date, the claim is: Check all that apply | | |
| | | Contingent | | |
| - | | | | |
| | Yes. Specify each creditor, uding this creditor and its relative | | | |
| prio | | | | |
| | | | | |
| | | | · · · · | |
| | ells Fargo Financial | Describe debtor's property that is subject to a lien | \$87,201.53 | \$0.0 |
| Cred | ditor's Name | | | |
| P.C | D. Box 98789 | | | |
| Las | s Vegas, NV 89193 | | | |
| Cred | ditor's mailing address | Describe the lien | | |
| | | Line of Credit | | |
| | | Is the creditor an insider or related party? | | |
| | | No | | |
| Cred | ditor's email address, if known | T Yes | | |
| | | Is anyone else liable on this claim? | | |
| Date | e debt was incurred | □ No | | |
| | | Yes. Fill out Schedule H: Codebtors (Official Form 206H) | | |
| Las | t 4 digits of account number | | | |
| 602 | 27 | | | |
| | multiple creditors have an erest in the same property? | As of the petition filing date, the claim is: Check all that apply | | |
| | , | | | |
| 1 | | Contingent Unliquidated | | |
| | Yes. Specify each creditor, uding this creditor and its relative | | | |
| prio | 5 | Disputed | | |
| | - | | | |

List in alphabetical order any others who must be notified for a debt already listed in Part 1. Examples of entities that may be listed are collection agencies, assignees of claims listed above, and attorneys for secured creditors.

If no others need to notified for the debts listed in Part 1, do not fill out or submit this page. If additional pages are needed, copy this page.

Official Form 206D

Additional Page of Schedule D: Creditors Who Have Claims Secured by Property

page 5 of 6

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 25 of

Debtor Unique Recycling Corporation of California

Name and address

Case number (if know)

On which line in Part 1 did you enter the related creditor?

Last 4 digits of account number for this entity

Additional Page of Schedule D: Creditors Who Have Claims Secured by Property

page 6 of 6

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 26 of

| Fill in this information to identify the case: |
|--|
|--|

Debtor name Unique Recycling Corporation of California

United States Bankruptcy Court for the: NORTHERN DISTRICT OF CALIFORNIA

Case number (if known)

□ Check if this is an amended filing

Official Form 206E/F Schedule E/F: Creditors Who Have Unsecured Claims

12/15

Be as complete and accurate as possible. Use Part 1 for creditors with PRIORITY unsecured claims and Part 2 for creditors with NONPRIORITY unsecured claims. List the other party to any executory contracts or unexpired leases that could result in a claim. Also list executory contracts on *Schedule A/B: Assets - Real and Personal Property* (Official Form 206A/B) and on *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206A/B) and on *Schedule G: Executory Contracts and Unexpired Leases* (Official Form 206G). Number the entries in Parts 1 and 2 in the boxes on the left. If more space is needed for Part 1 or Part 2, fill out and attach the Additional Page of that Part included in this form.

| 1. | Do any creditors have priority unsecured claims? (See 1 | 1 U.S.C. § 507). | |
|--------|--|---|-------------------------------|
| | No. Go to Part 2. | | |
| | TYes. Go to line 2. | | |
| Part 2 | List All Creditors with NONPRIORITY Unsecur | red Claims | |
| | List in alphabetical order all of the creditors with nonpr | iority unsecured claims. If the debtor has more than 6 creditors with nonpr | iority unsecured claims, fill |
| | out and attach the Additional Page of Part 2. | | Amount of claim |
| | _ | | |
| 3.1 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$40.00 |
| | Alarmtech - Vallejo | Contingent | |
| | 20 Commerce Place, Suite A | Unliquidated | |
| | Vacaville, CA 95687 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.2 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$40.00 |
| | Alarmtech - Woodland | Contingent | |
| | | Unliquidated | |
| | Date(s) debt was incurred _ | Disputed | |
| | Last 4 digits of account number _ | Basis for the claim: Business Expense | |
| | | Is the claim subject to offset? ■ No □ Yes | |
| 3.3 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$13,195.78 |
| | ARCO Business Solutions | Contingent | |
| | P. O. Box 70887 | Unliquidated | |
| | Charlotte, NC 28272-0887 | Disputed | |
| | Date(s) debt was incurred | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| | | | |
| 3.4 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$45.09 |
| | AT&T 6378 Perry | Contingent | |
| | P. O. Box 5025 | Unliquidated | |
| | Carol Stream, IL 60197 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|------------|---|---|----------|
| 3.5 | Nonpriority creditor's name and mailing address AT&T 6638 VA P. O. Box 5025 | As of the petition filing date, the claim is: Check all that apply. | \$222.44 |
| | Carol Stream, IL 60197-5025 Date(s) debt was incurred | Disputed | |
| | Last 4 digits of account number | Basis for the claim: Business Expense | |
| | | Is the claim subject to offset? | |
| 3.6 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$391.93 |
| | AT&T 9010 WO | Contingent | |
| | P. O. Box 5025 | | |
| | Carol Stream, IL 60197 | Disputed | |
| | Date(s) debt was incurred | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.7 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$55.00 |
| | AT&T Uverse 0535 WO | | |
| | P. O. Box 5014 | Unliquidated | |
| | Carol Stream, IL 60197-5014 | | |
| | Date(s) debt was incurred | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.8 | Nonpriority creditor's name and mailing address AT&T Uverse 8437 VA P. O. Box 5014 Carol Stream, IL 60197-5014 | As of the petition filing date, the claim is: Check all that apply. | \$88.00 |
| | Date(s) debt was incurred | | |
| | Last 4 digits of account number _ | Basis for the claim: Business Expense | |
| . <u> </u> | | Is the claim subject to offset? No Yes | |
| 3.9 | Nonpriority creditor's name and mailing address Bay Alarm - Chico 142566 P. O. Box 7137 | As of the petition filing date, the claim is: Check all that apply. | \$333.00 |
| | San Francisco, CA 94120 | Disputed | |
| | Date(s) debt was incurred | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| | Nonpriority creditor's name and mailing address Bay Alarm - Merced 1598742 | As of the petition filing date, the claim is: Check all that apply. | \$269.91 |
| | San Francisco, CA 94120 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| | | | |
| 3.11 | Nonpriority creditor's name and mailing address Bob's Window Cleaning 1380 East Avenue, Suite 124 Box 163 Chico. CA 95973 | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed | \$40.00 |
| | Chico, CA 95973 | Basis for the claim: Business Expense | |
| | Date(s) debt was incurred _ Last 4 digits of account number | Is the claim subject to offset? ■ No □ Yes | |
| | | | |

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|---|--|------------|
| 3.12 | Nonpriority creditor's name and mailing address California Water Service Company P. O. Box 940001 San Jose, CA 95194-0001 | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed | \$611.43 |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.13 | Nonpriority creditor's name and mailing address Capital Store Fixtures 4220 Pell Drive, Suite C Sacramento, CA 95938 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$4,187.82 |
| 3.14 | Nonpriority creditor's name and mailing address Chico News and Review 353 E. Second Street Chico, CA 95928 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$486.00 |
| 3.15 | Nonpriority creditor's name and mailing address City of Fresno P. O. Box 2069 Fresno, CA 93718 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$205.18 |
| 3.16 | Nonpriority creditor's name and mailing address City of Merced Finance Department 678 West 18th Street, Dept UB Merced, CA 95340 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$511.03 |
| 3.17 | Nonpriority creditor's name and mailing address City of Vallejo - False Alarm Program P. O. Box 742536 Los Angeles, CA 90074-2536 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$373.00 |
| 3.18 | Nonpriority creditor's name and mailing address City of Woodland - Business License Community Development Dept. 300 First Street Woodland, CA 95695 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$178.00 |

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|--|---|------------|
| 3.19 | Nonpriority creditor's name and mailing address Clark Pest Control PO Box 1480 | As of the petition filing date, the claim is: Check all that apply. | \$79.00 |
| | Lodi, CA 95241 | | |
| | Date(s) debt was incurred _ Last 4 digits of account number | Basis for the claim: Business Expense | |
| | | Is the claim subject to offset? ■ No □ Yes | |
| 3.20 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$217.93 |
| | Comcast | | |
| | P. O. Box 34227 Seattle, WA 98124 | | |
| | Date(s) debt was incurred _ | | |
| | Last 4 digits of account number | Basis for the claim: Business Expense | |
| | | Is the claim subject to offset? | |
| 3.21 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$72.02 |
| | County of San Joaquin | _ | |
| | Dept. of Public Works Solid Waste Division | | |
| | P. O. Box 1810 | Unliquidated Disputed | |
| | Stockton, CA 95201 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| 3.22 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$475.00 |
| | Coupon Directory | Contingent | |
| | P. O. Box 2587 Paradise, CA 95967 | | |
| | | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.23 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$9,670.37 |
| | DeHennis Designs LLC | | |
| | 110 Outcrop View Lane | | |
| | Austin, TX 78738 | | |
| | Date(s) debt was incurred | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.24 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$1,812.00 |
| | Department of Motor Vehicles | | |
| | P. O. Box 932370 | Unliquidated | |
| | Sacramento, CA 94232-3700 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.25 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$569.11 |
| | Direct Energy - Fresno | | |
| | P. O. Box 660749 | | |
| | Dallas, TX 75266 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|---|---|------------|
| 3.26 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$1,271.77 |
| | Direct Energy - Madera | | |
| | P. O. Box 660749 | | |
| | Dallas, TX 75266 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.27 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$513.30 |
| | Direct Energy - Merced | | |
| | P. O. Box 660749 | Unliquidated | |
| | Dallas, TX 75266 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.28 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$1,760.58 |
| | Direct Energy - Vallejo | Contingent | |
| | P. O. Box 660749 | Unliquidated | |
| | Dallas, TX 75266 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.29 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$1,813.73 |
| · | Exxon Mobile | | |
| | P. O. Box 688938 | | |
| | Des Moines, IA 50368-8938 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| 3.30 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$58.80 |
| | Fairmead Landfill | Contingent | |
| | | | |
| | Date(s) debt was incurred _ | | |
| | Last 4 digits of account number | | |
| | | Basis for the claim: Business Expense | |
| | | Is the claim subject to offset? No Yes | |
| | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$2,388.68 |
| | FEDEX - 5885 | | |
| | P. O. Box 672085 | | |
| | Dallas, TX 75267 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number | Is the claim subject to offset? | |
| 3.32 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$1,713.17 |
| | Forklift Mobile Inc. | Contingent | |
| | 1080 Nimitz Ave., Suite 130 | Unliquidated | |
| | Vallejo, CA 94592 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| | | | |

 Official Form 206 E/F
 Schedule E/F: Creditors Who Have Unsecured Claims
 Page 5 of 14

 Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com
 Best Case Bankruptcy

 Case: 16-10476
 Doc# 1
 Filed: 05/31/16
 Entered: 05/31/16 17:04:37
 Page 31 of

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|---|---|--------------|
| | Name | | |
| | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$952,535.02 |
| | Gone for Good UCP of North Bank | | |
| | Attn: Mailer Program | | |
| | 3835 Cypress Dr., Suite 103 | | |
| | Petaluma, CA 94954 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? No Yes | |
| 3.34 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$3,168.00 |
| | Heritage Security & Investigation, Inc. | Contingent | |
| | P. O. Box 99 | Unliquidated | |
| | Winton, CA 95388 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.35 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$4,042.18 |
| | ILD's Signs Co. | Contingent | + -, |
| | | | |
| | 5813 East Harvard Avenue | | |
| | Fresno, CA 93727 | • | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? No Yes | |
| 3.36 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$2,722.88 |
| | JLO Royal Floors | Contingent | |
| | P. O. Box 3356 | Unliquidated | |
| | Fairfield, CA 94533 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? No Yes | |
| 3.37 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$5,791.30 |
| | Kaiser Foundation | | . , |
| | File 5915 | | |
| | Los Angeles, CA 90074-5915 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| | | | |
| | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$613.28 |
| | Les Schwab #561 | | |
| | 2140 West Kennedy Ave,m | | |
| | Madera, CA 93637 | | |
| | Date(s) debt was incurred | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.39 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$927.74 |
| | Les Schwab #619 | Contingent | |
| | 201 W. East Ave. | | |
| | Chico, CA 95926 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| | | | |

Official Form 206 E/F Schedule E/F: Creditors Who Have Unsecured Claims Page 6 of 14 Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 32 of 106

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|---|--|------------|
| 3.40 | Nonpriority creditor's name and mailing address Les Schwab #620 65 West Main St. Woodland, CA 95695 | As of the petition filing date, the claim is: Check all that apply. | \$1,807.49 |
| | Date(s) debt was incurred _ | | |
| | Last 4 digits of account number _ | Basis for the claim: <u>Business Expense</u> Is the claim subject to offset? ■ No □ Yes | |
| 3.41 | Nonpriority creditor's name and mailing address Les Schwab #674 3301 Sonoma Blvd. Vallejo, CA 94590 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$55.40 |
| 3.42 | Nonpriority creditor's name and mailing address Littler Mendelson PC P. O. Box 45547 San Francisco, CA 94145-0547 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$6,149.46 |
| 3.43 | Nonpriority creditor's name and mailing address Lube Express 2399 Esplanade Chico, CA 95926 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$80.93 |
| 3.44 | Nonpriority creditor's name and mailing address Madera Automatic Transmission 905 So. Gateway Drive Madera, CA 93637 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$155.28 |
| 3.45 | Nonpriority creditor's name and mailing address Mid Valley Disposal P. O. Box 12146 Fresno, CA 93776 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$974.33 |
| 3.46 | Nonpriority creditor's name and mailing address Mission Printers 522 Soquel Avenue Santa Cruz, CA 95062 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$966.79 |

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|---|---|------------|
| 3.47 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$18.00 |
| | MJB Welding Supply | Contingent | |
| | P. O. Box 2166 | | |
| | Chico, CA 95927 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| | | Is the claim subject to offset? No Yes | |
| | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$2,897.25 |
| | Monument Security Inc. | Contingent | |
| | 4926-43rd St | Unliquidated | |
| | McClellan, CA 95652 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| 3.49 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$1,808.05 |
| | PG&E | | |
| | P.O. Box 997300 | Unliquidated | |
| | Sacramento, CA 95899-7300 | Disputed | |
| | Date(s) debt was incurred _ | Basis for the claim: <u>Utiliies</u> | |
| | Last 4 digits of account number 4887 | Is the claim subject to offset? ■ No □ Yes | |
| 3.50 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$2,173.93 |
| | PG&E | | . , |
| | P.O. Box 997300 | | |
| | Sacramento, CA 95899-7300 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Utilities | |
| | Last 4 digits of account number <u>0134</u> | Is the claim subject to offset? ■ No □ Yes | |
| | | | |
| | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$35.57 |
| | PG&E | | |
| | P.O. Box 997300 Sacramento, CA 95899-7300 | | |
| | | | |
| | Date(s) debt was incurred | Basis for the claim: <u>Utilities</u> | |
| | Last 4 digits of account number <u>5032</u> | Is the claim subject to offset? | |
| | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$2,594.65 |
| | PG&E | Contingent | |
| | P.O. Box 997300 | Unliquidated | |
| | Sacramento, CA 95899-7300 | | |
| | Date(s) debt was incurred _ | Basis for the claim: <u>Utilities</u> | |
| | Last 4 digits of account number <u>5468</u> | Is the claim subject to offset? ■ No □ Yes | |
| | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$1,199.52 |
| | PG&E | Contingent | |
| | P.O. Box 997300 | | |
| | Sacramento, CA 95899-7300 | | |
| | Date(s) debt was incurred | | |
| | Last 4 digits of account number 8386 | Basis for the claim: Business Expense | |
| | <u> </u> | Is the claim subject to offset? | |

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|---|--|------------|
| 3.54 | Nonpriority creditor's name and mailing address PG&E P.O. Box 997300 Sacramento, CA 95899-7300 | As of the petition filing date, the claim is: Check all that apply. | \$890.01 |
| | Date(s) debt was incurred _ | | |
| | Last 4 digits of account number <u>9263</u> | Basis for the claim: <u>Business Expense</u> Is the claim subject to offset? ■ No □ Yes | |
| 3.55 | Nonpriority creditor's name and mailing address PG&E P.O. Box 997300 Sacramento, CA 95899-7300 Date(s) debt was incurred _ Last 4 digits of account number <u>9713</u> | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$2,858.65 |
| 3.56 | Nonpriority creditor's name and mailing address Pitney Bowes P. O. Box 371874 Pittsburgh, PA 15250-7887 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$120.81 |
| 3.57 | Nonpriority creditor's name and mailing address Platt Electric Supply P. O. Box 418759 Boston, MA 02241-8759 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$447.64 |
| 3.58 | Nonpriority creditor's name and mailing address Protection One P. O.Box 219044 Kansas City, MO 64121-9044 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$261.24 |
| 3.59 | Nonpriority creditor's name and mailing address Ramirez Tow 1502 Humphrey Drive Suisun City, CA 94585 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$695.00 |
| 3.60 | Nonpriority creditor's name and mailing address Recology - Butte P. O.Box 1512 Oroville, CA 95965-1512 Date(s) debt was incurred _ Last 4 digits of account number <u>9222</u> | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$300.50 |

 Official Form 206 E/F
 Schedule E/F: Creditors Who Have Unsecured Claims
 Page 9 of 14

 Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com
 Best Case Bankruptcy

 Case: 16-10476
 Doc# 1
 Filed: 05/31/16
 Entered: 05/31/16 17:04:37
 Page 35 of

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|--|--|------------|
| 3.61 | Nonpriority creditor's name and mailing address Recology - Butte P. O. Box 1512 Oroville, CA 95965-1512 | As of the petition filing date, the claim is: Check all that apply. | \$758.61 |
| | Date(s) debt was incurred _ Last 4 digits of account number <u>8122</u> | Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | |
| | Nonpriority creditor's name and mailing address Recology - Vallejo P. O. Box 60759 Los Angeles, CA 90060-0759 Date(s) debt was incurred _ Last 4 digits of account number <u>4963</u> | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$2,842.15 |
| | Nonpriority creditor's name and mailing address Redrock Environmental Group P. O. Box 310 Chowchilla, CA 93610 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$1,560.18 |
| | Nonpriority creditor's name and mailing address Renteria's Tire & Mechanic Shop 300 Salinas Road Watsonville, CA 95076 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$890.97 |
| | Nonpriority creditor's name and mailing address Sprint PO Box 4181 Carol Stream, IL 60197-4181 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$2,802.96 |
| | Nonpriority creditor's name and mailing address Terminix P. O. Box 31 Merced, CA 95341 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$90.00 |
| | Nonpriority creditor's name and mailing address UCP North Bay (Pick Ups) 3835 Cypress Drive, Suite 103 Petaluma, CA 94954 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$3,407.50 |

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|---|--|------------|
| | Nonpriority creditor's name and mailing address UCP of Central California 4224 N. Cedar Abenue Fresno, CA 93726-3700 | As of the petition filing date, the claim is: Check all that apply. | \$3,640.59 |
| | Date(s) debt was incurred _ | | |
| | Last 4 digits of account number _ | Basis for the claim: <u>Business Expense</u> Is the claim subject to offset? I No I Yes | |
| 3.69 | Nonpriority creditor's name and mailing address UCP of Greater Sacramento 4350 Auburn Blvd. Sacramento, CA 95841 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$283.59 |
| | Nonpriority creditor's name and mailing address UCP of Inland Empire 35325 Date Palm Drive Cathedral City, CA 92234 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. | \$283.59 |
| | Nonpriority creditor's name and mailing address UCP of Los Angeles/Ventura/Santa Barbara 6430 Independence Ave. Woodland Hills, CA 91367 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$283.59 |
| | Nonpriority creditor's name and mailing address UCP of Orange County 980 Roosevelt, Suite 100 Irvine, CA 92620 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$283.59 |
| | Nonpriority creditor's name and mailing address UCP of Sacramento & N. CA Attn: Doug Berman 4350 Auburn Blvd. Sacramento, CA 95841 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. | \$6,390.00 |
| | Nonpriority creditor's name and mailing address UCP of San Diego County 8525 Gibbs Drive, 100 San Diego, CA 92123 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. □ Contingent □ Unliquidated □ Disputed Basis for the claim: Business Expense Is the claim subject to offset? ■ No □ Yes | \$283.59 |

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|---|--|------------|
| | Nonpriority creditor's name and mailing address UCP of San Francisco 1521 Webster Street Oakland, CA 94612 | As of the petition filing date, the claim is: Check all that apply. | \$2,201.94 |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | Last 4 digits of account number _ | Is the claim subject to offset? | |
| | Nonpriority creditor's name and mailing address UCP of San Joaquin 333 West Benjamin Holt Drive, Suite 1 Stockton, CA 95207 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$1,630.54 |
| | Nonpriority creditor's name and mailing address UCP of San Luis Obispo 3620 Sacramento Drive, Suite 201C San Luis Obispo, CA 93401 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$283.59 |
| | Nonpriority creditor's name and mailing address UCP of Stanislaus 4265 Sypress Way #5 Modesto, CA 95356 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$1,147.00 |
| | Nonpriority creditor's name and mailing address UCP of Stanislaus (and affiliate) 4265 Sypress Way #5 Modesto, CA 95356 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$283.59 |
| | Nonpriority creditor's name and mailing address UCP of the North Bay 3835 Cypress Drive, Suite 103 Petaluma, CA 94954 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$283.59 |
| | Nonpriority creditor's name and mailing address UCP of the North Bay - Contract Labor 3835 Cypress Drive, Suite 103 Petaluma, CA 94954 Date(s) debt was incurred _ Last 4 digits of account number _ | As of the petition filing date, the claim is: Check all that apply. Contingent Unliquidated Disputed Basis for the claim: Business Expense Is the claim subject to offset? No Yes | \$972.00 |

 Official Form 206 E/F
 Schedule E/F: Creditors Who Have Unsecured Claims
 Page 12 of 14

 Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com
 Best Case Bankruptcy

 Case: 16-10476
 Doc# 1
 Filed: 05/31/16
 Entered: 05/31/16 17:04:37
 Page 38 of

| Debtor | Unique Recycling Corporation of California | Case number (if known) | |
|--------|---|---|-------------|
| | Name | | |
| 3.82 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$3,031.61 |
| I | Uline | Contingent | |
| | Attn: Accounts Receivable | Unliquidated | |
| | 2200 S. Lakeside Drive | Disputed | |
| | Waukegan, IL 60085 | | |
| ł | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| ļ | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| 3.83 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$100.00 |
| | Valley Fire Extinguisher Co. | Contingent | |
| | 1433 N. Maple | Unliquidated | |
| l | Fresno, CA 93703 | Disputed | |
| ľ | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| ľ | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| | | | |
| L | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$426.00 |
| | Vortex | Contingent | |
| | 1801 W. Olympic Blvd. | Unliquidated | |
| | Pasadena, CA 91199 | Disputed | |
| I | Date(s) debt was incurred | Basis for the claim: Business Expense | |
| I | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| 3.85 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$1,506.24 |
| | Waste Management of Woodland | | |
| | P. O. Box 541065 | | |
| | Los Angeles, CA 90054-1065 | | |
| | Date(s) debt was incurred | • | |
| | Last 4 digits of account number | Basis for the claim: Business Expense | |
| | | Is the claim subject to offset? ■ No □ Yes | |
| 3.86 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$150.00 |
| , | Woodland - Davis Termite & Pest Control | Contingent | |
| | 18 N. East Street, Suite 201 | | |
| | Woodland, CA 95776 | | |
| 1 | Date(s) debt was incurred | • | |
| | Last 4 digits of account number | Basis for the claim: Business Expense | |
| | | Is the claim subject to offset? ■ No □ Yes | |
| 3.87 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$68.14 |
| , | Yolo County Public Works | Contingent | |
| | 44090 County Roard 28H | Unliquidated | |
| | Woodland, CA 95776 | Disputed | |
| ł | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| I | Last 4 digits of account number _ | Is the claim subject to offset? ■ No □ Yes | |
| 3.88 | Nonpriority creditor's name and mailing address | As of the petition filing date, the claim is: Check all that apply. | \$40,276.00 |
| | Zenith Ins. Company | | +, 5.00 |
| | File 50004 | | |
| | Los Angeles, CA 90074-0004 | | |
| | Date(s) debt was incurred _ | Basis for the claim: Business Expense | |
| | | вазіз іог ше сіанні. ВИЗНІЕЗЭ ЕХРЕНЗЕ | |
| | Last 4 digits of account number | Is the claim subject to offset? No Yes | |

Part 3: List Others to Be Notified About Unsecured Claims

4. List in alphabetical order any others who must be notified for claims listed in Parts 1 and 2. Examples of entities that may be listed are collection agencies, assignees of claims listed above, and attorneys for unsecured creditors.

| Official Form 206 E/F | s | Schedule E/F: Creditors Who | Have Unsecured Claims | Page 13 of 14 | |
|---|----------------------|-----------------------------|----------------------------|---------------|--|
| Software Copyright (c) 1996-2016 Best Case, LLC | Best Case Bankruptcy | | | | |
| Case: 16-10476 | Doc# 1 | Filed: 05/31/16 | Entered: 05/31/16 17:04:37 | Page 39 of | |
| 106 | | | | | |

Debtor Unique Recycling Corporation of California

Case number (if known)

If no others need to be notified for the debts listed in Parts 1 and 2, do not fill out or submit this page. If additional pages are needed, copy the next page.

Name and mailing address

On which line in Part1 or Part 2 is the related creditor (if any) listed?

Last 4 digits of account number, if any

Part 4: Total Amounts of the Priority and Nonpriority Unsecured Claims

5. Add the amounts of priority and nonpriority unsecured claims.

5a. Total claims from Part 1 5b. Total claims from Part 2

5c. Total of Parts 1 and 2 Lines 5a + 5b = 5c.

| | | Total of claim amounts |
|-----|---|------------------------|
| 5a. | | \$ 0.00 |
| 5b. | + | \$ 1,116,141.12 |
| 5c. | | \$ 1,116,141.12 |

Fill in this information to identify the case:

Debtor name Unique Recycling Corporation of California

United States Bankruptcy Court for the: NORTHERN DISTRICT OF CALIFORNIA

Case number (if known)

□ Check if this is an amended filing

12/15

Official Form 206G Schedule G: Executory Contracts and Unexpired Leases

Be as complete and accurate as possible. If more space is needed, copy and attach the additional page, number the entries consecutively.

1. Does the debtor have any executory contracts or unexpired leases?

□ No. Check this box and file this form with the debtor's other schedules. There is nothing else to report on this form.

Yes. Fill in all of the information below even if the contacts of leases are listed on Schedule A/B: Assets - Real and Personal (Official Form 206A/B).

| 2. List all contracts and unexpired leases | | | State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired lease | |
|--|---|---|--|--|
| 2.1. | State what the contract or lease is for and the nature of the debtor's interest | Commercial building, 1472 Bridge Street, Yuba City | | |
| | State the term remaining | 8/31/2017 | Dress Neitling | |
| | List the contract number of any government contract | | POB 387 Yuba City, CA 95992 | |
| 2.2. | State what the contract or lease is for and the nature of the debtor's interest | Commerical lease, 230 North Texas Street, Fairfield, CA | 00 | |
| | State the term remaining | 12/31/2017 | Sorenson Family Trust No. 2 c/o Diann Sorenson | |
| | List the contract number of any government contract | | 639 Third Street Sonoma, CA 95476 | |
| 2.3. | State what the contract or lease is for and the nature of the debtor's interest | Long term lease commercial property commonly described as 1301-1305 Water Street, Santa Cruz, California | | |
| | State the term remaining | Three Years | The Western Shore Company Jeannine Gibson | |
| | List the contract number of any government contract | | 4041 Soquel Drive, Suite A No. 249 Soquel, CA 95073 | |
| 2.4. | State what the contract or lease is for and the nature of the debtor's interest | | | |
| | State the term remaining | | UCP Affiliates Attn: Doug Berman | |
| | List the contract number of any government contract | | 4350 Auburn Blvd. Sacramento, CA 95841 | |

| Official Form 206G Schedule G: Executory Contracts and Unexpired Leases | | | | Page 1 of 3 | |
|---|----------------------|-----------------|----------------------------|-------------|--|
| Software Copyright (c) 1996-2016 Best Case, LLC | Best Case Bankruptcy | | | | |
| Case: 16-10476 | Doc# 1 | Filed: 05/31/16 | Entered: 05/31/16 17:04:37 | Page 41 of | |
| 106 | | | | | |

| 2. List | all contracts and unexpired leases | State the name and mailing address for all other parties with whom the debtor has an executory contract or unexpired |
|---------|---|--|
| | | lease |
| 2.5. | State what the contract or lease is for and the nature of the debtor's interest | |
| | State the term remaining | UCP Central California Attn: Jeffery Synder |
| | List the contract number of any government contract | 4244 North Cedar Avenue Fresno, CA 93726 |
| 2.6. | State what the contract or lease is for and the nature of the debtor's interest | |
| | State the term remaining | UCP North Bay Attn: Margaret Farman |
| | List the contract number of any government contract | 3835 Cypress Drive, Suite 103 Sonoma, CA 95476 |
| 2.7. | State what the contract or lease is for and the nature of the debtor's interest | |
| | State the term remaining | UCP Of Golden Gate Attn: Barry Gardin |
| | List the contract number of any government contract | 1970 Boradway #115 Oakland, CA 94612 |
| 2.8. | State what the contract or lease is for and the nature of the debtor's interest | |
| | State the term remaining | UCP of Sacramento Attn: Doug Berman |
| | List the contract number of any government contract | 4350 Auburn Blvd. Sacramento, CA 95841 |
| 2.9. | State what the contract or lease is for and the nature of the debtor's interest | |
| | State the term remaining | UCP San Joaquin Attn: Leslie Heirer |
| | List the contract number of any government contract | 333 Benjamin Holt Drive Stockton, CA 95207 |
| 2.10. | State what the contract or lease is for and the nature of the debtor's interest | |
| | State the term remaining | UCP Stanislaus Attn: Roger Slingerman |
| | List the contract number of any | 4265 Sypres Way #2 Modesto, CA 95356 |

106

| Case number (if known) | Unique Recycling Corporation of California | | |
|---|--|---------------------|--------------------|
| | Last Name | Middle Name | First Name |
| r Leases | More Contracts | Page if You Have | Additional |
| State the name and mailing address for all other parti whom the debtor has an executory contract or unexp lease | 95 | and unexpired lease | st all contracts a |
| | | ment contract | govern |
| | | ment contract | govern |

| Fill in this information to identify the o | case: |
|--|-------|
|--|-------|

Debtor name Unique Recycling Corporation of California

United States Bankruptcy Court for the: NORTHERN DISTRICT OF CALIFORNIA

Case number (if known)

☐ Check if this is an amended filing

Official Form 206H Schedule H: Your Codebtors

12/15

Be as complete and accurate as possible. If more space is needed, copy the Additional Page, numbering the entries consecutively. Attach the Additional Page to this page.

1. Do you have any codebtors?

No. Check this box and submit this form to the court with the debtor's other schedules. Nothing else needs to be reported on this form.
 Yes

2. In Column 1, list as codebtors all of the people or entities who are also liable for any debts listed by the debtor in the schedules of creditors, Schedules D-G. Include all guarantors and co-obligors. In Column 2, identify the creditor to whom the debt is owed and each schedule on which the creditor is listed. If the codebtor is liable on a debt to more than one creditor, list each creditor separately in Column 2. Column 1: Codebtor

| | Name | Mailing Address | Name | Check all schedules that apply: |
|-----|----------------|---|------------------------------|---------------------------------|
| 2.1 | Diann Sorenson | 639 Third Street West Sonoma, CA 95476 | The Western Shore Company | □ D □ E/F □ G |
| 2.2 | Diann Sorenson | 639 Third Street West Sonoma, CA 95476 | Ally Financial | ■ D 2.5 □ E/F □ G |
| 2.3 | Diann Sorenson | 639 Third Street West Sonoma, CA 95476 | Wells Fargo Financial | ■ D <u>2.12</u> □ E/F □ G |
| 2.4 | Diann Sorenson | 639 Third Street West Sonoma, CA 95476 | The Western Shore Company | □ D □ E/F ■ G 2.1 |

| Fill in this information to identify the case: | | | |
|--|-------------|------------------------------------|-------|
| Debtor name Unique Recycling Corporation of California | | | |
| United States Bankruptcy Court for the: NORTHERN DISTRICT OF CALIFORNIA | | | |
| Case number (if known) | | | |
| | | Check if this is an amended filing | |
| | | | |
| Official Form 207 | | | |
| Statement of Financial Affairs for Non-Individuals Filing for Bankr | uptcy | | 04/16 |
| The debtor must answer every question. If more space is needed, attach a separate sheet to this form. On the write the debtor's name and case number (if known). | he top of a | ny additional page | s, |
| Part 1: Income | | | |

1. Gross revenue from business

2.

| □ None. | | |
|---|---|--|
| Identify the beginning and ending dates of the debtor's fiscal year, which may be a calendar year | Sources of revenue Check all that apply | Gross revenue (before deductions and exclusions) |
| From the beginning of the fiscal year to filing date: From 1/01/2016 to Filing Date | □ Operating a business ■ Other Business Income | \$3,138,567.00 |
| For prior year: From 1/01/2015 to 12/31/2015 | Operating a business Other Business Income | \$9,604,405.00 |
| For year before that: From 1/01/2014 to 12/31/2014 | Operating a business Other Business Income | \$10,066,391.00 |
| Non-business revenue Include revenue regardless of whether that revenue is taxable. Non-busines and royalties. List each source and the gross revenue for each separately. D None. | | oney collected from lawsuits, |
| | Description of sources of revenue | Gross revenue from each source |

Part 2: List Certain Transfers Made Before Filing for Bankruptcy

3. Certain payments or transfers to creditors within 90 days before filing this case

List payments or transfers--including expense reimbursements--to any creditor, other than regular employee compensation, within 90 days before filing this case unless the aggregate value of all property transferred to that creditor is less than \$6,425. (This amount may be adjusted on 4/01/19 and every 3 years after that with respect to cases filed on or after the date of adjustment.)

| | None. | | | | | |
|--|-----------------------------|--|-------|-----------------------|---|----|
| | Creditor's Name and Address | | Dates | Total amount of value | Reasons for payment or transf Check all that apply | er |
| | | | | | | |
| | | | | | | |
| Official Form 207 Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy | | | | | page 1 | |

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 45 of

Best Case Bankruptcy

(before deductions and

exclusions)

106

Case number (if known)

| Crec | litor's Name and Address | Dates | Total amount of value | Reasons for payment or transfer Check all that apply |
|------|--|--------------|-----------------------|---|
| 3.1. | United Cerebral Palsy of Sac. & No. CA 4350 Auburn Blvd. Sacramento, CA 95841 | Last 90 days | \$23,773.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |
| 3.2. | United Cerebral Palsy of San Joaquin Hammer Ranch Center 333 W. Benjamin Hold Drive #1 Stockton, CA 95207 | Last 90 days | \$7,117.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |
| 3.3. | United Cerebral Palsy of S.F. 1970 Broadway, Suite 600 Oakland, CA 94612 | Last 90 days | \$13,284.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |
| 3.4. | Union 76 PO Box 9140 Des Moines, IA 50368 | Last 90 days | \$9,187.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |
| 3.5. | Zenith Insurance Company 21255 Califa Street Woodland Hills, CA 91367-5021 | Last 90 days | \$80,528.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other Insurance Services |
| 3.6. | 1st Generation Investment Group 4804 Mission Street, Suite 222 San Francisco, CA 94112 | Last 90 days | \$29,830.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Rent</u> |
| 3.7. | 2432 Esplanade, LP c/o Edward Mock 3975 Little Creek Court Roseville, CA 95661 | Last 90 days | \$34,313.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Rent</u> |
| 3.8. | Arco P. O. Box 9033 Carlsbad, CA 92018 | Last 90 days | \$44,368.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |

Official Form 207

Best Case Bankruptcy

| Cred | itor's Name and Address | Dates | Total amount of value | Reasons for payment or transfer Check all that apply |
|------|---|--------------|-----------------------|---|
| 3.9. | Crum & Forster (US Fire Insurance co) PO Box 28146 New York, NY 10087 | Last 90 days | \$14,688.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other Insurance |
| 3.10 | Dress Neitling P. O. Box 387 Yuba City, CA 95992 | Last 90 days | \$22,773.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Rent</u> |
| 3.11 | EDCO Transmission 1355 N Texas Street Fairfield, CA 94533 | Last 90 days | \$8,383.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Auto Repair</u> |
| 3.12 | Gone for Good UCP of North Bank Attn: Mailer Program 3835 Cypress Dr., Suite 103 Petaluma, CA 94954 | Last 90 days | \$313,918.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |
| 3.13 | Great American Insurance Group P.O. Box 5430 Cincinnati, OH 45201-5430 | Last 90 days | \$18,044.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other Insurance |
| 3.14 | Heritage Security & Investigation, Inc. P. O. Box 99 Winton, CA 95388 | Last 90 days | \$9,504.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |
| 3.15 | JLO Royal Floors P. O. Box 3356 Fairfield, CA 94533 | Last 90 days | \$6,872.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |
| 3.16 | Kaiser Foundation File 5915 Los Angeles, CA 90074-5915 | Last 90 days | \$6,589.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |

Official Form 207

Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy

| Cred | itor's Name and Address | Dates | Total amount of value | Reasons for payment or transfer Check all that apply |
|------|---|--------------|-----------------------|--|
| 3.17 | Maricela Lariz 5335 Hartona Way Sacramento, CA 95835 | Last 90 days | \$6,552.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other Consulting |
| 3.18 | Moss Adams 3700 Old Redwood Highway, Suite 200 Santa Rosa, CA 95403 | Last 90 days | \$8,335.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other CPA |
| 3.19 | O'Brien Properties P. O. Box 411450 San Francisco, CA 94141-1450 | Last 90 days | \$27,248.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Rent</u> |
| 3.20 | PG&E P.O. Box 997300 Sacramento, CA 95899-7300 | Last 90 days | \$24,544.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |
| 3.21 | Randall T. Shannon 9812 Rodden Road Oakdale, CA 95361 | Last 90 days | \$13,000.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Rent</u> |
| 3.22 | Randall T. Shannon 9812 Rodden Road Oakdale, CA 95361 | Last 90 days | \$12,000.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Rent</u> |
| 3.23 | Randall T. Shannon 9812 Rodden Road Oakdale, CA 95361 | Last 90 days | \$13,000.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Rent</u> |
| 3.24 | Recology - Vallejo P. O. Box 60759 Los Angeles, CA 90060-0759 | Last 90 days | \$7,296.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |

Official Form 207

Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy

| | itor's Name and Address | Dates | Total amount of value | Reasons for payment or transfer Check all that apply |
|------|--|----------------------|-----------------------|---|
| 3.25 | Recology - Vallejo P. O. Box 60759 Los Angeles, CA 90060-0759 | Last 90 days | \$9,230.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other |
| 3.26 | Shannon Sundberg 1433 Westgate Iane Penngrove, CA 94951 | Last 90 days | \$8,491.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Reimbursement</u> |
| 3.27 | Sun-Ray Storage P. O. Box 156 Vacaville, CA 95696 | Last 90 days | \$13,459.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Storage</u> |
| 3.28 | The Western Shore Company Jeannine Gibson 4041 Soquel Drive, Suite A No. 249 Soquel, CA 95073 | Last 90 days | \$29,870.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other <u>Rent</u> |
| 3.29 | John Harris c/o Robbins, Fettner & LemMon 436 14th Street, No 1100 Oakland, CA 94612 | February 10, 2016 | \$6,800.00 | Secured debt Unsecured loan repayments Suppliers or vendors Services Other Workers Comp award |

4. Payments or other transfers of property made within 1 year before filing this case that benefited any insider

List payments or transfers, including expense reimbursements, made within 1 year before filing this case on debts owed to an insider or guaranteed or cosigned by an insider unless the aggregate value of all property transferred to or for the benefit of the insider is less than \$6,425. (This amount may be adjusted on 4/01/19 and every 3 years after that with respect to cases filed on or after the date of adjustment.) Do not include any payments listed in line 3. *Insiders* include officers, directors, and anyone in control of a corporate debtor and their relatives; general partners of a partnership debtor and their relatives; affiliates of the debtor and insiders of such affiliates; and any managing agent of the debtor. 11 U.S.C. § 101(31).

| □ None. | | | |
|--|-----------|-----------------------|---------------------------------|
| Insider's name and address Relationship to debtor | Dates | Total amount of value | Reasons for payment or transfer |
| 4.1. Stephanie Sorenson 639 Third Street West Sonoma, CA 95476 Daughter of Diann Sorenson President | Bi-weekly | \$39,375.00 | Salary |

| | ler's name and address tionship to debtor | Dates | Total amount of value | Reasons for payment or transfer |
|------|--|---|-----------------------|--|
| 4.2. | Sorenson Family Trust No. 2 639 Third Street West Sonoma, CA 95476 Self settled trust of Diann Sorenson, President | May 11, 2016 | Unknown | Inventory and fixtures at 2300 North Texas, Fairfield, were transferred to Landlord, the sole shareholder and president of the debtor, in consideration of Landlord's termination of the lease and the debtor's surrender of the leased property. Termination of Lease and Surrender Agreement available upon request. Value of assets transferred approx \$6,000. |
| 4.3. | The Western Shore Company Jeannine Gibson 4041 Soquel Drive, Suite A No. 249 Soquel, CA 95073 None | Regular Monthly Lease Payments | \$119,479.00 | Regular Monthly Lease Payments |
| 4.4. | 2432 Esplanade, LP c/o Edward Mock 3975 Little Creek Court Roseville, CA 95661 None | Regular Monthly Lease Payments | \$137,251.00 | Regular Monthly Leae Payments |
| 4.5. | Mileva Marcy 350 Francisco Drive Sonoma, CA 95476 Officer | Regular Bi-monthly | \$60,984.00 | Salary |
| 4.6. | Tommy DeHennis 639 Third Street West Sonoma, CA 95476 Officer | 7/20/15 - \$5,000 10/15/15 - \$5,000 11/18/15 - \$5,000 12/15/15 - \$5,000 | \$20,000.00 | Repayment of Loan |

5. Repossessions, foreclosures, and returns

List all property of the debtor that was obtained by a creditor within 1 year before filing this case, including property repossessed by a creditor, sold at a foreclosure sale, transferred by a deed in lieu of foreclosure, or returned to the seller. Do not include property listed in line 6.

| □ None | | | |
|---|--|--------------|-------------------|
| Creditor's name and address | Describe of the Property | Date | Value of property |
| See Question 13 below | | | \$0.00 |
| O'Brien Properties P. O. Box 411450 San Francisco, CA 94141 | Commercial lease: 3274 Sonoma Blvd, Vallejo, California | May 26, 2016 | \$0.00 |

6. Setoffs

List any creditor, including a bank or financial institution, that within 90 days before filing this case set off or otherwise took anything from an account of the debtor without permission or refused to make a payment at the debtor's direction from an account of the debtor because the debtor owed a debt.

Official Form 207

Best Case Bankruptcy

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Case: 16-10476

Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 50 of

| ■ No | one | | | | |
|----------|---|-------------------------------|--|-----------------------|---------------------|
| Crec | litor's name and address | Description of the actio | n creditor took | Date action was taken | Amount |
| Part 3: | Legal Actions or Assignments | | | | |
| List the | actions, administrative proceedings, of e legal actions, proceedings, investigation capacity—within 1 year before filing this one. | ns, arbitrations, mediations, | | | debtor was involved |
| | Case title Case number | Nature of case | Court or agency's name and address | d Status of ca | ase |
| 7.1. | Filberto Purros v. Unique Recycling Corp. of CA 12-94835 | Wage Dispute | Department of Industrial Relations 1870 N. Main Street, Suit 150 Salinas, CA 93906 | □ On appe | al |

| | | | Salinas, CA 93906 | |
|------|---|-------------------------|---|---|
| 7.2. | John Harris v. Unique Recycling Corp. of CA ADJ 8994136 | Wrongful Termination | Workers Comp Appeals Board 1515 Clay Street, 6th Floor Oakland, CA 94612 | PendingOn appealConcluded |
| 7.3. | Louis Shoemaker v. Unique Recycling Corp. of CA 164697 | Wage Dispute | Butte County Superior Court 1775 Concord Avenue Chico, CA 95928 | PendingOn appealConcluded |

8. Assignments and receivership

List any property in the hands of an assignee for the benefit of creditors during the 120 days before filing this case and any property in the hands of a receiver, custodian, or other court-appointed officer within 1 year before filing this case.

None

Part 4: Certain Gifts and Charitable Contributions

9. List all gifts or charitable contributions the debtor gave to a recipient within 2 years before filing this case unless the aggregate value of the gifts to that recipient is less than \$1,000

| None | | | |
|---|--|---------------|---------------------------|
| Recipient's name and address | Description of the gifts or contributions | Dates given | Value |
| Part 5: Certain Losses | | | |
| 10. All losses from fire, theft, or other casualty | within 1 year before filing this case. | | |
| | | | |
| Description of the property lost and how the loss occurred | Amount of payments received for the loss If you have received payments to cover the loss, for example, from insurance, government compensation, or tort liability, list the total received. List unpaid claims on Official Form 106A/B (Schedule A/B: Assets – Real and Personal Property). | Dates of loss | Value of property lost |
| See Attached Loss Runs | ······································ | | \$0.00 |

Part 6: Certain Payments or Transfers

Official Form 207

Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Case: 16-10476 Doc#1

page 7

Best Case Bankruptcy Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 51 of

11. Payments related to bankruptcy

List any payments of money or other transfers of property made by the debtor or person acting on behalf of the debtor within 1 year before the filing of this case to another person or entity, including attorneys, that the debtor consulted about debt consolidation or restructuring, seeking bankruptcy relief, or filing a bankruptcy case.

□ None.

| | Who was paid or who received the transfer? Address | If not money, describe any property transferred | Dates | Total amount or value |
|-------|--|---|---|-----------------------|
| 11.1. | Fallon & Fallon 100 E Street, Suite 219 Santa Rosa, CA 95404 | Attorney Fees | 05/09/16 (\$8,500) 05/20/16 (\$21,500) | \$30,000.00 |
| | Email or website address mcfallon@fallonlaw.net | | | |
| | Who made the payment, if not debtor? | 2 | | |

12. Self-settled trusts of which the debtor is a beneficiary

List any payments or transfers of property made by the debtor or a person acting on behalf of the debtor within 10 years before the filing of this case to a self-settled trust or similar device.

Do not include transfers already listed on this statement.

| None. | | | |
|-------------------------|-----------------------------------|-----------------|-----------------|
| Name of trust or device | Describe any property transferred | Dates transfers | Total amount or |

13. Transfers not already listed on this statement

List any transfers of money or other property by sale, trade, or any other means made by the debtor or a person acting on behalf of the debtor within 2 years before the filing of this case to another person, other than property transferred in the ordinary course of business or financial affairs. Include both outright transfers and transfers made as security. Do not include gifts or transfers previously listed on this statement.

□ None.

| | Who received transfer? Address | Description of property transferred or payments received or debts paid in exchange | Date transfer was made | Total amount or value |
|------|---|--|---------------------------|-----------------------|
| 13.1 | 2432 Esplanade, LP c/o Edward Mock 3975 Little Creek Court Roseville, CA 95661 Relationship to debtor Landlord | Inventory and fixtures at 2432 Esplanade, Chico, California were transferred to Landlord in consideration of Landlord's termination of the lease and the debtor's surrender of the leased property. Termination of Lease and Surrender Agreement available upon request. Liquidation value of assets transfered is approx \$6,000. | May 11, 2016 | Unknown |

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

| | Who received transfer? Address | Description of property transferred or payments received or debts paid in exchange | Date transfer was made | Total amount or value |
|------|---|---|---------------------------|-----------------------|
| 13.2 | USC Salvage Corp 9812 Rodden Road Oakdale, CA 95361 Relationship to debtor | Inventory and fixtures at 820 E. Shields Avenue, Fresno, California were transferred to Landlord in consideration of Landlord's termination of the lease and the debtor's surrender of the leased property. Termination of Lease and Surrender Agreement available upon request. Liquidation value of assets transfered is approx \$6,000. | May 11, 2016 | Unknown |
| | Landlord | | | |
| 13.3 | Randall T. Shannon - Madera 9812 Rodden Road Oakdale, CA 95361 | Inventory and fixtures at 12889 Highway 145, No. 1, Madera, California were transferred to Landlord in consideration of Landlord's termination of the lease and the debtor's surrender of the leased property. Termination of Lease and Surrender Agreement available upon request. Liquidation value of assets transfered is approx \$6,000. | May 11, 2016 | Unknown |
| | Relationship to debtor Landlord | | | |
| 13.4 | Randall T. Shannon 9812 Rodden Road | Inventory and fixtures at 1346 W. Main Street, Merced, California were transferred to Landlord in consideration of Landlord's termination of the lease and the debtor's surrender of the leased property. Termination of Lease and Surrender Agreement available upon request. Liquidation value of assets | | |
| | Oakdale, CA 95361 Relationship to debtor Landlord | transfered is approx \$6,000. | May 11, 2016 | \$0.00 |
| 13.5 | | Inventory and fixtures at 106 West Main Street, Woodland, California were transferred to Landlord in consideration of Landlord's termination of the lease | | |
| | 1st Generation Investment Group 4804 Mission Street, Suite 222 San Francisco, CA 94112 | and the debtor's surrender of the leased property. Termination of Lease and Surrender Agreement available upon request. Liquidation value of assets transfered is approx \$6,000. | May 11, 2016 | Unknown |
| | Relationship to debtor Landlord | | | |

Part 7: Previous Locations

14. Previous addresses

List all previous addresses used by the debtor within 3 years before filing this case and the dates the addresses were used.

Does not apply

Official Form 207

Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 53 of

Doc#1

Case: 16-10476

106

Case number (if known)

| | Address | | | Dates of occupa From-To | ncy |
|--|--|--|---|---|--|
| 14.1. | 40 Constitution Drive, Suite G Chico, CA 95973 | | | to November 1 | , 2014 |
| 14.2. | 729 Broadway Sonoma, CA 95476 | | | November 1, 2 | 014 to May 26, 2015 |
| art 8: | Health Care Bankruptcies | | | | |
| Is the de - diagno | Care bankruptcies ebtor primarily engaged in offering servi ssing or treating injury, deformity, or dise ing any surgical, psychiatric, drug treatn | ease, or | | | |
| _ | lo. Go to Part 9. 'es. Fill in the information below. | | | | |
| | Facility name and address | Nature of the busine the debtor provides | ss operation, including ty | ar | debtor provides meals ad housing, number of atients in debtor's care |
| art 9: | Personally Identifiable Information | | | | |
| Within (profit-si N V V V V V V V V V V V V V V V V V V | es. State the nature of the information c 6 years before filing this case, have a haring plan made available by the de lo. Go to Part 10. es. Does the debtor serve as plan admi Certain Financial Accounts, Safe Dep financial accounts l year before filing this case, were any fi or transferred? checking, savings, money market, or ot | ny employees of the d btor as an employee b nistrator? posit Boxes, and Stora nancial accounts or inst | enefit? ge Units ruments held in the debtor's | s name, or for the debtor | s benefit, closed, sold, |
| | atives, associations, and other financial i | nstitutions. | | | - |
| □ Nor | ne Financial Institution name and Address | Last 4 digits of account number | Type of account or instrument | Date account was closed, sold, moved, or transferred | Last balanc before closing o transfe |
| 18.1. | Wells Fargo | XXXX-8848 | Checking Savings Money Market Brokerage Other | April 20, 2016 | \$0.0 |
| | | | | | |

Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy

Best Case Bankruptcy

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Other__

Case number (if known)

| | Financial Institution name and Address | Last 4 digits of account number | Type of account or instrument | Date account was closed, sold, moved, or transferred | Last balance before closing or transfer |
|-------|---|------------------------------------|--|---|---|
| 18.3. | Wells Fargo | XXXX-3648 | Checking Savings Money Market Brokerage Other_ | April 30, 2016 | \$0.00 |
| 18.4. | Wells Fargo | XXXX-1390 | Checking Savings Money Market Brokerage Other | July 31, 2015 | \$0.00 |
| 18.5. | Wells Fargo | XXXX-5718 | Checking Savings Money Market Brokerage Other | September 2, 2015 | \$0.00 |
| 18.6. | Wells Fargo | XXXX-1382 | Checking Savings Money Market Brokerage Other | October 31, 2014 | \$0.00 |

19. Safe deposit boxes

List any safe deposit box or other depository for securities, cash, or other valuables the debtor now has or did have within 1 year before filing this case.

None

| Depository institution name and address | Names of anyone with access to it Address | Description of the contents | Do you still have it? |
|---|---|-----------------------------|--------------------------|
| | | | |

20. Off-premises storage

List any property kept in storage units or warehouses within 1 year before filing this case. Do not include facilities that are in a part of a building in which the debtor does business.

| No | ne |
|----|----|
|----|----|

| Facility name and address | Names of anyone with access to it | Description of the contents | Do you still have it? |
|---------------------------|--------------------------------------|-----------------------------|--------------------------|
| | | | |

Part 11: Property the Debtor Holds or Controls That the Debtor Does Not Own

21. Property held for another

List any property that the debtor holds or controls that another entity owns. Include any property borrowed from, being stored for, or held in trust. Do not list leased or rented property.

None

Part 12: Details About Environment Information

For the purpose of Part 12, the following definitions apply: Official Form 207 Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy

page 11

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Environmental law means any statute or governmental regulation that concerns pollution, contamination, or hazardous material, regardless of the medium affected (air, land, water, or any other medium).

Site means any location, facility, or property, including disposal sites, that the debtor now owns, operates, or utilizes or that the debtor formerly owned, operated, or utilized.

Hazardous material means anything that an environmental law defines as hazardous or toxic, or describes as a pollutant, contaminant, or a similarly harmful substance.

Report all notices, releases, and proceedings known, regardless of when they occurred.

22. Has the debtor been a party in any judicial or administrative proceeding under any environmental law? Include settlements and orders.

| 26a 26a | Sonoma, CA 95476 | | | to November 1, 2015 |
|-------------------|---|---|---|-----------------------------------|
| 26a | | | | |
| | .2. Mileva Marcy P. O. Box 360 | | | April 20, 2015 to May 13, 2016 |
| 26a | .1. Shannon Sundberg P. O. Box 360 Sonoma, CA 95476 | | | June 1, 2015 to May 13, 2016 |
| Nar | ne and address | | | Date of service From-To |
| 26a. L | s, records, and financial statements .ist all accountants and bookkeepers w ☐ None | ho maintained the debtor's books and record | ds within 2 years before filing this ca | ase. |
| | | | Dates business existed | |
| ■ N Busin | one ess name address | Describe the nature of the business | Employer Identification nun Do not include Social Security nur | |
| List an Includ | e this information even if already listed | n owner, partner, member, or otherwise a pe | erson in control within 6 years before | e filing this case. |
| | | ss or Connections to Any Business | | |
| Site | e name and address | Governmental unit name and address | Environmental law, if knowr | Date of notice |
| | No. Yes. Provide details below. | | | |
| 24. Has t | he debtor notified any governmenta | l unit of any release of hazardous materia | 11? | |
| Site | Yes. Provide details below. | Governmental unit name and address | Environmental law, if knowr | n Date of notice |
| | No. | | | |
| | ny governmental unit otherwise not | ified the debtor that the debtor may be lia | able or potentially liable under or i | in violation of an |
| Cas | se title se number | Court or agency name and address | Nature of the case | Status of case |
| Cas | | | | |

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37

Best Case Bankruptcy
Page 56 of

¹⁰⁶

| Name a | nd address | Date of service From-To | |
|--------|--|---------------------------------------|--|
| 26a.4. | Terry Douglas 19 Herlax Circle Chico, CA 95973 | to January 1, 2016 | |
| 26a.5. | Kathleen Morgan P. O. Box 360 Sonoma, CA 95476 | November 1, 2015 to April 30, 2016 | |

26b. List all firms or individuals who have audited, compiled, or reviewed debtor's books of account and records or prepared a financial statement within 2 years before filing this case.

| □ None |
|--------|
|--------|

| Name | and address | Date of service From-To |
|--------|---|----------------------------|
| 26b.1. | Mock and Douglas 40 Constitution Drive, Suite G Chico, CA 95973 | to 2014 |
| Name | and address | Date of service From-To |
| 26b.2. | Moss Adams 3558 Round Barn Ivd., Suite 300 Santa Rosa, CA 95403 | 2015 to present |

26c. List all firms or individuals who were in possession of the debtor's books of account and records when this case is filed.

□ None

| Name and address | If any books of account and records are unavailable, explain why |
|--------------------------|--|
| 26c.1. Swizznet - Online | Hosts and Maintains Unique Recycling Corp. of CA Quickbooks Database |

26d. List all financial institutions, creditors, and other parties, including mercantile and trade agencies, to whom the debtor issued a financial statement within 2 years before filing this case.

□ None

| Name a | and address | | |
|--------|--|--|--|
| 26d.1. | Assay Advisory Dan Finkelstein dfinkelstein@assayadvisory.com | | |
| 26d.2. | Exit Strategies Group Jim Leonard Jleonard@exitstrategiesgroup.com | | |

27. Inventories

Have any inventories of the debtor's property been taken within 2 years before filing this case?

□ No

Yes. Give the details about the two most recent inventories.

Page 57 of

| | Name of the person who supervised the taking of the inventory | Date of inventory | The dollar amount and basis (cost, market, or other basis) of each inventory |
|------|--|-------------------|--|
| 27.1 | Robert Fleming | March 28, 2016 | |
| | Name and address of the person who has possession of inventory records | | |
| | Unique Recycling Corp. of CA/Speadsheet | | |

28. List the debtor's officers, directors, managing members, general partners, members in control, controlling shareholders, or other people in control of the debtor at the time of the filing of this case.

| Name | Address | Position and nature of any interest | % of interest, if any |
|------------------|-----------------------------------|--|-----------------------|
| Diann Sorenson | P. O. Box 360 Sonoma, CA 95476 | President | 100% |
| Name | Address | Position and nature of any interest | % of interest, if any |
| Tommy DeHennis | P. O. Box 360 Sonoma, CA 95476 | Vice President | 0% |
| Name | Address | Position and nature of any interest | % of interest, if any |
| Shannon Sundberg | P. O. Box 360 Sonoma, CA 95476 | Secretary | 0% |
| Name | Address | Position and nature of any interest | % of interest, if any |
| Mileva Marcy | P. O. Box 360 Sonoma, CA 95476 | Treasurer | 0% |

- 29. Within 1 year before the filing of this case, did the debtor have officers, directors, managing members, general partners, members in control of the debtor, or shareholders in control of the debtor who no longer hold these positions?
 - No Yes. Identify below. Address Name Position and nature of any Period during which position or interest interest was held **Stephanie Sorenson** P. O. Box 360 Director Unsure Sonoma, CA 95476

30. Payments, distributions, or withdrawals credited or given to insiders

Within 1 year before filing this case, did the debtor provide an insider with value in any form, including salary, other compensation, draws, bonuses, loans, credits on loans, stock redemptions, and options exercised?

| | No Yes. Identify below. | | |
|------------------|------------------------------------|--|-----------------------------------|
| | Name and address of recipie | t Amount of money or description and value of Dates property | Reason for providing the value |
| 31. Withi | n 6 years before filing this case, | nas the debtor been a member of any consolidated group for tax purp | oses? |
| | No Yes. Identify below. | | |
| Official For | rm 207 | atement of Financial Affairs for Non-Individuals Filing for Bankruptcy | page 1 |

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com Case: 16-10476 Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Page 58 of

```
106
```

4

Best Case Bankruptcy

Case number (if known)

Name of the parent corporation

Employer Identification number of the parent corporation

32. Within 6 years before filing this case, has the debtor as an employer been responsible for contributing to a pension fund?

No

Yes. Identify below.

Name of the parent corporation

Employer Identification number of the parent corporation

Part 14: Signature and Declaration

WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

I have examined the information in this Statement of Financial Affairs and any attachments and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on May 31, 2016

/s/ Tommy DeHennis

Tommy DeHennis Printed name

Signature of individual signing on behalf of the debtor Position or relationship to debtor Vice President

Are additional pages to Statement of Financial Affairs for Non-Individuals Filing for Bankruptcy (Official Form 207) attached?

Yes



Loss Run

Claims Listing for: Thrift Store Clearance Outlet Inc

Summary

| Underwriting Division | Policy Number | Polcy Effective Date | Policy Expiration Date | Incurred I | Number of Claims |
|-----------------------|-------------------|----------------------|------------------------|------------|------------------|
| General Casualty | <u>00054889-1</u> | 08/16/2013 | 09/16/2014 | 29,926.46 | 1 |
| General Casualty | 00054889-0 | 09/16/2012 | 08/16/2013 | 2,416.75 | 2 |
| | | | Totals: | 32,343.21 | 3 |

Detail

| Policy # | 00054889-1 | | | Policy Effective Date: 08/16/2013 | | | Underwrit | ing Division: | General Casual | у | |
|-----------------|----------------|--------------------------------------|----------|-----------------------------------|---------------|------------|--|---------------|----------------|--------------|-------------------------------|
| Company: | James River Ir | isurance Company | | Policy Exp | iration Date: | 09/16/2014 | | | | | |
| Claim #: | 00018469 | 00018469 Occurrence Date: 04/05/2014 | | | | | Case Description: Anna Ramirez hit her nose while looking at merchandise in insured retail store. Pol. Xcelled. | | | | |
| Accident State: | CA | | | R | eported Date: | 04/21/2014 | | | | | |
| | | Claimant | Indemnit | y/Loss | Expense | | | Recoveries | | | |
| Claimant | Status | Activity Status | Reserve | Pald | Reserve | Pald | Incurred | Deductible | All Other | Net Incurred | Coverage |
| Anna Ramirez | Closed | Closed With Pay | 0.00 | 27,138.80 | 0.00 | 2,787.66 | 29,926.46 | 2,500.00 | 0.00 | 27,426.46 | Premises/Operations Liability |
| | Claim Totals | | | 27,138.80 | 0.00 | 2,787.66 | 29,926.46 | 2,500.00 | 0.00 | 27,426.46 | |
| 1 | | Policy Totals | 0.00 | 27,138.80 | 0.00 | 2,787.66 | 29,926.46 | 2,500.00 | 0.00 | 27,426.46 | |

| Policy # | 00054889-0 | | | | Policy Effective Date: 09/16/2012 | | | Underwriting Division: General Casualty | | | |
|-----------------|--|-----------------|---------|---------|-----------------------------------|------------|-------------------|---|-----------|--------------|-------------------------------|
| Company: | James River Insurance Company Policy Expiration Date: 08/16/2013 | | | | | | | | | | |
| Claim #: | 00015230 Occurrence Date: 12/17/2012 | | | | | 12/17/2012 | | | | | |
| | | | | | | | knocked clmt over | | | | |
| Accident State: | CA | | | R | eported Date: | 01/16/2013 | | | | | |
| | | Claimant | Indemni | ty/Loss | Ехре | inse | | Recov | eries | | |
| Claimant | Status | Activity Status | Reserve | Paid | Reserve | Paid | Incurred | Deductible | All Other | Net Incurred | Coverage |
| Kim Taylor | Closed | Closed No Pay | 0.00 | 0.00 | 0.00 | 1,117.99 | 1,117.99 | 1,117.99 | 0.00 | 0.00 | Premises/Operations Liability |
| | | Claim Totals | 0.00 | 0.00 | 0.00 | 1,117.99 | 1,117.99 | 1,117.99 | 0.00 | 0.00 | |

Page 1 of 2



Loss Run

As of Date: 02/25/2016 Today's Date: 02/26/2016

| Policy # | 00054889-0 | | | Policy Effective Date: 09/16/2012 | | | Underwrit | ing Division: | General Casual | Ŋ | |
|-----------------|-----------------------------|-----------------|---------|-----------------------------------|--|------------|-----------|---------------------------------|----------------|--------------|-------------------------------|
| Company: | James River Ir | surance Company | | Policy Exp | iration Date: | 08/16/2013 | | | | | |
| Claim #: | 00014912 Occurrence Date: 1 | | | 10/15/2012 | Case Description: Customer stepped backwards, tripped over and fell | | | ed backwards, tripped over cart | | | |
| Accident State: | CA | | | R | eported Date: | 11/05/2012 | | | | | |
| | | Claimant | Indemni | ty/Loss | Expe | nse | | Recov | /eries | | |
| Claimant | Status | Activity Status | Reserve | Paid | Reserve | Paid | Incurred | Deductible | All Other | Net Incurred | Coverage |
| Penny Henderson | Closed | Closed With Pay | 0.00 | 500.00 | 0.00 | 798.76 | 1,298.76 | 1,710.30 | 0.00 | -411.54 | Premises/Operations Liability |
| | | Claim Totals | 0.00 | 500.00 | 0.00 | 798.76 | 1,298.76 | 1,710.30 | 0.00 | -411.54 | |
| 2 | 1 | Policy Totals | 0.00 | 500.00 | 0.00 | 1,916.75 | 2,416.75 | 2,828.29 | 0.00 | -411.54 | |
| 3 | 1 | Account Totals | 0.00 | 27,638.80 | 0.00 | 4,704.41 | 32,343.21 | 5,328.29 | 0.00 | 27,014.92 | |

The information contained within the James River Loss Run is proprietary. The express purpose of the Loss Run is to aid the insured and its brokers in understanding the performance of their account and in the placement of their insurance coverage. Any other use or distribution is strictly prohibited by James River. Reserve amounts shown in this report are estimates only and are based on information known about the loss at the time estimates are made. Reserve amounts shown should not be construed as an admission of coverage or liability or a confirmation that any payment will be made. Reserve amounts are established at James River's sole discretion and are subject to change at any time without notice.

Page 2 of 2



CLAIMS ASSURE LOSS DETAIL REPORT

RUN DATE : 3/16/2016 11:58:47 AM

VAL DATE : 3/16/2016 4:30:27 AM

CLIENT NAME AND ADDRESS

UNIQUE RECYCLING OF CALIFORNIA, INC. DBA THRIFT STORE OUTLET DBA THRIFT CENTER THRIFT STORES DBA UNI

3274 Sonoma Blvd,40 Constitution Drive, Chico, CA-95973,Vallejo,CA-94590

PRODUCER NAME AND ADDRESS

HEFFERNAN - PETALUMA

101 2nd Street Suite 120,Petaluma,CA-94952

| | | REF | PORT SELECT OPT | IONS | | | | | | | | |
|---------------------|---------------------|-------------------|-----------------|-----------------|-------------------------------|--|--|--|--|--|--|--|
| | ACCOUNT NUMBER | 3277203 |] | POLICY YEARS | All Policies | | | | | | | |
| | ALL POLICIES | Х |] | | | | | | | | | |
| | CLAIM STATUS | ALL CLAIMS |] | VALUATION DATE | 3/16/2016 4:30:27 AM | | | | | | | |
| | CASE INCURRED RANGE | ALL CLAIMS |] | | | | | | | | | |
| REPORT DISTRIBUTION | | | | | | | | | | | | |
| | REQUEST LOCATION | C&F San Francisco |] | DATE OF REQUEST | 03/16/2016 | | | | | | | |
| | REQUESTER NAME | Robert Neville |] | DEPARTMENT NAME | Primary Casualty Underwriting | | | | | | | |
| | DISTRIBUTION TYPE | On Demand |] | PRIVACY | Producer | | | | | | | |
| | REPORT RECIPIENTS | | | | | | | | | | | |
| | COMMENTS | | | | | | | | | | | |



RUN DATE : 3/16/2016 11:58:47 AM

VAL DATE : 3/16/2016 4:30:27 AM

UNIQUE RECYCLING OF CALIFORNIA, INC. DBA THRIFT STORE OUTLET DBA THRIFT CENTER HEFFERNAN - PETALUMA THRIFT STORES DBA UNI

3274 Sonoma Blvd,40 Constitution Drive, Chico, CA-95973, Vallejo, CA-94590

101 2nd Street Suite 120, Petaluma, CA-94952

PRODUCER NAME AND ADDRESS:

| SIC CO | DDE : 5932 | POLICY NUM | BER : 1337 | 37655 | POLICY PE | RIOD: 6/26/20 | 15 To 6/26/2016 | | | 0 | | |
|------------------|------------------------|---------------------------------------|---------------|---------------------------|------------|------------------|------------------------|-----------------|------|------------------------------|---------------------------------------|-----------------|
| Policy Number | Claim Number | Claimant Name | | Injury/Property Damage | Dt - DOL | Dt - Reported | Outstanding Reserve | Total Paid Loss | ALAE | Salvage Subro Recovery | Total Incurred Loss and ALAE | Claim Status |
| 133737655 | NJU00606710 | UNIQUE RECYCLING CORPORATION OF CA | COLL | | 3/2/2016 | 3/4/2016 | \$5,000 | \$0 | \$0 | \$0 | \$5,000 | Open |
| Accident Narrat | tive : IV truck strucl | k on over hanging tree. | | | | | | | | | | |
| | Insured Driver: | A LARSON | | Accident State: | California | | | | | | | |
| Total For: | NJU00606710 | Location Code | | Department Code | | | \$5,000 | \$0 | \$0 | \$0 | \$5,000 | Open |
| 133737655 | NJU00606409 | UNIQUE RECYCLING CORPORATION OF CA | COLL | | 2/28/2016 | 3/1/2016 | \$1,000 | \$0 | \$0 | \$0 | \$1,000 | Open |
| Accident Narrat | tive : IV was rear er | nded by OV while at a stop lig | nt. | | | | | | | | | |
| | Insured Driver: | L ROMERO | - | Accident State: | California | | | | | | | |
| Total For: | NJU00606409 | Location Code | | Department Code | | | \$1,000 | \$0 | \$0 | \$0 | \$1,000 | Open |
| 133737655 | NJU00606139 | UNIQUE RECYCLING CORPORATION OF CA | COLL | | 2/24/2016 | 2/26/2016 | \$6,000 | \$0 | \$0 | \$0 | \$6,000 | Open |
| Accident Narrat | tive : IV was struck | by OV. OV hit insured truck or | n left rear s | side. | | | | | | | | |
| | Insured Driver: | K MURDOCK | _ | Accident State: | California | | | | | | | |
| Total For: | NJU00606139 | Location Code | | Department Code | | | \$6,000 | \$0 | \$0 | \$0 | \$6,000 | Open |
| 133737655 | NJU00605501 | Wymer | PROP | VEHICLE | 2/17/2016 | 2/18/2016 | \$0 | \$3,178 | \$0 | \$0 | \$3,178 | Closed |
| Accident Narrat | tive : IV backed into | OV. OV bumper damaged. | | | | | | | | | | |
| | Insured Driver: | J ESPINOZA | | Accident State: | California | | | | | | | |
| Total For: | NJU00605501 | Location Code | | Department Code | | | \$0 | \$3,178 | \$0 | \$0 | \$3,178 | Open |

| đ | CRUM & FO FAIRMONT : SENECA COVERX REDWOODS | SPECIALTY | | CLAIMS ASSUR | RE LOSS DI | ETAIL REPOR | т | | | : 3/16/2016 11: : 3/16/2016 4:30 | | |
|---------------|---|--|---------------|-----------------|------------|-------------|------------|----------|------------|-------------------------------------|---------|----------|
| 133737655 | NJU00604306 | UNIQUE RECYCLING CORPORATION OF CA | COMP | | 1/18/2016 | 2/2/2016 | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| Accident Narr | ative : Rock from roa | ad caused windshield chip. | | | | | | | | | | |
| | Insured Driver: | Y ROMERO | | Accident State: | California | _ | | | | | | |
| Total For: | NJU00604306 | Location Code | | Department Code | | | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| 133737655 | NJU00604307 | UNIQUE RECYCLING CORPORATION OF CA | COMP | | 1/10/2016 | 2/2/2016 | \$0 | \$316 | \$0 | \$0 | \$316 | Closed |
| Accident Narr | ative : Crack in wind | shield. | | | | | | | | | | |
| | Insured Driver: | | | Accident State: | 1 | | | | | | | |
| Total For: | NJU00604307 | Location Code | | Department Code | | | \$0 | \$316 | \$0 | \$0 | \$316 | Closed |
| 133737655 | NJU00604305 | UNIQUE RECYCLING CORPORATION OF CA | COMP | | 12/23/2015 | 2/2/2016 | \$0 | \$235 | \$0 | \$0 | \$235 | Closed |
| Accident Narr | ative : Rock from roa | ad caused windshield chip. | | | | | | | | | | |
| | Insured Driver: | J MENDOZA | | Accident State: | California | _ | | | | | | |
| Total For: | NJU00604305 | Location Code | | Department Code | | | \$0 | \$235 | \$0 | \$0 | \$235 | Closed |
| 133737655 | NJU00600648 | UNIQUE RECYCLING CORPORATION OF CA | COMP | | 12/4/2015 | 12/8/2015 | \$0 | \$294 | \$0 | \$0 | \$294 | Closed |
| Accident Narr | ative : Windshield Da | amage. | | | | | | | | | | |
| | Insured Driver: | R WELSH | | Accident State: | California | _ | | | | | | |
| Total For: | NJU00600648 | Location Code | | Department Code | | | \$0 | \$294 | \$0 | \$0 | \$294 | Closed |
| 133737655 | NJU00600872 | UNIQUE RECYCLING CORPORATION OF CA | COMP | | 12/2/2015 | 12/11/2015 | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| Accident Narr | ative : Windshield da | amaged. | | | | | | | | | | |
| | Insured Driver: | A MARTINEZ | | Accident State: | California | | | | | | | |
| Total For: | NJU00600872 | Location Code | | Department Code | | | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| 133737655 | NJU00602551 | Liu | PROP | VEHICLE | 12/1/2015 | 1/8/2016 | \$0 | \$2,533 | \$0 | \$0 | \$2,533 | Closed |
| | | | | | | _, _, | + - | +-/ | + - | + - | +=/ | |
| | ative : IV backed intended intende intended intended intende | | | Accident State: | California | | | | | | | |
| Total For: | NJU00602551 | Location Code | | Department Code | | | \$0 | \$2,533 | \$0 | \$0 | \$2,533 | Closed |
| | | ļ | | .l | | | | | | | · | <u> </u> |
| 133737655 | NJU00600284 | UNIQUE RECYCLING CORPORATION OF CA | COMP | | 11/24/2015 | 12/2/2015 | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| | | ************************************** | M76 ** | | d. 02/31/ | 16 Enteroc | V 05/21/16 | 17.04.37 | Dage 64 of | | | |

CRUM & FORSTER

****** Case of Contemporation Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Rage 64 of



CLAIMS ASSURE LOSS DETAIL REPORT

RUN DATE : 3/16/2016 11:58:47 AM

VAL DATE : 3/16/2016 4:30:27 AM

| Accident Nr | المناطقة والمناطقة والمناطقة | | | | | | 1 | 1 1 | | | 1 | |
|----------------|---|---------------------------------------|------------|------------------------|-------------------|------------|-----|----------|-----|----------|----------|----------|
| Accident Narra | ative : Windshield da Insured Driver | 5 | | Accident State: | California | | | | | | | |
| | | | r | | | 1 | 10 | + 40 | + • | | + 4 4 | |
| Total For: | NJU00600284 | Location Code | | Department Code | | | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| 133737655 | NJU00600277 | UNIQUE RECYCLING CORPORATION OF CA | COMP | | 11/17/2015 | 12/2/2015 | \$0 | \$0 | \$0 | \$0 | \$0 | Closed |
| Accident Narra | ative : Chipped wind | shield from rock from road. | | | | | | | | | | |
| | Insured Driver: | B ESTERKYN | _ | Accident State: | California | | | | | | | |
| Total For: | NJU00600277 | Location Code | | Department Code | | | \$0 | \$0 | \$0 | \$0 | \$0 | Closed |
| 133737655 | NJU00599842 | UNIQUE RECYCLING CORPORATION OF CA | COMP | | 11/2/2015 | 11/24/2015 | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| Accident Narra | ative : Windshield da | 5 | | | | | | | | | | |
| | Insured Driver | | r — | Accident State: | | | | | | | | |
| Total For: | NJU00599842 | Location Code | | Department Code | | | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| 133737655 | NJU00597547 | UNIQUE RECYCLING CORPORATION OF CA | COMP | | 10/1/2015 | 10/21/2015 | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| Accident Narra | ative : Chip in driver | | | | | | | | | | | |
| | Insured Driver | 1 | | Accident State: | 1 | | | | | | | |
| Total For: | NJU00597547 | Location Code | | Department Code | | | \$0 | \$60 | \$0 | \$0 | \$60 | Closed |
| 133737655 | NJU00596755 | UNIQUE RECYCLING CORPORATION OF CA | COLL | | 9/29/2015 | 10/9/2015 | \$0 | \$0 | \$0 | \$0 | \$0 | Closed |
| Accident Narra | ative : IV truck was | rear ended. | | | | | | | | | | |
| | Insured Driver: | l . | | Accident State: | California | | | | | | | |
| Total For: | NJU00596755 | Location Code | | Department Code | | | \$0 | \$0 | \$0 | \$0 | \$0 | Closed |
| 133737655 | NJU00591358 | Digennaro | PROP | VEHICLE | 7/23/2015 | 7/28/2015 | \$0 | \$1,715 | \$0 | \$0 | \$1,715 | Closed |
| | | 5 | | VEHICLE | | | | | | | | |
| 133737655 | NJU00591358 | UNIQUE RECYCLING CORPORATION OF CA | COLL | | 7/23/2015 | 7/28/2015 | \$0 | \$10,900 | \$0 | -\$2,200 | \$8,700 | Closed |
| 133737655 | NJU00591358 | UNIQUE RECYCLING CORPORATION OF CA | RENT | | 7/23/2015 | 7/28/2015 | \$0 | \$1,500 | \$0 | \$0 | \$1,500 | Closed |
| Accident Narra | ative : IV hit the par | ked OV, causing damage to the | e corner r | ear bumper on d/s. The | ere is reported o | damage. No | | | | | | |
| - | Insured Driver | B ESTERKYN | | Accident State: | California | | | | | | | |
| Total For: | NJU00591358 | Location Code | | Department Code | | | \$0 | \$14,115 | \$0 | -\$2,200 | \$11,915 | Closed |
| | | | | | | | l | | | | | <u> </u> |

****** Case ic 1 6 m 04 7 6 ** Doc# 1 Filed: 05/31/16 Entered: 05/31/16 17:04:37 Rage 65 of



CLAIMS ASSURE LOSS DETAIL REPORT

RUN DATE : 3/16/2016 11:58:47 AM

VAL DATE : 3/16/2016 4:30:27 AM

| Total Policy : 133737655 | \$ - Average Claim | | | | Total Paid Loss | ALAE | Salvage Subro | Total Incurred |
|--|--------------------|----------|----------|----------|-----------------|------|------------------|----------------|
| Policy Period : 6/26/2015 To 6/26/2016 | | Features | Features | Reserve | | | Recovery | Loss and ALAE |
| Auto Physical Damage | \$1,556 | 15 | 3 | \$12,000 | \$13,545 | \$0 | -\$2,200 | \$23,345 |
| Auto Property Damage | \$2,475 | 3 | 0 | \$0 | \$7,426 | \$0 | \$0 | \$7,426 |
| Total Claim File Count 16 | | | | | | | | |
| Totals | \$1,710 | 18 | 3 | \$12,000 | \$20,971 | \$0 | -\$2,200 | \$30,771 |
| * Claims with an O/S Reserve of \$1.00 reflect pending case ev | aluations | | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

Policy Overview

| Inception Date | Policy Number | Policy State | Med Claim | Ind Claim | Total Claim | Open Claim | Medical Paid | Medical Incurred | Indemnity Paid | Indemnity Incurred | Expense Paid | Expense Incurred | Total Paid | Total Incurred |
|-------------------|------------------|-----------------|--------------|--------------|----------------|---------------|-----------------|---------------------|-------------------|-----------------------|------------------|---------------------|--------------------|--------------------|
| 2015-05-16 | Z069822708 | CA | 20 | 2 | 22 | 2 | \$28,723 | \$49,167 | \$244 | \$17,906 | \$2,318 | \$16,313 | \$31,285 | \$83,386 |
| 2014-05-16 | Z069822707 | CA | 13 | 5 | 18 | 3 | \$68,600 | \$149,817 | \$25,668 | \$67,339 | \$6,966 | \$27,283 | \$101,234 | \$244,439 |
| 2013-05-16 | Z069822706 | CA | 13 | 5 | 18 | 1 | \$82,480 | \$124,608 | \$36,205 | \$47,585 | \$28,111 | \$31,783 | \$146,797 | \$203,976 |
| 2012-05-16 | Z069822705 | CA | 15 | 5 | 20 | 1 | \$103,250 | \$173,610 | \$54,968 | \$94,992 | \$50,951 | \$61,420 | \$209,169 | \$330,022 |
| 2011-05-16 | Z069822704 | CA | 18 | 3 | 21 | 0 | \$60,379 | \$60,379 | \$10,188 | \$10,188 | \$14,603 | \$14,603 | \$85,170 | \$85,170 |
| 2010-05-16 | Z069822703 | CA | 15 | 3 | 18 | 0 | \$61,382 | \$61,382 | \$8,418 | \$8,418 | \$16,523 | \$16,523 | \$86,323 | \$86,323 |
| 2009-05-16 | Z069822702 | CA | 7 | 5 | 12 | 0 | \$84,590 | \$84,590 | \$40,059 | \$40,059 | \$9,447 | \$9,447 | \$134,096 | \$134,096 |
| 2008-05-16 | Z069822701 | CA | 13 | 6 | 19 | 0 | \$160,020 | \$160,020 | \$80,978 | \$80,978 | \$9,132 | \$9,132 | \$250,130 | \$250,130 |
| Grand Total | | | <u>114</u> | <u>34</u> | <u>148</u> | <u>_</u> 7 | \$649,424 | <u>\$863,572</u> | \$256,728 | <u>\$367,465</u> | <u>\$138,052</u> | <u>\$186,505</u> | <u>\$1,044,203</u> | <u>\$1,417,542</u> |

| Claim # | 634439 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|----------------|------------------|--------------------------------------|---------|---------|--------------|----------|---|
| Policy # | Z069822708 | Injury | 90 Multiple Physical Injuries | Medical | \$1,184 | 1 \$0 | \$1,184 | EMPLOYEE WAS SORTING CLOTHING AND |
| Pol State & Inc Yr | 2015 - CA | Accident | 31 Slip Fall Trip NOC | Expense | \$112 | 2 \$0 | \$112 | SLIPPED ON THE FLOOR MAT AND FELL ON HER BACK, INJURING HER BACK AND |
| Claim Type | MEDICAL ONLY | Injury Date | 05/18/2015 10:10AM | Total | \$1,296 | \$0 | \$1,296 | ABDOMINAL AREA. |
| Claim Status | CLOSED | Reported Date | 05/18/2015 | | • | | | |
| Claimant Name | ROSA CARRASCO | Last Closed Date | 06/23/2015 | | | | | |
| Occupation | ON CALL PERSON | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 1,295.56 | | | | | |
| Claim # | 635982 | Part of Body | 58 Great Toe | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 10 Contusion | Medical | \$936 | 5 \$0 | \$936 | EMPLOYEE STATES A WOODEN PANEL FELL |
| Pol State & Inc Yr | 2015 - CA | Accident | 75 Falling or Flying Object | Expense | \$88 | 3 \$0 | \$88 | AND HIT HER RIGHT BIG TOE. |
| Claim Type | MEDICAL ONLY | Injury Date | 05/30/2015 11:30AM | Total | \$1,024 | \$0 | \$1,024 | |
| Claim Status | CLOSED | Reported Date | 06/02/2015 | | 1 | | | |
| Claimant Name | BLANCA ARREOLA | Last Closed Date | 08/14/2015 | | | | | |
| Occupation | CASHIER | Loss Location | 0009 - 820 E. Sheilds Ave. Fresno CA | | | | | |
| Litigation Flag | Ν | 93704 | 1,023.87 | | | | | |
| Claim # | 636631 | Part of Body | 33 Lower Arm | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 59 All Other | Medical | \$254 | 1 \$0 | \$254 | EMPLOYEE STATES HE WAS PUSHING A |
| Pol State & Inc Yr | 2015 - CA | Accident | 25 From Different Level | Expense | \$10 |) \$0 | \$10 | DOLLY LOADED WITH A TV UP A RAMP AND |
| Claim Type | MEDICAL ONLY | Injury Date | 06/03/2015 02:12PM | Total | \$264 | \$0 | \$264 | SLIPPED AND FELL; THE TV LANDED ON HIM. |
| Claim Status | CLOSED | Reported Date | 06/08/2015 | | I | | | |
| Claimant Name | PEDRO LEZAMA | Last Closed Date | 07/25/2015 | | | | | |
| Occupation | DRIVER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 264.10 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 641645 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
|----------------------------|------------------------|------------------------------|--------------------------------------|---------|---------|--------------|--------------|--|
| Policy # | Z069822708 | Injury | 90 Multiple Physical Injuries | Medical | \$2,217 | 7 \$0 | \$2,217 | THE EMPLOYEE WAS TAKING A BAG FROM |
| Pol State & Inc Yr | 2015 - CA | Accident | 66 Object Being Lifted | Expense | \$194 | 1 \$0 | \$194 | A CART WHEN SHE STRUCK HER LEFT ELBOW AND FOREARM RESULTING IN A |
| Claim Type | MEDICAL ONLY | Injury Date | 07/20/2015 11:30AM | Total | \$2,411 | \$0 | \$2,411 | CONTUSION |
| Claim Status | CLOSED | Reported Date | 07/23/2015 | | • | | | |
| Claimant Name | JOSEFINA ROMERO | Last Closed Date | 04/27/2016 | | | | | |
| Occupation | SORTER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 2,411.10 | | | | | |
| Claim # | 642886 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 10 Contusion | Medical | \$2,436 | 5 \$0 | \$2,436 | EMPLOYEE LIFTED A BOX FROM THE CART, |
| Pol State & Inc Yr | 2015 - CA | Accident | 75 Falling or Flying Object | Expense | \$255 | 5 \$0 | \$255 | THE BOXES BEHIND IT FELL AND HIT |
| Claim Type | MEDICAL ONLY | Injury Date | 08/04/2015 11:15AM | Total | \$2,691 | \$0 | \$2,691 | EMPLOYEE ON LOWER BACK. |
| Claim Status | CLOSED | Reported Date | 08/04/2015 | | I | | | |
| Claimant Name | RAQUEL SAAVEDRA | Last Closed Date | 01/22/2016 | | | | | |
| Occupation | MISC PRICER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 2,691.29 | | | | | |
| Claim # | 643104 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 52 Strain | Medical | \$837 | 7 \$0 | \$837 | THE EMPLOYEE WAS WALKING |
| Pol State & Inc Yr | 2015 - CA | Accident | 55 Holding or Carrying | Expense | \$119 | \$0 | \$119 | BACKWARDS OUT OF THE TRUCK WHILE |
| Claim Type | MEDICAL ONLY | Injury Date | 08/04/2015 07:50PM | Total | \$956 | \$0 | \$956 | CARRYING A PIECE OF FURNITURE WHEN HIS FOOT BECAME STUCK IN THE CRACK |
| Claim Status | CLOSED | Reported Date | 08/05/2015 | | I | | | BETWEEN THE LOADING DOCK AND THE |
| Claimant Name | RAFAEL CERVANTES | Last Closed Date | 09/01/2015 | | | | | TRUCK RESULTING IN A STRAIN TO HIS |
| Occupation | DRIVER | Loss Location | 0009 - 820 E. Sheilds Ave. Fresno CA | | | | | LEFT KNEE |
| Litigation Flag | Ν | 93704 | 956.30 | | | | | |
| Claim # | 645799 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 90 Multiple Physical Injuries | Medical | \$117 | 7 \$0 | \$117 | EMPLOYEE WAS PUTTING EMPTY HANGERS |
| Pol State & Inc Yr | 2015 - CA | Accident | 29 On Same Level | Expense | \$31 | L \$0 | \$31 | AWAY AND TRIPPED OVER A SUITCASE. |
| For State & file fi | | | 00/0C/001E 00.1EAM | Total | \$147 | \$0 | \$147 | EMPLOYEE RECEIVED INJURIES TO LEFT |
| Claim Type | MEDICAL ONLY | Injury Date | 08/26/2015 09:15AM | i o cui | φ_++/ | | | |
| | MEDICAL ONLY CLOSED | Injury Date Reported Date | 08/28/2015 09:15AM 08/28/2015 | lotal | 11 | ÷÷ | += | ARM AND SIDE PAIN FROM FALLING. |
| Claim Type | | | 08/28/2015 | Total | 414 | ֥ | +- ·· | ARM AND SIDE PAIN FROM FALLING. |
| Claim Type Claim Status | CLOSED | Reported Date | 08/28/2015 | . otal | μ | ÷ | + | ARM AND SIDE PAIN FROM FALLING. |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 646449 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-------------------|----------------------|--------------------------------------|-----------|---------|--------------|----------|--|
| Policy # | Z069822708 | Injury | 49 Sprain | Medical | \$343 | 3 \$0 | \$343 | EMPLOYEE'S RIGHT HAND IS SWELLING |
| Pol State & Inc Yr | 2015 - CA | Accident | 57 Pushing or Pulling | Expense | \$31 | \$0 | \$31 | AND HE HAS PAIN IN HIS RIGHT ARM FROM REPETITIVE WORK. |
| Claim Type | MEDICAL ONLY | Injury Date | 09/03/2015 12:01AM | Total | \$374 | \$0 | \$374 | FROM REPETITIVE WORK. |
| Claim Status | CLOSED | Reported Date | 09/03/2015 | I | | | | |
| Claimant Name | LUIS MONTES | Last Closed Date | 10/28/2015 | | | | | |
| Occupation | DRIVER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 374.10 | | | | | |
| Claim # | 646847 | Part of Body | 32 Elbow | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 52 Strain | Medical | \$1,514 | | \$1,514 | EMPLOYEE STATES HE WAS LIFTING A TV |
| Pol State & Inc Yr | 2015 - CA | Accident | 56 Lifting | Expense | \$173 | 3 \$0 | \$173 | FROM THE FLOOR TO THE SHELF WHEN HE |
| Claim Type | MEDICAL ONLY | Injury Date | 09/08/2015 | Total | \$1,687 | \$0 | - | FELT SHARP PAIN IN HIS RIGHT ELBOW. |
| Claim Status | CLOSED | Reported Date | 09/08/2015 | I | | | | |
| Claimant Name | JAIME ROLDAN | Last Closed Date | 12/31/2015 | | | | | |
| Occupation | ELECTRICAL PRICER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 1,687.21 | | | | | |
| Claim # | 649162 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 52 Strain | Medical | \$4,610 | ~ ~ | \$4,610 | EMPLOYEE STATES HE WAS LIFTING A |
| Pol State & Inc Yr | | Accident | 56 Lifting | Expense | \$279 | | | HEAVY BOX OF BOOKS TO LOAD INTO THE |
| Claim Type | MEDICAL ONLY | Injury Date | 09/29/2015 10:20AM | Total | \$4,890 | | 1 - | CONTAINER AND FELT A PAIN IN HIS LEFT SHOULDER. |
| Claim Status | CLOSED | Reported Date | 09/29/2015 | | + , | + - | + , | SHOULDER. |
| Claimant Name | JUAN TACZA | Last Closed Date | | | | | | |
| Occupation | BACK UP | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | N | 95973 | 4,889.50 | | | | | |
| | | | | | | | | |
| Claim # | 649306 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | | Accident Description: |
| Policy # | Z069822708 | Injury | 90 Multiple Physical Injuries | Medical | \$4,526 | | \$13,000 | WORKER WAS DRIVING TRUCK WHEN |
| Pol State & Inc Yr | 2015 - CA | Accident | 45 Collision with Another Vehicle | Indemnity | \$244 | | | REAR ENDED BY ANOTHER DRIVER ON HWY 101, NB. CHP OFFICER #15297 |
| Claim Type | INDEMNITY | Injury Date | 09/29/2015 03:20PM | Expense | \$383 | | | RESPONDED. THE OTHER DRIVER WAS AT |
| Claim Status | OPEN | Reported Date | 09/30/2015 | Total | \$5,153 | \$\$19,748 | \$24,900 | FAULT. POLICE REPORT WILL BE |
| Claimant Name | MARIO AGUIRRE | Last Closed Date | | | | | | AVAILABLE IN A COUPLE OF DAYS. A THIRD PARTY CAUSED WORKER'S |
| Occupation | DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | INJURIES. |
| | | | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 650657 | Part of Body | 35 Hand | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-----------------|----------------------|--------------------------------------|-----------|---------|------------|----------|---|
| Policy # | Z069822708 | Injury | 43 Puncture | Medical | \$2,887 | \$0 | \$2,887 | EMPLOYEE WAS SORTING THROUGH |
| Pol State & Inc Yr | 2015 - CA | Accident | 16 Hand Tool/Utensils Not Powered | Expense | \$92 | \$0 | \$92 | CLOTHING AND WAS STUCK BY A USED SYRINGE IN HER LEFT HAND. |
| Claim Type | MEDICAL ONLY | Injury Date | 10/12/2015 01:30PM | Total | \$2,978 | \$0 | \$2,978 | STRINGE IN HER LEFT HAND. |
| Claim Status | CLOSED | Reported Date | 10/12/2015 | • | | | | |
| Claimant Name | MIRTHA MIRANDA | Last Closed Date | 04/21/2016 | | | | | |
| Occupation | SORTER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 2,978.28 | | | | | |
| Claim # | 651110 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 90 Multiple Physical Injuries | Medical | \$459 | \$0 | \$459 | EMPLOYEE STATES SHE WAS OPENING THE |
| Pol State & Inc Yr | 2015 - CA | Accident | 79 Object Being Lifted | Expense | \$42 | \$0 | \$42 | GATE AND AS SHE WENT TO ROLL IT OPEN IT LEANED OVER AND HIT HER HEAD AND |
| Claim Type | MEDICAL ONLY | Injury Date | 10/14/2015 07:30AM | Total | \$501 | \$0 | \$501 | LEFT SHOULDER. |
| Claim Status | CLOSED | Reported Date | 10/15/2015 | ľ | | | | |
| Claimant Name | ROSARIO VELASCO | Last Closed Date | 12/08/2015 | | | | | |
| Occupation | STORE MANAGER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 501.09 | | | | | |
| Claim # | 653267 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 90 Multiple Physical Injuries | Medical | \$303 | \$0 | \$303 | THE EMPLOYEE WAS CARRYING EMPTY |
| Pol State & Inc Yr | 2015 - CA | Accident | 29 On Same Level | Expense | \$20 | \$0 | \$20 | HANGERS WHEN SHE TRIPPED OVER A BROOM RESULTING IN A SPRAIN TO HER |
| Claim Type | MEDICAL ONLY | Injury Date | 11/04/2015 01:15PM | Total | \$324 | \$0 | \$324 | LEFT WRIST AND HAND AS WELL AS AN |
| Claim Status | CLOSED | Reported Date | 11/05/2015 | • | | | | ABRASION TO THE LEFT KNEE |
| Claimant Name | GUADALUPE | Last Closed Date | 11/13/2015 | | | | | |
| Occupation | SORTER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 323.54 | | | | | |
| Claim # | 653601 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 49 Sprain | Medical | \$2,030 | \$11,970 | \$14,000 | EMPLOYEE STATES SHE WAS LIFTING A |
| Pol State & Inc Yr | 2015 - CA | Accident | 56 Lifting | Indemnity | \$0 | \$9,506 | \$9,506 | BAG THAT WAS TOO HEAVY FOR HER AND DROPPED IT CAUSING PAIN IN HER LEFT |
| Claim Type | INDEMNITY | Injury Date | 11/05/2015 12:01AM | Expense | \$122 | \$10,878 | \$11,000 | SHOULDER AND NECK. |
| Claim Status | OPEN | Reported Date | 11/09/2015 | Total | \$2,153 | \$32,354 | \$34,506 | |
| Claimant Name | MARIA LUQUIN | Last Closed Date | | ľ | | | | |
| Occupation | SORTER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| | | | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 654760 | Part of Body | 44 Chest | | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-------------------|------------------|------------------------|-----------------|---------|---------|--------------|----------|---|
| Policy # | Z069822708 | Injury | 10 Contusion | | Medical | \$23 | 1 \$0 | \$231 | |
| Pol State & Inc Yr | 2015 - CA | Accident | 68 Stationary Object | | Expense | \$3 | 1 \$0 | \$31 | WHEN BENDING OVER TO PICK SOMETHING UP |
| Claim Type | MEDICAL ONLY | Injury Date | 11/17/2015 11:25AM | | Total | \$262 | 2 \$0 | \$262 | SOMETHING OF |
| Claim Status | CLOSED | Reported Date | 11/19/2015 | | | | | | |
| Claimant Name | LIDIA SANCHEZ | Last Closed Date | 12/03/2015 | | | | | | |
| Occupation | SORTER | Loss Location | 0030 - 106 W. Main St. | Woodland, CA | | | | | |
| Litigation Flag | Ν | 95695 | | 261.97 | | | | | |
| Claim # | 655120 | Part of Body | 42 Low Back Area | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 52 Strain | | Medical | \$1 | 0 \$0 | \$10 | THE EMPLOYEE WAS LIFTING A TV INTO A |
| Pol State & Inc Yr | 2015 - CA | Accident | 56 Lifting | | Expense | \$10 | | \$10 | BOX WHEN HE FELT PAIN IN HIS MID BACK |
| Claim Type | MEDICAL ONLY | Injury Date | 11/23/2015 12:30PM | | Total | \$20 | 0 \$0 | \$20 | |
| Claim Status | CLOSED | Reported Date | 11/23/2015 | | | | | | |
| Claimant Name | RICHARD MEMMEL II | Last Closed Date | | | | | | | |
| Occupation | PRODUCTION | Loss Location | 0003 - 3274 Sonoma B | lvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | | 19.90 | | | | | |
| | | | | | | | | | |
| Claim # | 655761 | Part of Body | 42 Low Back Area | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 49 Sprain | | Medical | \$764 | 4 \$0 | \$764 | EMPLOYEE LIFTED A WATER COOLER INTO |
| Pol State & Inc Yr | 2015 - CA | Accident | 56 Lifting | | Expense | \$8 | 5 \$0 | \$85 | THE DUMPSTER AND FELT BACK PAIN. |
| Claim Type | MEDICAL ONLY | Injury Date | 11/30/2015 12:01AM | | Total | \$848 | 8 \$0 | \$848 | |
| Claim Status | CLOSED | Reported Date | 12/01/2015 | | | | | | |
| Claimant Name | LEONARDO RAMIREZ | Last Closed Date | 04/27/2016 | | | | | | |
| Occupation | ELECTRICAL PRICER | Loss Location | 0030 - 106 W. Main St. | Woodland, CA | | | | | |
| Litigation Flag | Ν | 95695 | | 848.22 | | | | | |
| Claim # | 657022 | Part of Body | 53 Knee | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 52 Strain | | Medical | \$1,95 | - | \$1,956 | EMPLOYEE WAS ON A LADDER IN THE |
| Pol State & Inc Yr | 2015 - CA | Accident | 26 From Ladder or Sca | affolding | Expense | \$130 | | \$136 | FRONT OF THE STORE HANGING A BANNER |
| Claim Type | MEDICAL ONLY | Injury Date | 12/12/2015 07:20AM | - | Total | \$2,092 | 2 \$0 | \$2,092 | WHEN HE FELL OFF THE LADDER, INJURING HIS LEFT KNEE. |
| Claim Status | CLOSED | Reported Date | 12/14/2015 | | | I | | | |
| Claimant Name | JOSHUA TIJERO | Last Closed Date | 04/05/2016 | | | | | | |
| | | Loss Location | 0004 - 12863 Hwy 145 | Madera CA | | | | | |
| Occupation | LABORER | LOSS LOCATION | 12005 IIWy 145 | , inducitu, chi | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 657746 | Part of Body | 36 Finger(s) | | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|----------------|----------------------|------------------------|----------------|---------|-------|------------|----------|--|
| Policy # | Z069822708 | Injury | 40 Laceration | | Medical | \$188 | \$0 | \$188 | EMPLOYEE WAS CUTTING UP CARDBOARD |
| Pol State & Inc Yr | 2015 - CA | Accident | 16 Hand Tool/Utensils | Not Powered | Expense | \$21 | \$0 | \$21 | BOXES WITH A BOX CUTTER WHEN HE CUT HIS RIGHT INDEX FINGER. |
| Claim Type | MEDICAL ONLY | Injury Date | 12/21/2015 01:30PM | | Total | \$208 | \$0 | \$208 | his kight index thisek. |
| Claim Status | CLOSED | Reported Date | 12/21/2015 | | | | | | |
| Claimant Name | LARRY GONZALEZ | Last Closed Date | 01/22/2016 | | | | | | |
| Occupation | BACK UP | Loss Location | 0030 - 106 W. Main St | . Woodland, CA | | | | | |
| Litigation Flag | Ν | 95695 | | 208.43 | | | | | |
| Claim # | 686771 | Part of Body | 36 Finger(s) | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 52 Strain | | Medical | \$537 | \$0 | \$537 | EMPLOYEE WAS PUSHING DOWN ON A |
| Pol State & Inc Yr | 2015 - CA | Accident | 57 Pushing or Pulling | | Expense | \$63 | \$0 | \$63 | SUITCASE HANDLE AND JAMMED HIS |
| Claim Type | MEDICAL ONLY | Injury Date | 02/12/2016 01:30PM | | Total | \$600 | \$0 | \$600 | RIGHT MIDDLE FINGER. |
| Claim Status | CLOSED | Reported Date | 02/12/2016 | | | | | | |
| Claimant Name | JOSEPH CHAVEZ | Last Closed Date | 04/05/2016 | | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0004 - 12863 Hwy 145 | , Madera, CA | | | | | |
| Litigation Flag | Ν | 93638 | | 600.32 | | | | | |
| Claim # | 694277 | Part of Body | 36 Finger(s) | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822708 | Injury | 43 Puncture | | Medical | \$384 | • \$0 | \$384 | EMPLOYEE WAS PRICING ITEMS WHEN A |
| Pol State & Inc Yr | 2015 - CA | Accident | 85 Animal or Insect | | Expense | \$22 | \$0 | \$22 | MOUSE RAN OUT OF A BAG AND BIT HER |
| Claim Type | MEDICAL ONLY | Injury Date | 04/14/2016 | | Total | \$406 | \$0 | \$406 | LEFT INDEX FINGER. |
| Claim Status | CLOSED | Reported Date | 04/17/2016 | | | | | | |
| Claimant Name | GUADALUPE | Last Closed Date | 04/19/2016 | | | | | | |
| Occupation | PRICER | Loss Location | 0004 - 12863 Hwy 145 | , Madera, CA | | | | | |
| Litigation Flag | Ν | 93638 | | 406.08 | | | | | |
| Claim # | 597478 | Part of Body | 90 Multiple Body Parts | S | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 90 Multiple Physical I | njuries | Medical | \$342 | \$0 | \$342 | EMPLOYEE SLIPPED ON A BANANA PEEL |
| Pol State & Inc Yr | 2014 - CA | Accident | 29 On Same Level | | Expense | \$51 | \$0 | \$51 | THAT WAS LEFT ON THE FLOOR. |
| Claim Type | MEDICAL ONLY | Injury Date | 05/19/2014 12:10PM | | Total | \$393 | \$0 | \$393 | EMPLOYEE HAS INJURIES TO BACK AND NECK. |
| Claim Status | CLOSED | Reported Date | 05/19/2014 | | | I | | | |
| Claimant Name | ADRIANA MARTIN | Last Closed Date | 06/17/2014 | | | | | | |
| | LADIES PRICER | Loss Location | 0003 - 3274 Sonoma E | Slvd Valleio | | | | | |
| Occupation | LADIES PRICER | LUSS LUCATION | 0005 5274 Sonoma L | nval, vancjo, | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 598841 | Part of Body | 34 Wrist | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-----------------|----------------------|--------------------------------------|-----------|----------------|------------|----------------|---|
| Policy # | Z069822707 | Injury | 90 Multiple Physical Injuries | Medical | \$17,097 | \$26,529 | \$43,627 | EMPLOYEE STATES SHE WAS PUTTING A |
| Pol State & Inc Yr | 2014 - CA | Accident | 55 Holding or Carrying | Indemnity | \$10,533 | \$13,124 | \$23,657 | PILE OF CLOTHING ON HER TABLE AND BENT HER RIGHT WRIST BACK. |
| Claim Type | INDEMNITY | Injury Date | 05/30/2014 10:00AM | Expense | \$726 | \$4,707 | \$5,433 | BENT HER RIGHT WRIST BACK. |
| Claim Status | OPEN | Reported Date | 06/02/2014 | Total | \$28,357 | \$44,360 | \$72,717 | |
| Claimant Name | LIDIA SANCHEZ | Last Closed Date | | • | | | | |
| Occupation | SORTER | Loss Location | 0030 - 106 W. Main St. Woodland, CA | | | | | |
| Litigation Flag | Y | 95695 | 72,717.26 | | | | | |
| Claim # | 598849 | Part of Body | 32 Elbow | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 49 Sprain | Medical | \$16,223 | | \$16,223 | EMPLOYEE WAS PUTTING EMPTY HANGERS |
| Pol State & Inc Yr | | Accident | 68 Stationary Object | Indemnity | \$6,421 | | | ON A RACK AND HIT HER RIGHT FUNNY |
| Claim Type | INDEMNITY | Injury Date | 06/01/2014 12:01AM | Expense | \$1,219 | | \$1,219 | BONE/ELBOW ON THE CORNER OF THE |
| Claim Status | CLOSED | Reported Date | 06/02/2014 | Total | \$23,863 | | | KAUN. |
| Claimant Name | MELANIE MELLIAR | Last Closed Date | | | <i>4_0,000</i> | 40 | <i>420,000</i> | |
| Occupation | NIGHT MANAGER | Loss Location | 0030 - 106 W. Main St. Woodland, CA | | | | | |
| Litigation Flag | N | 95695 | 23,863.37 | | | | | |
| | | | 23,003.37 | | | | | |
| Claim # | 607673 | Part of Body | 36 Finger(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 40 Laceration | Medical | \$307 | \$0 | \$307 | EMPLOYEE CUT TOP OF RIGHT MIDDLE |
| Pol State & Inc Yr | 2014 - CA | Accident | 19 Cut Puncture Scrape NOC | Expense | \$14 | \$0 | \$14 | FINGER. |
| Claim Type | MEDICAL ONLY | Injury Date | 08/21/2014 08:45AM | Total | \$321 | \$0 | \$321 | |
| Claim Status | CLOSED | Reported Date | 08/21/2014 | • | | | | |
| Claimant Name | BRIAN PANKRATZ | Last Closed Date | 10/08/2014 | | | | | |
| Occupation | WORKER | Loss Location | 0005 - 1346 W. Main St, Merced, CA | | | | | |
| Litigation Flag | Ν | 95340 | 321.23 | | | | | |
| Claim # | 609298 | Part of Body | 54 Lower Leg | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 52 Strain | Medical | \$175 | \$0 | \$175 | • |
| Pol State & Inc Yr | 2014 - CA | Accident | 57 Pushing or Pulling | Expense | \$10 | | • | LARGE CART FULL OF MERCHANDISE AND |
| Claim Type | MEDICAL ONLY | Injury Date | 08/22/2014 06:30PM | Total | \$186 | | · | FELT PAIN IN HIS RIGHT LEG. |
| Claim Status | CLOSED | Reported Date | 09/05/2014 | I | | 1.5 | | |
| Claimant Name | JOSE LEON | Last Closed Date | | | | | | |
| Occupation | DRIVER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 185.61 | | | | | |
| Litigation Flag | N | 959/3 | 185.61 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 611519 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
|--|---|--|---|--------------------|---------------|--------------|----------|--|
| Policy # | Z069822707 | Injury | 52 Strain | Medical | \$1,637 | \$0 | \$1,637 | THERESA WAS PUSHING A RACK OF |
| Pol State & Inc Yr | 2014 - CA | Accident | 30 Slipped, Did not Fall | Expense | \$113 | 3 \$0 | \$113 | CLOTHING, WHEN SHE STEPPED ON A PIECE OF CLOTHING THAT HAD FALLEN |
| Claim Type | MEDICAL ONLY | Injury Date | 09/24/2014 02:30PM | Total | \$1,750 | \$0 | \$1,750 | OFF THE RACK. THERESA SLIPPED AND |
| Claim Status | CLOSED | Reported Date | 09/25/2014 | • | | | | CAUGHT HERSELF FROM FALLING |
| Claimant Name | THERESA BEETS | Last Closed Date | 06/02/2015 | | | | | RESULTING IN A STRAIN TO HER LOWER BACK. |
| Occupation | PRICER | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | BACK |
| Litigation Flag | Ν | 95815 | 1,750.48 | | | | | |
| Claim # | 612607 | Part of Body | 20 Multiple Neck Injury | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 90 Multiple Physical Injuries | Medical | \$9,772 | \$18,649 | \$28,421 | EMPLOYEE IS HAVING PAIN IN HER HANDS |
| Pol State & Inc Yr | 2014 - CA | Accident | 97 Repetitive Motion | Indemnity | \$2,099 | \$17,242 | \$19,341 | AND FINGERS FROM REPETITIVE MOVEMENT |
| Claim Type | INDEMNITY | Injury Date | 10/03/2014 12:01AM | Expense | \$1,816 | \$8,204 | \$10,020 | MOVEMENT |
| Claim Status | OPEN | Reported Date | 10/06/2014 | Total | \$13,687 | \$44,096 | \$57,783 | |
| Claimant Name | MARIA LUQUIN | Last Closed Date | | I | | | | |
| Occupation | MISC PRICER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Y | 95973 | 57,782.72 | | | | | |
| Claim # | 613362 | Part of Body | 33 Lower Arm | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 52 Strain | Medical | \$549 | 9 \$0 | \$549 | EMPLOYEE STATES SHE HAS ARM PAIN |
| Pol State & Inc Yr | 2014 - CA | Accident | 55 Holding or Carrying | Expense | \$62 | 2 \$0 | \$62 | AND NUMBNESS FROM CARRYING TOO MANY GARMENTS AT ONE TIME. SHE DID |
| Claim Type | MEDICAL ONLY | Injury Date | 10/08/2014 12:01AM | Total | \$611 | \$0 | \$611 | NOT REPORT THE INJURY WHEN IT |
| Claim Status | CLOSED | Reported Date | 10/14/2014 | · | | | | HAPPENED BECAUSE SHE THOUGHT THE |
| Claimant Name | ADRIANA HERNANDEZ | Last Closed Date | 11/18/2014 | | | | | PAIN WOULD GO AWAY. |
| Occupation | LADIES PRICER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 610.84 | | | | | |
| | | Dout of Rody | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Claim # | 617547 | Part of Body | 42 LOW DACK AIEd | | | | | |
| Claim # Policy # | 617547 2069822707 | Injury | 52 Strain | Medical | \$488 | 3 \$0 | \$488 | WORKER WAS ORGANIZING HIS LOAD IN |
| | Z069822707 | • | | Medical Expense | | | | WORKER WAS ORGANIZING HIS LOAD IN THE REAR OF HIS TRUCK AND SLIPPED, |
| Policy # | Z069822707 | Injury | 52 Strain | | \$488 | <u>2</u> \$0 | \$42 | WORKER WAS ORGANIZING HIS LOAD IN |
| Policy # Pol State & Inc Yr | Z069822707 2014 - CA | Injury Accident | Strain Slipped, Did not Fall | Expense | \$488 \$42 | <u>2</u> \$0 | \$42 | WORKER WAS ORGANIZING HIS LOAD IN THE REAR OF HIS TRUCK AND SLIPPED, GRABBED THE STRAP TO THE ROLL UP DOOR TO KEEP FROM FALLING, SINCE HE WAS PARKED ON A HILL & SUSTAINED |
| Policy # Pol State & Inc Yr Claim Type | Z069822707 2014 - CA MEDICAL ONLY | Injury Accident Injury Date | 52 Strain 30 Slipped, Did not Fall 11/24/2014 04:55PM 11/25/2014 | Expense | \$488 \$42 | <u>2</u> \$0 | \$42 | WORKER WAS ORGANIZING HIS LOAD IN THE REAR OF HIS TRUCK AND SLIPPED, GRABBED THE STRAP TO THE ROLL UP DOOR TO KEEP FROM FALLING, SINCE HE |
| Policy # Pol State & Inc Yr Claim Type Claim Status | Z069822707 2014 - CA MEDICAL ONLY CLOSED | Injury Accident Injury Date Reported Date | 52 Strain 30 Slipped, Did not Fall 11/24/2014 04:55PM 11/25/2014 | Expense | \$488 \$42 | <u>2</u> \$0 | \$42 | WORKER WAS ORGANIZING HIS LOAD IN THE REAR OF HIS TRUCK AND SLIPPED, GRABBED THE STRAP TO THE ROLL UP DOOR TO KEEP FROM FALLING, SINCE HE WAS PARKED ON A HILL & SUSTAINED |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 618702 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-----------------|------------------|--------------------------------------|-----------|----------|------------|----------|--|
| Policy # | Z069822707 | Injury | 52 Strain | Medical | \$9,224 | \$0 | \$9,224 | EMPLOYEE STATES HE WAS IN A HURRY |
| Pol State & Inc Yr | 2014 - CA | Accident | 61 Wielding or Throwing | Indemnity | \$6,614 | \$0 | \$6,614 | AND RATHER THAN LOADING HIS TRUCK PROPERLY, HE WAS THROWING A BAG |
| Claim Type | INDEMNITY | Injury Date | 12/08/2014 04:30PM | Expense | \$843 | \$0 | \$843 | ONTO HIS TRUCK AND HURT HIS RIGHT |
| Claim Status | CLOSED | Reported Date | 12/09/2014 | Total | \$16,681 | \$0 | \$16,681 | SHOULDER. |
| Claimant Name | CARLOS PALACIOS | Last Closed Date | 10/09/2015 | • | | | | |
| Occupation | DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Y | 95060 | 16,680.70 | | | | | |
| Claim # | 621176 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 52 Strain | Medical | \$340 | \$0 | \$340 | EMPLOYEE WAS HELPING A CO-WORKER |
| Pol State & Inc Yr | 2014 - CA | Accident | 56 Lifting | Expense | \$51 | \$0 | \$51 | LIFT A TELEVISION AND FELT A PAIN IN |
| Claim Type | MEDICAL ONLY | Injury Date | 01/08/2015 08:00AM | Total | \$391 | \$0 | \$391 | HIS RIGHT SHOULDER. |
| Claim Status | CLOSED | Reported Date | 01/09/2015 | I | | | | |
| Claimant Name | THOMAS VALADEZ | Last Closed Date | 01/27/2015 | | | | | |
| Occupation | DRIVER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 390.99 | | | | | |
| Claim # | 623698 | Part of Body | 56 Foot | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 52 Strain | Medical | \$3,871 | \$0 | \$3,871 | EMPLOYEE STATES HE WAS HELPING LOAD |
| Pol State & Inc Yr | 2014 - CA | Accident | 60 Strain or Injury NOC | Expense | \$265 | \$0 | \$265 | A SHELF INTO A VEHICLE. HE STEPPED |
| Claim Type | MEDICAL ONLY | Injury Date | 02/04/2015 03:00PM | Total | \$4,137 | \$0 | \$4,137 | WRONG AND FELT PAIN IN HIS LEFT FOOT/ANKLE. |
| Claim Status | CLOSED | Reported Date | 02/05/2015 | I | | | | |
| Claimant Name | EMILIO ANDRES | Last Closed Date | 06/29/2015 | | | | | |
| Occupation | BACK UP | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | |
| Litigation Flag | Ν | 95815 | 4,136.56 | | | | | |
| Claim # | 624325 | Part of Body | 54 Lower Leg | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 43 Puncture | Medical | \$587 | | | EMPLOYEE WAS WALKING TO PICK UP |
| Pol State & Inc Yr | | Accident | 85 Animal or Insect | Expense | \$983 | | | DONATION AND WAS BITTEN BY A DOG |
| Claim Type | MEDICAL ONLY | Injury Date | 02/10/2015 02:10PM | Total | \$1,570 | | | ON HIS LEFT LEG |
| Claim Status | CLOSED | Reported Date | 02/11/2015 | I | | 1 - | | |
| Claimant Name | OSCAR HERNANDEZ | Last Closed Date | | | | | | |
| | | | | | | | | |
| Occupation | DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 626624 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-------------------|------------------|--------------------------------------|-----------|---------|------------|----------|---|
| Policy # | Z069822707 | Injury | 52 Strain | Medical | \$6,007 | \$36,038 | \$42,045 | EMPLOYEE STATES ON 6/26/14, SHE WAS |
| Pol State & Inc Yr | 2014 - CA | Accident | 29 On Same Level | Indemnity | \$0 | \$11,306 | \$11,306 | TAKING THE TRASH OUTSIDE AND SLIPPED ON A HANGER AND FELL TO HER |
| Claim Type | INDEMNITY | Injury Date | 06/26/2014 12:01AM | Expense | \$543 | \$7,405 | \$7,948 | KNEES. |
| Claim Status | OPEN | Reported Date | 03/05/2015 | Total | \$6,550 | \$54,750 | \$61,299 | |
| Claimant Name | IRENE BENITEZ | Last Closed Date | | • | | | | |
| Occupation | PRICER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 61,299.18 | | | | | |
| Claim # | 627445 | Part of Body | 35 Hand | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 52 Strain | Medical | \$437 | | \$437 | EMPLOYEE STATES ON 3/4/15, HER HAND |
| Pol State & Inc Yr | | Accident | 59 Using Tool or Machine | Expense | \$51 | | \$51 | STARTED HURTING BECAUSE SHE WAS |
| Claim Type | MEDICAL ONLY | Injury Date | 03/04/2015 12:01AM | Total | \$488 | | \$488 | STAPLING TOO MUCH. SHE DID NOT TELL HER SUPERVISOR BECAUSE SHE THOUGHT |
| Claim Status | CLOSED | Reported Date | 03/13/2015 | | + 100 | ψu | ÷ .00 | THE PAIN WOULD GO AWAY. ON 3/12/15, |
| Claimant Name | CECILIA MENDOZA | Last Closed Date | | | | | | SHE REPORTED THE INJURY TO HER |
| Occupation | KIDS/MENS PRICER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | SUPERVISOR AND SAID SHE WAS GOING TO THE DOCTOR. HER APPOINTMENT IS |
| Litigation Flag | Ν | 94533 | 487.77 | | | | | LATER TODAY. |
| | | | 10,, | | | | | |
| Claim # | 628338 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 10 Contusion | Medical | \$488 | \$0 | \$488 | |
| Pol State & Inc Yr | 2014 - CA | Accident | 81 Struck Injured by NOC | Expense | \$71 | \$0 | \$71 | CLOTHING HIT HIS KNEE CAUSING IT TO BEND THE WRONG DIRECTIONS. |
| Claim Type | MEDICAL ONLY | Injury Date | 03/19/2015 02:30PM | Total | \$559 | \$0 | \$559 | BEND THE WRONG DIRECTIONS. |
| Claim Status | CLOSED | Reported Date | 03/20/2015 | | | | | |
| Claimant Name | CLIFFORD DIXON | Last Closed Date | 06/05/2015 | | | | | |
| Occupation | PART TIME BACK UP | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 559.35 | | | | | |
| Claim # | 628764 | Part of Body | 35 Hand | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822707 | Injury | 43 Puncture | Medical | \$616 | \$0 | \$616 | EMPLOYEE WAS SORTING THROUGH A |
| Pol State & Inc Yr | 2014 - CA | Accident | 16 Hand Tool/Utensils Not Powered | Expense | \$71 | \$0 | \$71 | BACKPACK AND STUCK HER HAND WITH A |
| Claim Type | MEDICAL ONLY | Injury Date | 03/25/2015 04:00PM | Total | \$687 | \$0 | \$687 | USED SYRINGE. |
| Claim Status | CLOSED | Reported Date | 03/26/2015 | I | | | | |
| Claimant Name | VICTORIA MENO | Last Closed Date | 11/19/2015 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 687.36 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 634151 | Part of Body | 42 Low Back Area | | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-------------------|----------------------|-------------------------|---------------|---------|-------|-------------|----------|---|
| Policy # | Z069822707 | Injury | 52 Strain | | Medical | \$438 | 3 \$0 | \$438 | |
| Pol State & Inc Yr | 2014 - CA | Accident | 25 From Different Lev | el | Expense | \$35 | \$0 | \$35 | STAND ON LIFT GATE AND THE LIFT GATE HAD BEEN LOWERED AND HE FELL, |
| Claim Type | MEDICAL ONLY | Injury Date | 05/15/2015 12:00PM | | Total | \$474 | \$0 | \$474 | INJURING HIS BACK. |
| Claim Status | CLOSED | Reported Date | 05/15/2015 | | | • | | | |
| Claimant Name | LARRY GONZALEZ | Last Closed Date | 05/27/2015 | | | | | | |
| Occupation | PRICER | Loss Location | 0006 - 2507 Del Paso E | Blvd. Sac, CA | | | | | |
| Litigation Flag | Ν | 95815 | | 473.52 | | | | | |
| Claim # | 538427 | Part of Body | 42 Low Back Area | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | , Injury | 52 Strain | | Medical | \$169 | \$0 | \$169 | • |
| Pol State & Inc Yr | 2013 - CA | Accident | 56 Lifting | | Expense | \$31 | L \$0 | \$31 | STRAINED HIS RIGHT UPPER BACK. |
| Claim Type | MEDICAL ONLY | Injury Date | 07/29/2013 06:00PM | | Total | \$200 | \$0 | \$200 | |
| Claim Status | CLOSED | Reported Date | 07/31/2013 | | | I . | | | |
| Claimant Name | ANTONIO ARREDONDO | Last Closed Date | | | | | | | |
| Occupation | RIVER | Loss Location | 0002 - 2300 N. Texas, | Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | , | 199.68 | | | | | |
| | | | | | | | | | |
| Claim # | 571416 | Part of Body | 34 Wrist | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 43 Puncture | | Medical | \$661 | \$ 0 | \$661 | EMPLOYEE WAS CARRYING A BOX OF |
| Pol State & Inc Yr | 2013 - CA | Accident | 85 Animal or Insect | | Expense | \$71 | \$0 | \$71 | DONATIONS TO HIS TRUCK AND FELT A BITE/STING ON HIS LEFT WRIST. HE SET |
| Claim Type | MEDICAL ONLY | Injury Date | 09/03/2013 | | Total | \$732 | \$0 | \$732 | |
| Claim Status | CLOSED | Reported Date | 09/04/2013 | | | • | | | SMASHED A SPIDER. HIS ARM STARTED |
| Claimant Name | STEPHEN MANNING | Last Closed Date | 09/23/2013 | | | | | | SWELLING AND HE FELT NAUSEOUS. |
| Occupation | DRIVER | Loss Location | 0001 - 521 Front St., S | anta Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | | 731.93 | | | | | |
| Claim # | 573270 | Part of Body | 38 Shoulder(s) | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 52 Strain | | Medical | \$309 | | | • |
| Pol State & Inc Yr | 2013 - CA | Accident | 56 Lifting | | Expense | \$41 | | | TV AND CTRAINED LITCLEET CHOULDED |
| Claim Type | MEDICAL ONLY | Injury Date | 09/18/2013 11:00AM | | Total | \$349 | | | |
| Claim Status | CLOSED | Reported Date | 09/19/2013 | | | I | 1 - | | |
| Claimant Name | LUIS MONTES | Last Closed Date | | | | | | | |
| Occupation | DRIVER | Loss Location | 0010 - 1472 Bridge St. | Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 5 | 349.24 | | | | | |
| | | | | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 577134 | Part of Body | 39 Wrist(s) and Hand(s) | | Paid | Outstandin | Incurred | Accident Description: |
|-----------------------------|-----------------------------|----------------------|--------------------------------------|-----------|----------|------------|----------|--|
| Policy # | Z069822706 | Injury | 80 All Other Cumulative | Medical | \$20,628 | \$0 | \$20,628 | CUMULATIVE TRAUMA INJURY INVOLVING |
| Pol State & Inc Yr | 2013 - CA | Accident | 98 Cumulative Injury | Indemnity | \$4,840 | \$0 | \$4,840 | THE HANDS AND FINGERS. |
| Claim Type | INDEMNITY | Injury Date | 10/04/2013 12:01AM | Expense | \$4,706 | \$0 | \$4,706 | |
| Claim Status | CLOSED | Reported Date | 10/21/2013 | Total | \$30,174 | \$0 | \$30,174 | |
| Claimant Name | JUANA VELASQUEZ | Last Closed Date | 08/27/2014 | • | | | | |
| Occupation | CLOTHES SORTER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Y | 95060 | 30,173.79 | | | | | |
| Claim # | 580465 | Part of Body | 61 Abdomen Including Groin | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 52 Strain | Medical | \$1,101 | \$0 | \$1,101 | EMPLOYEE WAS UNLOADING TV'S AND |
| Pol State & Inc Yr | 2013 - CA | Accident | 56 Lifting | Expense | \$110 | \$0 | \$110 | FELT A PAIN IN HIS GROIN. HE DID NOT |
| Claim Type | MEDICAL ONLY | Injury Date | 11/25/2013 07:45AM | Total | \$1,211 | \$0 | \$1,211 | REPORT THE INJURY UNTIL 3:00 PM. |
| Claim Status | CLOSED | Reported Date | 11/26/2013 | I | | | | |
| Claimant Name | CHRISTOPHER ROMAN | Last Closed Date | 12/04/2013 | | | | | |
| Occupation | DRIVER | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | |
| Litigation Flag | Ν | 95815 | 1,210.92 | | | | | |
| | | | | | | | | |
| Claim # | 583388 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 90 Multiple Physical Injuries | Medical | \$15,167 | | \$57,294 | WHILE WALKING THE EE STEPPED ON A PIECE OF CARDBOARD CAUSING HIM TO |
| Pol State & Inc Yr | | Accident | 29 On Same Level | Indemnity | \$14,378 | | \$25,758 | SLIP AND FALL. THIS RESULTED IN A |
| Claim Type | INDEMNITY | Injury Date | 12/30/2013 04:30PM | Expense | \$8,014 | | · · | SPRAIN AND CONTUSION OF THE |
| Claim Status | OPEN | Reported Date | 01/02/2014 | Total | \$37,559 | \$57,179 | \$94,738 | KNEE/LEG. |
| Claimant Name | JOSE LEON | Last Closed Date | | | | | | |
| Occupation | DRIVER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Y | 95973 | 94,737.78 | | | | | |
| Claim # | 585657 | Part of Body | 31 Upper Arm | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 52 Strain | Medical | \$2,314 | · \$0 | \$2,314 | I/W SUSTAINED RIGHT ARM PAIN WHEN |
| Pol State & Inc Yr | 2013 - CA | Accident | 56 Lifting | Indemnity | \$600 | \$0 | \$600 | LIFTING A HIDE A BED BY HIMSELF AT A JOB SITE. |
| Claim Type | INDEMNITY | Injury Date | 01/24/2014 01:00PM | Expense | \$889 | \$0 | \$889 | JOD 311E. |
| Claim Status | CLOSED | Reported Date | 01/27/2014 | Total | \$3,803 | \$0 | \$3,803 | |
| | | | 01/23/2015 | 1 | | | | |
| Claimant Name | CHRISTOPHER ROMAN | Last Closed Date | 01/23/2013 | | | | | |
| Claimant Name Occupation | CHRISTOPHER ROMAN DRIVER | Last Closed Date | 0020 - 2432 Esplande, Chico, CA | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 587298 | Part of Body | 56 Foot | | | Paid | Outstandin | Incurred | Accident Description: |
|----------------------------|-----------------------------|-----------------------------------|-------------------------|---------------|-----------|----------|--------------|----------|---|
| Policy # | Z069822706 | Injury | 52 Strain | | Medical | \$284 | 4 \$0 | \$284 | IW STATES SHE WAS PUSHING THE |
| Pol State & Inc Yr | 2013 - CA | Accident | 57 Pushing or Pulling | | Expense | \$44 | 1 \$0 | \$44 | SHOPPING CARTS TOGETHER WHEN SHE HURT HER RIGHT FOOT |
| Claim Type | MEDICAL ONLY | Injury Date | 02/11/2014 01:38PM | | Total | \$328 | \$0 | \$328 | HORT HER RIGHT FOOT |
| Claim Status | CLOSED | Reported Date | 02/11/2014 | | ľ | | | | |
| Claimant Name | CAMERINA GARCIA | Last Closed Date | 03/18/2014 | | | | | | |
| Occupation | CASHIER | Loss Location | 0005 - 1346 W. Main S | t, Merced, CA | | | | | |
| Litigation Flag | Ν | 95340 | | 327.78 | | | | | |
| Claim # | 589084 | Part of Body | 42 Low Back Area | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 10 Contusion | | Medical | \$304 | 1 \$0 | \$304 | EMPLOYEE STATES HE SLIPPED AND FELL |
| Pol State & Inc Yr | 2013 - CA | Accident | 29 On Same Level | | Expense | \$61 | L \$0 | \$61 | OFF THE SIDEWALK WHILE JUMPING AWAY |
| Claim Type | MEDICAL ONLY | Injury Date | 02/27/2014 12:31PM | | Total | \$365 | | 1.5 | FROM A MOVING VEHICLE. THIS RESULTED IN A LOWER BACK CONTUSION |
| Claim Status | CLOSED | Reported Date | 02/28/2014 | | | | 7 - | 1 | IN A LOWER DACK CONTOSION |
| Claimant Name | PAUL AGULTO | Last Closed Date | | | | | | | |
| Occupation | DRIVER | Loss Location | 0001 - 521 Front St., S | anta Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | | 365.28 | | | | | |
| | | | | | | | | | |
| Claim # | 592824 | Part of Body | 38 Shoulder(s) | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 52 Strain | | Medical | \$17,780 |) \$0 | \$17,780 | |
| Pol State & Inc Yr | 2013 - CA | Accident | 56 Lifting | | Indemnity | \$10,198 | 3 \$0 | \$10,198 | |
| Claim Type | INDEMNITY | Injury Date | 02/04/2014 12:01AM | | Expense | \$1,106 | 5 \$0 | \$1,106 | |
| Claim Status | CLOSED | Reported Date | 04/07/2014 | | Total | \$29,084 | 1 \$0 | \$29,084 | |
| Claimant Name | CHRISTOPHER ROMAN | Last Closed Date | 01/23/2015 | | | | | | |
| Occupation | DRIVER | Loss Location | 0002 - 2300 N. Texas, | Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 29 | 9,083.78 | | | | | |
| Claim # | 593000 | Part of Body | 38 Shoulder(s) | | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 52 Strain | | Medical | \$143 | 3 \$0 | \$143 | THE EMPLOYEE WAS LIFTING A LARGE |
| Pol State & Inc Yr | 2013 - CA | Accident | 56 Lifting | | Expense | \$20 | \$0 | \$20 | BALE OF CLOTHES WHEN HE FELT PAIN IN |
| | MEDICAL ONLY | Injury Date | 04/03/2014 12:45PM | | Total | \$163 | 3 \$0 | \$163 | HIS RIGHT SHOULDER. |
| Claim Type | | | 04/07/2014 | | I | | | | |
| Claim Type Claim Status | CLOSED | Reported Date | 04/07/2014 | | | | | | |
| | CLOSED REYNALDO GONZALEZ | Reported Date Last Closed Date | | | | | | | |
| Claim Status | | • | | Yuba City, CA | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 593008 | Part of Body | 50 Multiple Lower Extremities | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-------------------|----------------------|-------------------------------------|-----------|----------|--------------|----------|--|
| Policy # | Z069822706 | Injury | 90 Multiple Physical Injuries | Medical | \$1,176 | 5 \$0 | \$1,176 | EMPLOYEE WAS HOLDING UP A PIECE OF |
| Pol State & Inc Yr | 2013 - CA | Accident | 79 Object Being Lifted | Expense | \$136 | 5 \$0 | \$136 | STEEL. HE LET GO OF IT, IT FELL AND HIT HIS RIGHT ROOT. |
| Claim Type | MEDICAL ONLY | Injury Date | 04/05/2014 10:30AM | Total | \$1,312 | 2 \$0 | \$1,312 | |
| Claim Status | CLOSED | Reported Date | 04/07/2014 | • | | | | |
| Claimant Name | ANTONIO HERNANDEZ | Last Closed Date | 08/20/2014 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0005 - 1346 W. Main St, Merced, CA | | | | | |
| Litigation Flag | Ν | 95340 | 1,311.84 | | | | | |
| Claim # | 594028 | Part of Body | 31 Upper Arm | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 52 Strain | Medical | \$1,053 | | | EMPLOYEE WAS TRYING TO STOP A HEAVY |
| Pol State & Inc Yr | 2013 - CA | Accident | 55 Holding or Carrying | Expense | \$122 | | | BOX FULL OF BOOKS AND GLASSES FROM |
| Claim Type | MEDICAL ONLY | Injury Date | 03/10/2014 12:01AM | Total | \$1,175 | | | FALLING, WHEN SHE FELT PAIN IN HER |
| Claim Status | CLOSED | Reported Date | 04/16/2014 | | <i>\</i> | ψŪ | <i> </i> | RIGHT ARM. |
| Claimant Name | CAROLINA RUIZ | Last Closed Date | | | | | | |
| Occupation | MISC PRICER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | N | 94533 | 1,175.11 | | | | | |
| | | | 1,1/5.11 | | | | | |
| Claim # | 594733 | Part of Body | 33 Lower Arm | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 52 Strain | Medical | \$18,142 | 2 \$0 | \$18,142 | EMPLOYEE STATES SHE INJURED HER LEFT |
| Pol State & Inc Yr | 2013 - CA | Accident | 55 Holding or Carrying | Indemnity | \$6,189 | \$0 | \$6,189 | ARM BECAUSE SHE WAS CARRYING TOO MANY GARMENTS. |
| Claim Type | INDEMNITY | Injury Date | 03/19/2014 12:01AM | Expense | \$12,363 | L \$0 | \$12,361 | HANT GARPENTS. |
| Claim Status | CLOSED | Reported Date | 04/23/2014 | Total | \$36,691 | L \$0 | \$36,691 | |
| Claimant Name | SUSANA VALDOVINOS | Last Closed Date | 08/17/2015 | | | | | |
| Occupation | LADIES PRICER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Y | CA 94590 | 36,690.90 | | | | | |
| Claim # | 594925 | Part of Body | 34 Wrist | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | , Injury | 52 Strain | Medical | \$2,17 | 7 \$0 | \$2,177 | EMPLOYEE STATES SHE HAS RIGHT WRIST |
| Pol State & Inc Yr | 2013 - CA | Accident | 97 Repetitive Motion | Expense | \$259 | | \$259 | PAIN FROM EXCESSIVE WRITING/USE OF |
| Claim Type | MEDICAL ONLY | Injury Date | 04/25/2014 09:30AM | Total | \$2,436 | 5 \$0 | \$2,436 | HAND. |
| Claim Status | CLOSED | Reported Date | 04/25/2014 | I | | 1 - | | |
| Claimant Name | DALANIA ALARCON | Last Closed Date | | | | | | |
| Occupation | CLERICAL | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | N | 95926 | 2,436.29 | | | | | |
| | | | 2,730.23 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 595753 | Part of Body | 35 Hand | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|------------------|----------------------|--------------------------------------|---------|-------|--------------|----------|--|
| Policy # | Z069822706 | Injury | 52 Strain | Medical | \$470 |) \$0 | \$470 | EMPLOYEE STATES HER LEFT HAND HURTS |
| Pol State & Inc Yr | 2013 - CA | Accident | 55 Holding or Carrying | Expense | \$76 | 5 \$0 | \$76 | BETWEEN HER THUMB AND FOREFINGER FROM CARRYING TOO MANY GARMENTS. |
| Claim Type | MEDICAL ONLY | Injury Date | 05/01/2014 12:01AM | Total | \$546 | 5 \$O | \$546 | TROM CARCEING TOO MANT GARMENTS. |
| Claim Status | CLOSED | Reported Date | 05/02/2014 | | | | | |
| Claimant Name | ROSA HERNANDEZ | Last Closed Date | 06/23/2014 | | | | | |
| Occupation | PRICER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 545.98 | | | | | |
| Claim # | 596312 | Part of Body | 65 Insufficient Information | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 52 Strain | Medical | \$518 | 3 \$0 | \$518 | |
| Pol State & Inc Yr | 2013 - CA | Accident | 25 From Different Level | Expense | \$54 | 1 \$0 | \$54 | THE DUMP WHEN HE FELL OFF HIS TRUCK. EMPLOYEE HAS SCIATIC PAIN FROM |
| Claim Type | MEDICAL ONLY | Injury Date | 03/24/2014 10:00AM | Total | \$572 | <u> </u> | \$572 | FALLING. |
| Claim Status | CLOSED | Reported Date | 05/08/2014 | | | | | |
| Claimant Name | CARLOS PALACIOS | Last Closed Date | 08/15/2014 | | | | | |
| Occupation | DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | 572.01 | | | | | |
| Claim # | 596686 | Part of Body | 56 Foot | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822706 | Injury | 10 Contusion | Medical | \$87 | 7 \$0 | \$87 | THE EMPLOYEE WAS HELPING A |
| Pol State & Inc Yr | 2013 - CA | Accident | 79 Object Being Lifted | Expense | \$10 | \$0 | \$10 | CO-WORKER MOVE A LARGE, HEAVY CART WHEN THE CART ACCIDENTALLY ROLLED |
| Claim Type | MEDICAL ONLY | Injury Date | 05/12/2014 10:30AM | Total | \$97 | \$0 | \$97 | OVER HER RIGHT FOOT CAUSING PAIN. |
| Claim Status | CLOSED | Reported Date | 05/12/2014 | | | | | |
| Claimant Name | ESTEFANIA ROSAS | Last Closed Date | 06/30/2014 | | | | | |
| Occupation | SORTER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 97.13 | | | | | |
| Claim # | 495342 | Part of Body | 14 Eye(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 59 All Other | Medical | \$237 | 7 \$0 | \$237 | EMPLOYEE STATES HE WAS LOADING A |
| Pol State & Inc Yr | 2012 - CA | Accident | 75 Falling or Flying Object | Expense | \$20 | \$0 | \$20 | SHIPPING CONTAINER WHEN A TENT POLE FELL AND STRUCK HIM IN HIS RIGHT EYE. |
| Claim Type | MEDICAL ONLY | Injury Date | 06/19/2012 04:30PM | Total | \$257 | \$0 | \$257 | TELE AND STRUCK HIM IN HIS RIGHT ETE. |
| Claim Status | CLOSED | Reported Date | 06/27/2012 | | | | | |
| Claimant Name | JULIO BERROTERAN | Last Closed Date | 07/14/2012 | | | | | |
| Occupation | DRIVER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 257.17 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 496507 | Part of Body | 52 Thigh | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------------------|-------------------------|----------------------|--------------------------------------|-----------|----------------------|------------|---------------|---|
| Policy # | Z069822705 | Injury | 10 Contusion | Medical | \$445 | \$0 | \$445 | EMPLOYEE WAS ON A STEP STOOL |
| Pol State & Inc Yr | 2012 - CA | Accident | 75 Falling or Flying Object | Expense | \$33 | \$0 | \$33 | UNLOADING BAGS OF CLOTHING FROM A SHIPPING CONTAINER. A BAG FELL AND |
| Claim Type | MEDICAL ONLY | Injury Date | 07/06/2012 02:30PM | Total | \$479 | \$0 | \$479 | HIT HER CAUSING HER TO FALL OFF THE |
| Claim Status | CLOSED | Reported Date | 07/09/2012 | • | | | | STEP STOOL. WHEN SHE LANDED SHE HI |
| Claimant Name | JOSELYN SANCHEZ | Last Closed Date | 07/24/2012 | | | | | A METAL CART BRUSING HER THIGH. |
| Occupation | MANAGER | Loss Location | 0005 - 1346 W. Main St, Merced, CA | | | | | |
| Litigation Flag | Ν | 95340 | 478.57 | | | | | |
| Claim # | 497358 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 59 All Other | Medical | \$6,254 | \$70,360 | \$76,614 | EMPLOYEE STATES HE WAS IN THE BACK |
| Pol State & Inc Yr | 2012 - CA | Accident | 29 On Same Level | Indemnity | \$3,879 | \$40,024 | \$43,904 | OF HIS TRUCK, LOADING IT. HE SLIPPED ON SOME LOTION THAT HAD SPILLED, CAUSING HIM TO FALL. HE HAS PAIN IN |
| Claim Type | INDEMNITY | Injury Date | 07/13/2012 10:30AM | Expense | \$6,707 | \$10,469 | \$17,177 | |
| Claim Status | OPEN | Reported Date | 07/17/2012 | Total | \$16,841 | \$120,854 | \$137,694 | HIS RIGHT KNEE. |
| Claimant Name | JULIO BERROTERAN | Last Closed Date | | I | | - | | |
| Occupation | DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Y | 95060 | 137,694.01 | | | | | |
| Ola i # | 500001 | Dowt of Rody | 34 Wrist | | Paid | Outstandin | Thousand | Assidant Description: |
| Claim # | 500661 | Part of Body | | Medical | | | | |
| Policy # Pol State & Inc Yr | Z069822705 2012 - CA | Injury Accident | 40 Laceration 15 Broken Glass | Medical | \$240 | | \$240 \$61 | WHEN EMPLOYEE WAS LIFTING A COPY MACHINE, THE GLASS BROKE AND CUT |
| | | | | Expense | \$61 \$302 | 1 - | \$01 | HIS WRIST. |
| Claim Type | MEDICAL ONLY | Injury Date | 08/15/2012 09:20AM | Total | \$302 | \$U | \$302 | |
| Claim Status | CLOSED | Reported Date | 08/15/2012 | | | | | |
| Claimant Name | JORGE GARCIA | Last Closed Date | | | | | | |
| Occupation | BACK UP | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 301.51 | | | | | |
| Claim # | 501478 | Part of Body | 52 Thigh | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 52 Strain | Medical | \$2,109 | | \$2,109 | EMPLOYEE STATES HE WAS STEPPING |
| Pol State & Inc Yr | 2012 - CA | Accident | 60 Strain or Injury NOC | Expense | \$362 | · · · · | \$362 | OVER FULL BAGS AND SLIPPED. |
| Claim Type | MEDICAL ONLY | Injury Date | 08/16/2012 02:30PM | Total | \$2,472 | \$0 | \$2,472 | |
| Claim Status | CLOSED | Reported Date | 08/22/2012 | | | | | |
| Claimant Name | BRYAN REHM | Last Closed Date | 08/08/2013 | | | | | |
| Occupation | DRIVER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 2,471.63 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 501781 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|--------------------|------------------|-------------------------------------|------------|----------|------------|----------|---|
| Policy # | Z069822705 | Injury | 90 Multiple Physical Injuries | Medical | \$13,533 | \$0 | \$13,533 | EMPLOYEE STATES HE WAS STANDING ON |
| Pol State & Inc Yr | 2012 - CA | Accident | 25 From Different Level | Expense | \$1,273 | \$0 | \$1,273 | THE LIFT GATE OF HIS TRUCK TRYING TO AVOID A PALLET. HE PRESSED HIS FOOT |
| Claim Type | MEDICAL ONLY | Injury Date | 08/24/2012 08:50AM | Total | \$14,806 | \$0 | \$14,806 | AGAINST THE DUMPSTER TO STEADY |
| Claim Status | CLOSED | Reported Date | 08/24/2012 | • | | | | HIMSELF AND THE DUMPTSER MOVED |
| Claimant Name | DEREK SALMON | Last Closed Date | 02/27/2014 | | | | | CAUSING HIM TO FALL OFF THE LIFT GAT INJURIES AREA THORACIC AND KNEE |
| Occupation | DRIVER | Loss Location | 0030 - 106 W. Main St. Woodland, CA | | | | | STRAIN, ANKLE SPRAIN |
| Litigation Flag | Ν | 95695 | 14,805.82 | | | | | |
| Claim # | 503958 | Part of Body | 55 Ankle | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 49 Sprain | Medical | \$1,492 | \$0 | \$1,492 | EMPLOYEE WAS STANDING INT HE BACK |
| Pol State & Inc Yr | 2012 - CA | Accident | 25 From Different Level | Indemnity | \$37 | \$0 | \$37 | OF HIS TRUCK, UNLOADING IT AND FELL |
| Claim Type | INDEMNITY | Injury Date | 09/13/2012 09:30AM | Expense | \$102 | \$0 | \$102 | OFF, SPRAINING HIS LEFT ANKLE. |
| Claim Status | CLOSED | Reported Date | 09/13/2012 | Total | \$1,632 | \$0 | \$1,632 | |
| Claimant Name | PEDRO ROMAN, JR | Last Closed Date | 12/06/2012 | 1 | | | | |
| Occupation | DRIVER | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | |
| Litigation Flag | Ν | 95815 | 1,631.52 | | | | | |
| | 50000 | Death of Deaths | | | Del 1 | 0 | • | |
| Claim # | 509206 | Part of Body | 36 Finger(s) | Marillan I | Paid | Outstandin | | |
| Policy # | Z069822705 | Injury | 49 Sprain | Medical | \$240 | | | EMPLOYEE WAS UNLOADING THE TRUCK AND INJURED HIS FINGER BETWEEN A |
| Pol State & Inc Yr | | Accident | 13 Caught in NOC | Expense | \$41 | | • | BOX AND A CART |
| Claim Type | MEDICAL ONLY | Injury Date | 10/17/2012 08:15AM | Total | \$282 | \$0 | \$282 | |
| Claim Status | CLOSED | Reported Date | 11/02/2012 | | | | | |
| Claimant Name | JOSE LUIS ESPINOZA | Last Closed Date | | | | | | |
| Occupation | TRUCK DRIVER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 281.74 | | | | | |
| Claim # | 512477 | Part of Body | 56 Foot | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 10 Contusion | Medical | \$430 | \$0 | \$430 | , , , |
| Pol State & Inc Yr | 2012 - CA | Accident | 79 Object Being Lifted | Expense | \$76 | \$0 | \$76 | WAS TOO HEAVY FOR HIM AND HE DROPPED IT ON HIS RIGHT FOOT. |
| Claim Type | MEDICAL ONLY | Injury Date | 12/07/2012 06:00PM | Total | \$506 | \$0 | \$506 | |
| Claim Status | CLOSED | Reported Date | 12/10/2012 | · | | | | |
| Claimant Name | ANTONIO HERNANDEZ | Last Closed Date | 01/07/2013 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0005 - 1346 W. Main St, Merced, CA | | | | | |
| Litigation Flag | Ν | 95340 | 505.65 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 513026 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-------------------|----------------------|--------------------------------------|-----------|----------|--------------|----------|--|
| Policy # | Z069822705 | Injury | 10 Contusion | Medical | \$2,08 | 5 \$0 | \$2,085 | EMPLOYEE STATES WHILE CLOSING GATE, |
| Pol State & Inc Yr | 2012 - CA | Accident | 75 Falling or Flying Object | Expense | \$143 | 3 \$0 | \$143 | THE GATE FELL ON HER BACK CAUSING PAIN. |
| Claim Type | MEDICAL ONLY | Injury Date | 12/16/2012 10:45AM | Total | \$2,229 | \$0 | \$2,229 | FAIN. |
| Claim Status | CLOSED | Reported Date | 12/17/2012 | • | | | | |
| Claimant Name | MARIA ORTIZ | Last Closed Date | 04/04/2013 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 2,228.61 | | | | | |
| Claim # | 513152 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 90 Multiple Physical Injuries | Medical | \$16,06 | 5 \$0 | \$16,065 | EMPLOYEE WAS STEPPING OUT OF THE |
| Pol State & Inc Yr | 2012 - CA | Accident | 30 Slipped, Did not Fall | Indemnity | \$9,460 |) \$0 | \$9,460 | BACK OF HIS TRUCK AND SLIPPED ON THE |
| Claim Type | INDEMNITY | Injury Date | 12/14/2012 01:30PM | Expense | \$7,860 |) \$0 | \$7,860 | BUMPER. HE GRABBED THE HAND RAIL TO STOP HIS FALL AND FELT PAIN IN HIS |
| Claim Status | CLOSED | Reported Date | 12/17/2012 | Total | \$33,385 | \$0 | \$33,385 | |
| Claimant Name | JOHN HARRIS | Last Closed Date | 05/29/2014 | ľ | | | | |
| Occupation | DRIVER-DONATION | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Y | CA 94590 | 33,385.28 | | | | | |
| Claim # | 515468 | Part of Body | 61 Abdomen Including Groin | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 34 Hernia | Medical | \$6,006 | 5 \$0 | \$6,006 | EMPLOYEE STATES HE WAS CARRYING A |
| Pol State & Inc Yr | 2012 - CA | Accident | 56 Lifting | Indemnity | \$1,739 | \$0 | \$1,739 | HEAVY BOX AND HAD A STRANGE FEELING IN HIS STOMACH. |
| Claim Type | INDEMNITY | Injury Date | 01/14/2013 06:00PM | Expense | \$179 | \$0 | \$179 | IN HIS STOMACH. |
| Claim Status | CLOSED | Reported Date | 01/16/2013 | Total | \$7,924 | \$0 | \$7,924 | |
| Claimant Name | GERMAN MENDOZA | Last Closed Date | 05/29/2013 | • | | | | |
| Occupation | ELECTRICAL PRICER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | 7,923.83 | | | | | |
| Claim # | 515699 | Part of Body | 32 Elbow | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 52 Strain | Medical | \$458 | 3 \$0 | \$458 | , |
| Pol State & Inc Yr | 2012 - CA | Accident | 25 From Different Level | Expense | \$72 | 2 \$0 | \$72 | IN LOADING DOCK, REACHING FOR A BOX FROM HIS TRUCK, HE FELL THROUGH |
| Claim Type | MEDICAL ONLY | Injury Date | 01/17/2013 | Total | \$531 | \$0 | \$531 | CRACK BETWEEN TRUCK AND LOADING |
| Claim Status | CLOSED | Reported Date | 01/18/2013 | | | | | DOCK, SUSTAINING INJURIES TO HIS LEFT |
| Claimant Name | DEREK SALMON | Last Closed Date | 01/31/2013 | | | | | WRIST, ELBOW AND SHOULDER. |
| Occupation | GENERAL LABOR | Loss Location | 0030 - 106 W. Main St. Woodland, CA | | | | | |
| | Ν | 95695 | 530.53 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 516062 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-------------------|----------------------|--------------------------------------|---------|---------|--------------|----------|--|
| Policy # | Z069822705 | Injury | 52 Strain | Medical | \$92 | 3 \$0 | \$928 | EMPLOYEE STATES HE WAS MOVING |
| Pol State & Inc Yr | 2012 - CA | Accident | 57 Pushing or Pulling | Expense | \$9! | 5 \$0 | \$95 | BOXES INSIDE OF THE SHIPPING CONTAINER AND FELT PAIN IN HIS LOWER |
| Claim Type | MEDICAL ONLY | Injury Date | 01/22/2013 08:25AM | Total | \$1,023 | \$ \$0 | \$1,023 | BACK. HE REFUSED MEDICAL TREATMENT |
| Claim Status | CLOSED | Reported Date | 01/23/2013 | | | | | THE DAY OF HIS INJURY. HE SAID HE |
| Claimant Name | SILVANO CERVANTES | Last Closed Date | 03/26/2013 | | | | | JUST WANTED TO GO HOME AND REST. |
| Occupation | CONTAINER | Loss Location | 0004 - 12863 Hwy 145, Madera, CA | | | | | |
| Litigation Flag | Ν | 93638 | 1,023.40 | | | | | |
| Claim # | 516570 | Part of Body | 55 Ankle | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 52 Strain | Medical | \$21 | 9 \$0 | \$219 | EMPLOYEE FELL OFF LOADING DOCK AND |
| Pol State & Inc Yr | 2012 - CA | Accident | 25 From Different Level | Expense | \$103 | 3 \$0 | \$103 | INJURED AN UNKNOWN BODY PART. |
| Claim Type | MEDICAL ONLY | Injury Date | 01/28/2013 06:30PM | Total | \$322 | | · | |
| Claim Status | CLOSED | Reported Date | 01/29/2013 | | · · | 1 - | | |
| Claimant Name | DEREK SALMON | Last Closed Date | 02/22/2013 | | | | | |
| Occupation | DRIVER | Loss Location | 0030 - 106 W. Main St. Woodland, CA | | | | | |
| Litigation Flag | Ν | 95695 | 321.77 | | | | | |
| | | | | | | | | |
| Claim # | 516868 | Part of Body | 34 Wrist | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 52 Strain | Medical | \$140 | 5 \$0 | \$146 | EMPLOYEE STATES HE WAS LIFTING LARGE |
| Pol State & Inc Yr | 2012 - CA | Accident | 56 Lifting | Expense | \$10 |) \$0 | | BALES OF CLOTHING AND HIS WRIST POPPED. |
| Claim Type | MEDICAL ONLY | Injury Date | 01/30/2013 10:00AM | Total | \$150 | 5 \$0 | \$156 | |
| Claim Status | CLOSED | Reported Date | 01/31/2013 | | | | | |
| Claimant Name | GERARDO QUEZADA | Last Closed Date | 05/07/2013 | | | | | |
| Occupation | BACK UP | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 156.30 | | | | | |
| Claim # | 517534 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 52 Strain | Medical | \$1,40 | 1 \$0 | \$1,401 | EMPLOYEE STATES HE WAS CARRYING A |
| Pol State & Inc Yr | 2012 - CA | Accident | 55 Holding or Carrying | Expense | \$146 | 5 \$0 | \$146 | TABLE AND WHEN HE SET IT DOWN HE |
| Claim Type | MEDICAL ONLY | Injury Date | 02/05/2013 06:00PM | Total | \$1,547 | 7 \$0 | \$1,547 | TWEAKED HIS BACK. |
| Claim Status | CLOSED | Reported Date | 02/07/2013 | | | | | |
| Claimant Name | LUIS CORONADO | Last Closed Date | 03/29/2013 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | |
| | | | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 521163 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-----------------|----------------------|--------------------------------------|-----------|----------------|------------|----------|---|
| Policy # | Z069822705 | Injury | 52 Strain | Medical | \$8,890 | \$0 | \$8,890 | A WOMAN CRASHED HER VEHICLE |
| Pol State & Inc Yr | 2012 - CA | Accident | 50 Motor Vehicle NOC | Expense | \$17,647 | \$0 | \$17,647 | THROUGH THE FRONT OF THE STORE. OUR EMPLOYEE SAW THE VEHICLE AND |
| Claim Type | MEDICAL ONLY | Injury Date | 03/17/2013 01:00PM | Total | \$26,538 | \$0 | \$26,538 | STARTING RUNNING AND FELL DOWN. AT |
| Claim Status | CLOSED | Reported Date | 03/18/2013 | • | | | | HER REQUEST, SHE WAS TAKEN BY |
| Claimant Name | ALMA OROZCO | Last Closed Date | 02/01/2016 | | | | | AMBULANCE TO THE HOSPITAL. EMPLOYEE REPORTS BACK INJURY |
| Occupation | CASHIER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Y | CA 94590 | 26,537.55 | | | | | |
| Claim # | 523517 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 90 Multiple Physical Injuries | Medical | \$492 | \$0 | \$492 | EMPLOYEE WAS ON A LADDER PUTTING |
| Pol State & Inc Yr | 2012 - CA | Accident | 58 Reaching | Expense | \$102 | \$0 | \$102 | SOMETHING ON A TOP SHELF. THE |
| Claim Type | MEDICAL ONLY | Injury Date | 04/10/2013 01:00PM | Total | \$594 | \$0 | \$594 | LADDER STARTED TO SLIP CAUSING PAIN IN HER LEFT ARM. |
| Claim Status | CLOSED | Reported Date | 04/10/2013 | I | | | | |
| Claimant Name | LILIA GARCIA | Last Closed Date | 06/21/2013 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 593.84 | | | | | |
| Claim # | 529702 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822705 | Injury | 59 All Other | Medical | \$41,579 | | \$41,579 | EMPLOYEE STATES SHE WAS ASSAULTED |
| Pol State & Inc Yr | | Accident | 89 Robbery or Crime Assault | Indemnity | \$39,852 | | \$39,852 | WHILE TRYING TO STOP A SHOPLIFTER. |
| Claim Type | INDEMNITY | Injury Date | 10/21/2012 10:40AM | Expense | \$15,916 | | \$15,916 | |
| Claim Status | CLOSED | Reported Date | 06/07/2013 | Total | \$97,347 | | | |
| Claimant Name | ARLENE PEREZ | Last Closed Date | | | <i>+••</i> ,•• | ÷÷ | +==,== | |
| Occupation | NIGHT MANAGER | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | |
| Litigation Flag | Y | 95815 | 97,346.76 | | | | | |
| | | | | | | | | |
| Claim # | 461662 | Part of Body | 42 Low Back Area | | Paid | Outstandin | | Accident Description: |
| Policy # | Z069822704 | Injury | 52 Strain | Medical | \$762 | | \$762 | INJURED WORKER WAS EMPTYING A FULL TRASH CAN INTO THE DUMPSTER AND |
| Pol State & Inc Yr | | Accident | 56 Lifting | Expense | \$112 | | | STRAINED HER BACK |
| Claim Type | MEDICAL ONLY | Injury Date | 07/04/2011 10:30AM | Total | \$874 | \$0 | \$874 | |
| Claim Status | CLOSED | Reported Date | 07/06/2011 | | | | | |
| Claimant Name | MARIA RODRIGUEZ | Last Closed Date | | | | | | |
| Occupation | SORTER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | N | 95973 | 873.79 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 461670 | Part of Body | 31 Upper Arm | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|----------------|----------------------|------------------------------------|-----------|----------|------------------|----------|--|
| Policy # | Z069822704 | Injury | 10 Contusion | Medical | \$656 | \$0 | \$656 | EMPLOYEE WAS PULLING A VERY LARGE |
| Pol State & Inc Yr | 2011 - CA | Accident | 12 Object Handled | Expense | \$89 | \$0 | \$89 | CART FULL OF MERCHANDISE WHILE ANOTHER EMPLOYEE WAS PUSHING. TH |
| Claim Type | MEDICAL ONLY | Injury Date | 07/05/2011 06:00PM | Total | \$746 | i \$0 | \$746 | CART PUSHED HER ARM INTO THE DOOR |
| Claim Status | CLOSED | Reported Date | 07/06/2011 | | | | | |
| Claimant Name | MICAELA RIVERA | Last Closed Date | 09/14/2011 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0005 - 1346 W. Main St, Merced, CA | | | | | |
| Litigation Flag | Ν | 95340 | 745.85 | | | | | |
| Claim # | 462065 | Part of Body | 55 Ankle | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 49 Sprain | Medical | \$31,748 | 3 \$0 | \$31,748 | |
| Pol State & Inc Yr | 2011 - CA | Accident | 55 Holding or Carrying | Indemnity | \$7,53 | 7 \$0 | \$7,537 | ITEMS TO HIS TRUCK AND TWISTED HIS |
| Claim Type | INDEMNITY | Injury Date | 07/11/2011 11:45AM | Expense | \$3,848 | 3 \$0 | \$3,848 | RIGHT ANKLE |
| Claim Status | CLOSED | Reported Date | 07/11/2011 | Total | \$43,132 | 2 \$0 | \$43,132 | |
| Claimant Name | JOSE LEON | Last Closed Date | 05/19/2014 | I | | | | |
| Occupation | DRIVER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Y | 95926 | 43,132.18 | | | | | |
| Claim # | 463151 | Part of Body | 55 Ankle | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 49 Sprain | Medical | \$543 | 3 \$0 | \$543 | EMPLOYEE WAS SORTING CLOTHING, |
| Pol State & Inc Yr | 2011 - CA | Accident | 53 Twisting | Expense | \$65 | 5 \$0 | \$65 | TURNED AND LOST BALANCE TWISTING THE LEFT ANKLE |
| Claim Type | MEDICAL ONLY | Injury Date | 07/21/2011 08:00AM | Total | \$608 | \$ \$0 | \$608 | THE LEFT ANKLE |
| Claim Status | CLOSED | Reported Date | 07/21/2011 | ľ | | | | |
| Claimant Name | IRMA ALVARADO | Last Closed Date | 08/15/2011 | | | | | |
| Occupation | SORTER | Loss Location | 0008 - 2735 Waterloo Rd, Stockton, | | | | | |
| Litigation Flag | Ν | CA 95205 | 607.96 | | | | | |
| Claim # | 465283 | Part of Body | 91 Body System & Mult. Systems | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 19 Electric Shock | Medical | \$772 | 2 \$0 | \$772 | EMPLOYEE WAS PLUGGING IN A LAMP TO |
| ol State & Inc Yr | 2011 - CA | Accident | 99 Other Injury NOC | Expense | \$3: | \$0 | \$31 | SEE IF IT WORKED AND WAS SHOCKED. |
| Claim Type | MEDICAL ONLY | Injury Date | 08/11/2011 01:45PM | Total | \$802 | 2 \$0 | \$802 | |
| laim Status | CLOSED | Reported Date | 08/11/2011 | I | | | | |
| Claimant Name | ADRIANA MARTIN | Last Closed Date | 08/24/2011 | | | | | |
| Occupation | CASHIER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| | Ν | CA 94590 | 802.44 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 470321 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|---------------------|----------------------|--------------------------------------|---------|-------|--------------|----------|--|
| Policy # | Z069822704 | Injury | 90 Multiple Physical Injuries | Medical | \$543 | 3 \$0 | \$543 | |
| Pol State & Inc Yr | 2011 - CA | Accident | 60 Strain or Injury NOC | Expense | \$102 | 2 \$0 | \$102 | NUMBNESS IN HER RIGHT THUMB AND WRIST FROM REPETATIVE USE OF RIGHT |
| Claim Type | MEDICAL ONLY | Injury Date | 09/30/2011 01:30PM | Total | \$64 | 5 \$0 | \$645 | HAND. |
| Claim Status | CLOSED | Reported Date | 10/04/2011 | | • | | | |
| Claimant Name | TENETTE QUINTANILLA | Last Closed Date | 01/18/2012 | | | | | |
| Occupation | CLERICAL | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | 645.02 | | | | | |
| Claim # | 470633 | Part of Body | 56 Foot | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | , Injury | 40 Laceration | Medical | \$52 | 1 \$0 | \$521 | EMPLOYEE WAS HELPING HIS COWORKERS |
| Pol State & Inc Yr | 2011 - CA | Accident | 79 Object Being Lifted | Expense | \$52 | | \$52 | PUSH THE DUMPSTER. INSTEAD OF |
| Claim Type | MEDICAL ONLY | Injury Date | 10/06/2011 08:49AM | Total | \$573 | | \$573 | PUSHING FROM BEHIND LIKE THE OTHERS, HE DECIDED TO WALK TO THE FRONT. |
| Claim Status | CLOSED | Reported Date | 10/06/2011 | | 1 | r - | 1 | THAT'S WHEN THE DUMPSTER RAN OVER |
| Claimant Name | SERGIO BARRERA | Last Closed Date | | | | | | HIS LEFT FOOT. |
| Occupation | CONTAINER LOADER | Loss Location | 0009 - 820 E. Sheilds Ave. Fresno CA | | | | | |
| Litigation Flag | N | 93704 | 573.23 | | | | | |
| <u> </u> | | | 0.0.20 | | | | | |
| Claim # | 470766 | Part of Body | 34 Wrist | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 10 Contusion | Medical | \$859 | 9 \$0 | \$859 | EMPLOYEE WAS LIFTING A NIGHT STAND |
| Pol State & Inc Yr | 2011 - CA | Accident | 79 Object Being Lifted | Expense | \$112 | 2 \$0 | \$112 | TO STACK ON TOP OF ANOTHER NIGHT STAND. THE NIGHT STAND WAS TOO |
| Claim Type | MEDICAL ONLY | Injury Date | 10/06/2011 07:00PM | Total | \$971 | L \$0 | \$971 | HEAVY FOR HER AND IT FELL AND HIT HER |
| Claim Status | CLOSED | Reported Date | 10/07/2011 | | • | | | RIGHT WRIST. |
| Claimant Name | LILIA GARCIA | Last Closed Date | 11/29/2011 | | | | | |
| Occupation | NIGHT SUPERVISOR | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | 971.07 | | | | | |
| Claim # | 471115 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 52 Strain | Medical | \$299 | 9 \$0 | \$299 | EMPLOYEE WAS LIFTING A BOX AND FELT |
| Pol State & Inc Yr | 2011 - CA | Accident | 56 Lifting | Expense | \$6 | | | A PAIN IN HIS LOW BACK |
| Claim Type | MEDICAL ONLY | Injury Date | 10/11/2011 02:00PM | Total | \$360 | | | |
| Claim Status | CLOSED | Reported Date | 10/12/2011 | | 1 | 1 - | | |
| Claimant Name | BRYAN REHM | Last Closed Date | | | | | | |
| Occupation | DRIVER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | N | CA 94590 | 359.85 | | | | | |
| | | | 559.65 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 472624 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|----------------------|----------------------|-------------------------------------|---------|--------------|------------|----------------------|--|
| Policy # | Z069822704 | Injury | 52 Strain | Medical | \$379 | \$0 | \$379 | |
| Pol State & Inc Yr | 2011 - CA | Accident | 79 Object Being Lifted | Expense | \$81 | L \$0 | \$81 | CLOTHING OUT TO THE SALES FLOOR WHEN THE WHEEL CAME OFF. THE RACK |
| Claim Type | MEDICAL ONLY | Injury Date | 10/26/2011 02:00PM | Total | \$460 | \$0 | \$460 | HIT HER LEFT SHOULDER. |
| Claim Status | CLOSED | Reported Date | 10/27/2011 | | | | | |
| Claimant Name | MARIA NICOLAS | Last Closed Date | 01/30/2012 | | | | | |
| Occupation | PRICER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallej | 0, | | | | |
| Litigation Flag | Ν | CA 94590 | 460.34 | | | | | |
| Claim # | 474809 | Part of Body | 36 Finger(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 10 Contusion | Medical | \$352 | 2 \$0 | \$352 | EMPLOYEE WAS LIFTING A NIGHT STAND |
| Pol State & Inc Yr | 2011 - CA | Accident | 66 Object Being Lifted | Expense | \$81 | L \$0 | \$81 | TO PLACE IT ON TOP OF ANOTHER NIGHT |
| Claim Type | MEDICAL ONLY | Injury Date | 11/20/2011 11:00AM | Total | \$434 | \$0 | \$434 | STAND, AND IF FELL AND PINCHED HER RIGHT PINKY FINGER |
| Claim Status | CLOSED | Reported Date | 11/22/2011 | I | | | | |
| Claimant Name | LILIA GARCIA | Last Closed Date | 12/13/2011 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallej | 0, | | | | |
| Litigation Flag | Ν | CA 94590 | 433.69 | | | | | |
| Claim # | 475240 | Part of Body | 56 Foot | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 10 Contusion | Medical | \$688 | | | EMPLOYEE WAS HELPING A CO-WORKER |
| Pol State & Inc Yr | | Accident | 79 Object Being Lifted | Expense | \$41 | | | MOVE A LARGE CART FULL OF CLOTHING |
| Claim Type | MEDICAL ONLY | Injury Date | 11/28/2011 04:20PM | Total | \$729 | | | WHEN THE WHEEL OF THE CART RAN OVER HIS LEFT FOOT. |
| Claim Status | CLOSED | Reported Date | 11/29/2011 | 1 | + | τ, τ | <i>47 - 2</i> | HIS LEFT FOOT. |
| Claimant Name | LUIS GARCIA | Last Closed Date | | | | | | |
| Occupation | BACK UP | Loss Location | 0002 - 2300 N. Texas, Fairfield, C. | A | | | | |
| Litigation Flag | N | 94533 | 728.80 | | | | | |
| Claim # | 475276 | Part of Body | 31 Upper Arm | 1 | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | 4/52/6 Z069822704 | Injury | 10 Contusion | Medical | \$1,293 | | \$1,293 | EMPLOYEE WAS WALKING BACKWARDS |
| Pol State & Inc Yr | | Accident | 68 Stationary Object | Expense | \$818 | | | AND BUMPED HIS RIGHT ARM INTO A |
| Claim Type | MEDICAL ONLY | Injury Date | 10/07/2011 01:00PM | Total | \$2,112 | | · | SMALL METAL CART |
| Claim Status | CLOSED | Reported Date | 11/29/2011 | | <i>42/11</i> | | <i>~~/**</i> | |
| Claimant Name | JORGE GONZALEZ | Last Closed Date | | | | | | |
| Occupation | BACK UP | Loss Location | 0005 - 1346 W. Main St, Merced, | CA | | | | |
| Litigation Flag | N | 95340 | 2,111.62 | | | | | |
| Linguiton mag | | 55570 | 2,111.02 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 476787 | Part of Body | 44 Chest | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|------------------|----------------------|--------------------------------------|-----------|----------|--------------|----------|--|
| Policy # | Z069822704 | Injury | 10 Contusion | Medical | \$1,743 | 3 \$0 | \$1,743 | EMPLOYEE WAS UNLOADING HIS TRUCK |
| Pol State & Inc Yr | 2011 - CA | Accident | 79 Object Being Lifted | Indemnity | \$651 | \$0 | \$651 | WHEN A TABLE FELL AND HIT HIM ON HIS RIGHT SIDE. |
| Claim Type | INDEMNITY | Injury Date | 12/15/2011 05:45PM | Expense | \$196 | \$0 | \$196 | Right Side. |
| Claim Status | CLOSED | Reported Date | 12/16/2011 | Total | \$2,590 | \$0 | \$2,590 | |
| Claimant Name | FILIBERTO PORRAS | Last Closed Date | 04/03/2012 | • | | | | |
| Occupation | DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | 2,590.33 | | | | | |
| Claim # | 482034 | Part of Body | 36 Finger(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 43 Puncture | Medical | \$495 | 5 \$0 | \$495 | EMPLOYEE WAS MOVING FURNITURE AND |
| Pol State & Inc Yr | 2011 - CA | Accident | 17 Object Being Lifted | Expense | \$102 | <u>\$</u> | \$102 | A PIECE OF WOOD BECAME LOOSE AND |
| Claim Type | MEDICAL ONLY | Injury Date | 02/15/2012 01:30PM | Total | \$597 | \$0 | \$597 | PUNCTURED HIS LEFT HAND INDEX FINGER |
| Claim Status | CLOSED | Reported Date | 02/17/2012 | | | | | |
| Claimant Name | LILIA GARCIA | Last Closed Date | 03/07/2012 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 596.88 | | | | | |
| Claim # | 484029 | Part of Body | 57 Toe(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 10 Contusion | Medical | \$14,804 | \$0 | \$14,804 | EMPLOYEE WAS PUSHING A CART WITH A |
| Pol State & Inc Yr | 2011 - CA | Accident | 79 Object Being Lifted | Indemnity | \$2,000 | \$0 | \$2,000 | TV ON IT. THE CART WAS TOO SMALL AND BROKE CAUSING THE TV TO FALL AND HIS |
| Claim Type | INDEMNITY | Injury Date | 03/07/2012 | Expense | \$2,027 | 7 \$0 | \$2,027 | EMPLOYEE'S RIGHT FOOT. |
| Claim Status | CLOSED | Reported Date | 03/08/2012 | Total | \$18,831 | \$0 | \$18,831 | |
| Claimant Name | JUAN RAMIREZ | Last Closed Date | 04/04/2014 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0008 - 2735 Waterloo Rd, Stockton, | | | | | |
| Litigation Flag | Y | CA 95205 | 18,830.99 | | | | | |
| Claim # | 485859 | Part of Body | 44 Chest | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 49 Sprain | Medical | \$172 | 2 \$0 | \$172 | EMPLOYEE WAS LIFTING A BOX OF BOOKS |
| Pol State & Inc Yr | 2011 - CA | Accident | 53 Twisting | Expense | \$20 | \$0 | \$20 | TO LOAD INTO HIS TRUCK. THE BOTTOM |
| Claim Type | MEDICAL ONLY | Injury Date | 03/26/2012 01:15PM | Total | \$193 | \$0 | \$193 | FELL OUT OF THE BOX AND WHEN HE TWISTED, HE STRAINED HIS LEFT SIDE. |
| Claim Status | CLOSED | Reported Date | 03/27/2012 | I | | | | · · · · · · · · · · · · · · · · · · · |
| Claimant Name | PEDRO PANTOJA | Last Closed Date | 04/04/2012 | | | | | |
| | | | | | | | | |
| Occupation | DRIVER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 488309 | Part of Body | 33 Lower Arm | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|------------------|----------------------|--------------------------------------|---------|---------|--------------|----------|---|
| Policy # | Z069822704 | Injury | 40 Laceration | Medical | \$19 | \$0 | \$191 | |
| Pol State & Inc Yr | 2011 - CA | Accident | 15 Broken Glass | Expense | \$1 | \$0 | \$11 | FIXTURE IN TO THE BIN AT THE DUMP. IT HAD A BROKEN BULB AND WHEN HE |
| Claim Type | MEDICAL ONLY | Injury Date | 04/20/2012 08:30AM | Total | \$201 | L \$0 | \$201 | PICKED IT UP TO TOSS IT, THE GLASS CUT |
| Claim Status | CLOSED | Reported Date | 04/20/2012 | | | | | HIS ARM. |
| Claimant Name | GEORGE SKANDERUP | Last Closed Date | 06/18/2012 | | | | | |
| Occupation | DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | 201.24 | | | | | |
| Claim # | 489762 | Part of Body | 57 Toe(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 13 Crushing | Medical | \$91 | 7 \$0 | \$917 | EMPLOYEE WAS HELPING ANOTHER |
| Pol State & Inc Yr | 2011 - CA | Accident | 12 Object Handled | Expense | \$6 | L \$0 | \$61 | PERSON (NOT AN EMPLOYEE) PUSH A |
| Claim Type | MEDICAL ONLY | Injury Date | 05/04/2012 12:30PM | Total | \$978 | - | | PIANO TO BE LOADED INTO HIS TRUCK. THE PIANO ROLLED OFF THE CURB AND |
| Claim Status | CLOSED | Reported Date | 05/04/2012 | | | | | FELL ON HIS TOES/FOOT. |
| Claimant Name | PEDRO ROMAN | Last Closed Date | 06/07/2012 | | | | | |
| Occupation | DRIVER | Loss Location | 0007 - 2346 Florin Rd, Sacramento,CA | | | | | |
| Litigation Flag | Ν | 95815 | 977.82 | | | | | |
| | | | | | | | | |
| Claim # | 490054 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 90 Multiple Physical Injuries | Medical | \$1,440 |) \$0 | \$1,440 | |
| Pol State & Inc Yr | 2011 - CA | Accident | 60 Strain or Injury NOC | Expense | \$113 | 3 \$0 | \$113 | TO RIGHT SHOULDER/ARM TENDONS |
| Claim Type | MEDICAL ONLY | Injury Date | 05/02/2012 01:00PM | Total | \$1,553 | \$0 | \$1,553 | |
| Claim Status | CLOSED | Reported Date | 05/08/2012 | | | | | |
| Claimant Name | LYNDA GATES | Last Closed Date | 08/01/2012 | | | | | |
| Occupation | CLERK | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 1,553.47 | | | | | |
| Claim # | 500823 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822704 | Injury | 80 All Other Cumulative | Medical | \$1,20 |) \$0 | \$1,200 | INSUFFICIENT INFORMATION TO |
| Pol State & Inc Yr | 2011 - CA | Accident | 98 Cumulative Injury | Expense | \$6,580 |) \$0 | \$6,580 | PROPERLY IDENTIFY |
| Claim Type | MEDICAL ONLY | Injury Date | 06/08/2011 | Total | \$7,780 | \$0 | \$7,780 | |
| Claim Status | CLOSED | Reported Date | 08/16/2012 | | I | | | |
| Claimant Name | PABLO RABAGO | Last Closed Date | 07/20/2015 | | | | | |
| Occupation | WORKER | Loss Location | 0009 - 820 E. Sheilds Ave. Fresno CA | | | | | |
| | | | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 429210 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|------------------|----------------------|--------------------------------------|---------|--------|--------------|----------|--|
| Policy # | Z069822703 | Injury | 52 Strain | Medical | \$19 | 5 \$0 | \$195 | |
| Pol State & Inc Yr | 2010 - CA | Accident | 56 Lifting | Expense | \$3 | 5 \$0 | \$35 | LIFTING AND LOADING BAGS AND OR BOXES ONTO HIS TRUCK. |
| Claim Type | MEDICAL ONLY | Injury Date | 05/31/2010 01:30PM | Total | \$23 | \$0 | \$230 | BOXES ONTO THIS TROCK. |
| Claim Status | CLOSED | Reported Date | 06/03/2010 | • | | | | |
| Claimant Name | LUIS MONTES | Last Closed Date | 08/03/2010 | | | | | |
| Occupation | TRUCK DRIVER | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 229.88 | | | | | |
| Claim # | 429223 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 59 All Other | Medical | \$1,92 | 3 \$0 | \$1,923 | EMPLOYEE WAS GETTING READY TO MOVE |
| Pol State & Inc Yr | 2010 - CA | Accident | 29 On Same Level | Expense | \$14 | 1 \$0 | \$141 | SOME BALES OF CLOTHING WHEN HE |
| Claim Type | MEDICAL ONLY | Injury Date | 06/02/2010 08:30PM | Total | \$2,06 | 4 \$0 | \$2,064 | SLIPPED AND FELL, LANDING ON HIS BACK. |
| Claim Status | CLOSED | Reported Date | 06/03/2010 | I | | | | - |
| Claimant Name | ERIC CHAVEZ | Last Closed Date | 10/20/2010 | | | | | |
| Occupation | NIGHT MANAGER | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | |
| Litigation Flag | Ν | 95815 | 2,063.66 | | | | | |
| Claim # | 434654 | Part of Body | 14 Eye(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 25 Foreign Body | Medical | \$18 | 9 \$0 | \$189 | EMPLOYEE SAYS HE WAS WORKING AND |
| Pol State & Inc Yr | 2010 - CA | Accident | 75 Falling or Flying Object | Expense | \$3 | 1 \$0 | \$31 | SOMETHING ENTERED HIS EYE. |
| Claim Type | MEDICAL ONLY | Injury Date | 08/03/2010 12:30PM | Total | \$21 | 9 \$0 | \$219 | |
| Claim Status | CLOSED | Reported Date | 08/05/2010 | I | | | | |
| Claimant Name | JORGE GARCIA | Last Closed Date | 10/05/2010 | | | | | |
| Occupation | BACK UP | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 219.28 | | | | | |
| Claim # | 434908 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 90 Multiple Physical Injuries | Medical | \$95 | 1 \$0 | \$951 | EMPLOYEE WAS WALKING AND DIDNT SEE |
| Pol State & Inc Yr | 2010 - CA | Accident | 31 Slip Fall Trip NOC | Expense | \$15 | | | THE FORK LIFT |
| Claim Type | MEDICAL ONLY | Injury Date | 08/09/2010 08:30AM | Total | \$1,10 | | | |
| Claim Status | CLOSED | Reported Date | 08/09/2010 | I | | 1 - | | |
| Claimant Name | JOSEFINA SANCHEZ | Last Closed Date | | | | | | |
| | SORTER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Occupation | SURIER | | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 435138 | Part of Body | 10 Multiple Head Injury | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-----------------|------------------|------------------------------------|---------|---------|--------------|----------|--|
| Policy # | Z069822703 | Injury | 90 Multiple Physical Injuries | Medical | \$112 | 2 \$0 | \$112 | EMPLOYEE WAS USING A MANUAL BALER. |
| Pol State & Inc Yr | 2010 - CA | Accident | 76 Hand Tool or Machine in Use | Expense | \$11 | \$0 | \$11 | HE WAS PULLING THE POLE TO TIGHTEN THE BALE AND IT SNAPPED OFF AT THE |
| Claim Type | MEDICAL ONLY | Injury Date | 08/11/2010 09:25AM | Total | \$124 | \$0 | \$124 | WELD, HITTING HIM IN THE FOREHEAD |
| Claim Status | CLOSED | Reported Date | 08/11/2010 | | • | | | |
| Claimant Name | MARCOS GONZALEZ | Last Closed Date | 09/22/2010 | | | | | |
| Occupation | BACK UP | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 123.58 | | | | | |
| Claim # | 435992 | Part of Body | 39 Wrist(s) and Hand(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 52 Strain | Medical | \$1,434 | 1 \$0 | \$1,434 | EMPLOYEE SAYS HER HANDS GO NUMB AT |
| Pol State & Inc Yr | 2010 - CA | Accident | 60 Strain or Injury NOC | Expense | \$123 | 3 \$0 | \$123 | NIGHT AND HER WRIST IS NOW SORE |
| Claim Type | MEDICAL ONLY | Injury Date | 08/20/2010 | Total | \$1,557 | | · | FROM REPETITIVE USE OF HANDS TO WRITE AND TYPE. |
| Claim Status | CLOSED | Reported Date | 08/20/2010 | | | | . , | WATE AND THE. |
| Claimant Name | DEEDRA COLEMAN | Last Closed Date | | | | | | |
| Occupation | SUPERVISOR | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 1,557.37 | | | | | |
| | | | | | | | | |
| Claim # | 437155 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 49 Sprain | Medical | \$676 | 5 \$0 | \$676 | EMPLOYEE WAS LIFTING A COUCH WITH |
| Pol State & Inc Yr | 2010 - CA | Accident | 53 Twisting | Expense | \$71 | \$0 | \$71 | THE HELP OF A CO-WORKER AND TWISTED HIS RIGHT KNEE. |
| Claim Type | MEDICAL ONLY | Injury Date | 09/02/2010 07:25PM | Total | \$747 | \$0 | \$747 | |
| Claim Status | CLOSED | Reported Date | 09/03/2010 | | | | | |
| Claimant Name | JAVIER GONZALEZ | Last Closed Date | 12/07/2010 | | | | | |
| Occupation | BALER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 746.76 | | | | | |
| Claim # | 441921 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 52 Strain | Medical | \$1,913 | 3 \$0 | \$1,913 | EMPLOYEE WAS KNEELING TO DRESS A |
| Pol State & Inc Yr | 2010 - CA | Accident | 60 Strain or Injury NOC | Expense | \$167 | | | MANEQUIN AND SHE SAID THAT SHE FELT |
| Claim Type | MEDICAL ONLY | Injury Date | 10/30/2010 | Total | \$2,080 | \$0 | \$2,080 | PAIN IN HER RIGHT KNEE WHEN SHE STOOD UP. |
| Claim Status | CLOSED | Reported Date | 11/01/2010 | I | 1 | | | |
| Claimant Name | MICAELA RIVERA | Last Closed Date | 12/20/2010 | | | | | |
| Occupation | CASHIER | Loss Location | 0005 - 1346 W. Main St, Merced, CA | | | | | |
| Litigation Flag | N | 95340 | 2,079.60 | | | | | |
| | | | _, | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 442113 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|--------------------------|-----------------------------------|--------------------------------------|-----------|---------|--------------|----------|---|
| Policy # | Z069822703 | Injury | 90 Multiple Physical Injuries | Medical | \$1,578 | 3 \$0 | \$1,578 | INJURED WORKER WAS STAPLING PAPER |
| Pol State & Inc Yr | 2010 - CA | Accident | 60 Strain or Injury NOC | Expense | \$18 | 5 \$0 | \$185 | PRICE TAGS TO CLOTHING CAUSING HER RIGHT HAND AND ELBOW TO HURT. |
| Claim Type | MEDICAL ONLY | Injury Date | 10/26/2010 12:00PM | Total | \$1,763 | \$ \$0 | \$1,763 | RIGHT HAND AND LEDOW TO HORT. |
| Claim Status | CLOSED | Reported Date | 11/03/2010 | | | | | |
| Claimant Name | TERESA RAMIREZ | Last Closed Date | 01/03/2011 | | | | | |
| Occupation | KIDS/MENS PRICER | Loss Location | 0009 - 820 E. Sheilds Ave. Fresno CA | | | | | |
| Litigation Flag | Ν | 93704 | 1,762.54 | | | | | |
| Claim # | 442211 | Part of Body | 31 Upper Arm | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 52 Strain | Medical | \$31 | 5 \$0 | \$316 | INJURED WORKER FELT PAIN IN HER LEFT |
| Pol State & Inc Yr | 2010 - CA | Accident | 56 Lifting | Expense | \$3 | | \$38 | ARM FROM LIFTING TOO MANY GARMENTS |
| Claim Type | MEDICAL ONLY | Injury Date | 10/25/2010 | Total | \$354 | | | AT ONE TIME. |
| Claim Status | CLOSED | Reported Date | 11/04/2010 | | | | | |
| Claimant Name | MARIA RAZO | Last Closed Date | | | | | | |
| Occupation | LADIES PRICER | Loss Location | 0009 - 820 E. Sheilds Ave. Fresno CA | | | | | |
| Litigation Flag | Ν | 93704 | 353.98 | | | | | |
| | | | | | | | | |
| Claim # | 444572 | Part of Body | 32 Elbow | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 37 Inflammation | Medical | \$380 | | | EMPLOYEE DOES NOT NOW HOW OR WHEN THE INJURY OCCURRED. |
| Pol State & Inc Yr | | Accident | 60 Strain or Injury NOC | Indemnity | \$122 | | • | THE INJUKT OCCORRED. |
| Claim Type | INDEMNITY | Injury Date | 12/06/2010 04:00PM | Expense | \$70 | | · | |
| Claim Status | CLOSED | Reported Date | 12/08/2010 | Total | \$1,213 | 3 \$0 | \$1,213 | |
| Claimant Name | JUAN GONZALEZ | Last Closed Date | | | | | | |
| Occupation | BACK UP | Loss Location | 0005 - 1346 W. Main St, Merced, CA | | | | | |
| Litigation Flag | Ν | 95340 | 1,212.80 | | | | | |
| Claim # | 445165 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 59 All Other | Medical | \$1,008 | 3 \$0 | \$1,008 | INJURED WORKER WAS STACKING LARGE |
| Pol State & Inc Yr | 2010 - CA | Accident | 56 Lifting | Expense | \$733 | 3 \$0 | \$733 | BALES OF CLOTHES AND FELT A PAIN IN |
| Claim Type | MEDICAL ONLY | Injury Date | 12/06/2010 11:40AM | Total | \$1,740 | \$0 | \$1,740 | HIS BACK |
| стапп туре | | | 10/10/00/0 | | 1 | | | |
| Claim Status | CLOSED | Reported Date | 12/16/2010 | | | | | |
| •• | CLOSED ALBERTO CHARCO | Reported Date Last Closed Date | | | | | | |
| Claim Status | | - | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 445734 | Part of Body | 44 Chest | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-------------------|----------------------|------------------------------------|-----------|----------|------------|----------|--|
| Policy # | Z069822703 | Injury | 04 Burn | Medical | \$44,779 | \$0 | \$44,779 | EMPLOYEE WAS SORTING THROUGH A BOX |
| Pol State & Inc Yr | 2010 - CA | Accident | 82 Absorption/Ingestion/Inhalatio | Indemnity | \$8,160 | \$0 | \$8,160 | OF CLOTHING THAT CONTAINED A SMALL BOX OF A POWDERY SUBSTANCE. HE |
| Claim Type | INDEMNITY | Injury Date | 12/23/2010 11:40AM | Expense | \$13,492 | \$0 | \$13,492 | SAYS IT WAS TSP. THE SUBSTANCE |
| Claim Status | CLOSED | Reported Date | 12/27/2010 | Total | \$66,431 | \$0 | \$66,431 | IRRITATED HIS EYES AND SKIN. |
| Claimant Name | SANTOS CALDERON | Last Closed Date | 12/19/2013 | | | | | |
| Occupation | BACK UP | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Y | CA 94590 | 66,431.21 | | | | | |
| Claim # | 446891 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 52 Strain | Medical | \$855 | \$0 | \$855 | A CO WORKER GOT INTO A HEATED |
| Pol State & Inc Yr | 2010 - CA | Accident | 53 Twisting | Expense | \$122 | \$0 | \$122 | CONVERSATION WITH LILIA. WHEN SHE |
| Claim Type | MEDICAL ONLY | Injury Date | 01/12/2011 12:45PM | Total | \$978 | \$0 | \$978 | TURNED TO LEAVE AND REPORT THE PROBLEM, HE GRABBED HER BY HER |
| Claim Status | CLOSED | Reported Date | 01/13/2011 | · | | | | RIGHT SHOULDER TO STOP HER. |
| Claimant Name | LILIA GARCIA | Last Closed Date | 04/19/2011 | | | | | |
| Occupation | NIGHT SUPERVISOR | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 977.55 | | | | | |
| Claim # | 452680 | Part of Body | 36 Finger(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 43 Puncture | Medical | \$349 | \$0 | \$349 | EMPLOYEE CUT HER FINGER ON THE |
| Pol State & Inc Yr | 2010 - CA | Accident | 16 Hand Tool/Utensils Not Powered | Expense | \$54 | \$0 | \$54 | STAPLES |
| Claim Type | MEDICAL ONLY | Injury Date | 03/29/2011 11:15AM | Total | \$404 | \$0 | \$404 | |
| Claim Status | CLOSED | Reported Date | 03/29/2011 | | | | | |
| Claimant Name | MARTHA P RUIZ | Last Closed Date | 04/26/2011 | | | | | |
| Occupation | PRICER | Loss Location | 0008 - 2735 Waterloo Rd, Stockton, | | | | | |
| Litigation Flag | Ν | CA 95205 | 403.53 | | | | | |
| Claim # | 456320 | Part of Body | 36 Finger(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822703 | Injury | 28 Fracture | Medical | \$2,021 | . \$0 | \$2,021 | INJURED WORKER WAS USING A BALER TO |
| Pol State & Inc Yr | 2010 - CA | Accident | 12 Object Handled | Indemnity | \$136 | \$0 | \$136 | BALE CLOTHING AND SOMEHOW GOT HIS RIGHT MIDDLE FINGER CAUGHT IN IT. |
| Claim Type | INDEMNITY | Injury Date | 05/04/2011 03:30PM | Expense | \$245 | \$0 | \$245 | RIGHT MIDDLE FINGER CAUGHT IN II. |
| Claim Status | CLOSED | Reported Date | 05/09/2011 | Total | \$2,402 | \$0 | \$2,402 | |
| Claimant Name | LARRY GONZALEZ | Last Closed Date | 06/11/2012 | · · · | | | | |
| Occupation | WAREHOUSE/CONTAIN | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | |
| | | | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 456983 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------------------|----------------------|----------------------|--------------------------------------|-----------|----------------------|--------------|----------------|---|
| Policy # | Z069822703 | Injury | 59 All Other | Medical | \$1,694 | 1 \$0 | \$1,694 | RATHER THAN USE THE LIFT GATE TO LIFT |
| Pol State & Inc Yr | 2010 - CA | Accident | 25 From Different Level | Expense | \$158 | \$0 | \$158 | HIMSELF UP INTO THE SHIPPING |
| Claim Type | MEDICAL ONLY | Injury Date | 05/13/2011 11:45AM | Total | \$1,852 | <u>\$</u> | \$1,852 | CONTAINER, EMPLOYEE DECIDED TO TRY TO JUMP UP ONTO IT. THE CONTAINER |
| Claim Status | CLOSED | Reported Date | 05/16/2011 | ' | | | | FLOOR IS APPROXIMATELY 45 INCHES |
| Claimant Name | RAFAEL DIAZ, JR | Last Closed Date | 07/14/2011 | | | | | FROM THE GROUND. HE MISSED AND HIT HIS LEFT KNEE. |
| Occupation | CONTAINER HELPER | Loss Location | 0004 - 12863 Hwy 145, Madera, CA | | | | | HIS LEFT KNEE. |
| Litigation Flag | Ν | 93638 | 1,851.84 | | | | | |
| | | | | | | | | |
| Claim # | 457635 | Part of Body | 31 Upper Arm | | Paid | Outstandin | | Accident Description: |
| Policy # | Z069822703 | Injury | 52 Strain | Medical | \$1,003 | | 1 / | |
| Pol State & Inc Yr | | Accident | 60 Strain or Injury NOC | Expense | \$61 | | · | |
| Claim Type | MEDICAL ONLY | Injury Date | 04/25/2011 10:00AM | Total | \$1,064 | \$0 | \$1,064 | |
| Claim Status | CLOSED | Reported Date | 05/23/2011 | | | | | |
| Claimant Name | CAROLINA RUIZ | Last Closed Date | 08/23/2011 | | | | | |
| Occupation | MISC. PRICER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 1,063.61 | | | | | |
| Claim # | 401049 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822702 | Injury | 52 Strain | Medical | \$493 | 3 \$0 | \$493 | THE EMPLOYEE WAS LIFTING A HEAVY |
| Pol State & Inc Yr | 2009 - CA | Accident | 56 Lifting | Indemnity | \$282 | 2 \$0 | \$282 | BALE OF CLOTHING TO STACK ON THE |
| Claim Type | INDEMNITY | Injury Date | 05/18/2009 09:20AM | Expense | \$(| \$0 | \$0 | TRAILER. HALF WAY UP, HE FELT A PAIN IN HIS LOWER BACK. |
| Claim Status | CLOSED | Reported Date | 05/18/2009 | Total | \$775 | \$0 | | |
| Claimant Name | ROBERTO REYES | Last Closed Date | 07/17/2009 | I | | | | |
| Occupation | BACK UP | Loss Location | 0010 - 1472 Bridge St. Yuba City, CA | | | | | |
| Litigation Flag | Ν | 95973 | 774.69 | | | | | |
| Claim # | 404274 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
| Claim # Policy # | 404274 2069822702 | | 10 Contusion | Medical | Paid \$256 | | | While the employee was inside the back of |
| Policy # Pol State & Inc Yr | | Injury Accident | | | | | | his truck loading he slipped and fell on to |
| | | | 31 Slip Fall Trip NOC | Expense | \$10 | | | his knee. |
| Claim Type | | Injury Date | 06/26/2009 10:40AM | Total | \$266 | 5 \$0 | \$ ∠ 66 | |
| Claim Status | | Reported Date | 06/29/2009 | | | | | |
| Claimant Name | JULIO BERROTERAN | Last Closed Date | | | | | | |
| Occupation | TRUCK DRIVER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | N | 95926 | 265.77 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 408269 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
|----------------------|------------------|----------------------|--------------------------------------|-----------|-------------|------------|-------------|--|
| Policy # | Z069822702 | Injury | 80 All Other Cumulative | Medical | \$7,850 | \$0 | \$7,850 | CUMULATIVE TRAUMA FROM REPETITIVE |
| Pol State & Inc Yr | 2009 - CA | Accident | 98 Cumulative Injury | Indemnity | \$828 | \$0 | \$828 | JOB DUTIES CAUSING BURNING AND PAIN IN ARMS, NECK AND SHOULDERS |
| Claim Type | INDEMNITY | Injury Date | 06/15/2009 02:00PM | Expense | \$256 | \$0 | \$256 | IN ARMS, NECK AND SHOULDERS |
| Claim Status | CLOSED | Reported Date | 08/17/2009 | Total | \$8,933 | \$0 | \$8,933 | |
| Claimant Name | DULCE ZARAGOZA | Last Closed Date | 03/04/2011 | ľ | | | | |
| Occupation | BINS PRICER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 8,932.66 | | | | | |
| Claim # | 410130 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822702 | Injury | 52 Strain | Medical | \$0 | | | STROLLER HIT FOOT |
| Pol State & Inc Yr | 2009 - CA | Accident | 80 Object Handled by Others | Expense | \$635 | | | |
| Claim Type | MEDICAL ONLY | Injury Date | 09/09/2009 12:00PM | Total | \$635 | | • | |
| Claim Status | CLOSED | Reported Date | 09/09/2009 | | 4000 | φφ | 4000 | |
| Claimant Name | ALBERT SMITH | Last Closed Date | | | | | | |
| Occupation | TRUCK DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | N | 95060 | 635.18 | | | | | |
| Litigation riag | | | 055.10 | | | | | |
| Claim # | 410811 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822702 | Injury | 90 Multiple Physical Injuries | Medical | \$592 | <u> </u> | \$592 | EMPLOYEE FELT PAIN IN HER RIGHT ARM |
| Pol State & Inc Yr | 2009 - CA | Accident | 59 Using Tool or Machine | Expense | \$C | \$0 | \$0 | WHILE STAPLING TAGS TO CLOTHING. |
| Claim Type | MEDICAL ONLY | Injury Date | 09/15/2009 02:00PM | Total | \$592 | \$0 | \$592 | |
| Claim Status | CLOSED | Reported Date | 09/17/2009 | | | | | |
| Claimant Name | YOLANDA CARDONA | Last Closed Date | 11/05/2009 | | | | | |
| Occupation | PRICER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | 591.69 | | | | | |
| Claim # | 418822 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822702 | Injury | 52 Strain | Medical | \$640 | ~ | | UNLOADING A TRUCK |
| Pol State & Inc Yr | 2009 - CA | Accident | 56 Lifting | Expense | \$93 | | | |
| Claim Type | MEDICAL ONLY | Injury Date | 01/11/2010 11:00AM | Total | \$733 | | · · · · | |
| Claim Status | CLOSED | Reported Date | 01/13/2010 | I | , | F C | , | |
| Claimant Name | JULIO BERROTERAN | Last Closed Date | | | | | | |
| Occupation | TRUCK DRIVER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | N | 95926 | 732.57 | | | | | |
| | | | / 52.57 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 420882 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
|--|---|--|--|--------------------|----------------------|----------------|---------------|--|
| Policy # | Z069822702 | Injury | 52 Strain | Medical | \$2,519 | \$0 | \$2,519 | INJURED WORKER WAS UNLOADING |
| Pol State & Inc Yr | 2009 - CA | Accident | 56 Lifting | Expense | \$809 | \$0 | \$809 | BOXES OF MISCELLANEOUS ITEMS FROM A LARGE CART ONTO HER WORK TABLE AND |
| Claim Type | MEDICAL ONLY | Injury Date | 01/18/2010 10:30AM | Total | \$3,328 | \$0 | \$3,328 | UNPACKING THEM AND STRAINED HER |
| Claim Status | CLOSED | Reported Date | 02/12/2010 | | | | | BACK. |
| Claimant Name | ELSA RIVAS | Last Closed Date | 03/04/2011 | | | | | |
| Occupation | MISCELLANEOUS | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | |
| Litigation Flag | Ν | 95815 | 3,328.36 | | | | | |
| Claim # | 421068 | Part of Body | 34 Wrist | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822702 | Injury | 52 Strain | Medical | \$430 |) \$0 | \$430 | EMPLOYEE WAS INSIDE A LARGE |
| Pol State & Inc Yr | 2009 - CA | Accident | 31 Slip Fall Trip NOC | Expense | \$76 | 5 \$0 | \$76 | TRUCKING CONTAINER AND HE SLIPPED |
| Claim Type | MEDICAL ONLY | Injury Date | 02/13/2010 11:30AM | Total | \$506 | 5 \$0 | \$506 | ON SOME BROKEN GLASS AND HE FELL BACKWARD ON HIS WRIST. |
| Claim Status | CLOSED | Reported Date | 02/16/2010 | I | | | | |
| Claimant Name | ANTONIO ZUNIGA | Last Closed Date | 04/29/2010 | | | | | |
| Occupation | BACK UP WORKER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 506.13 | | | | | |
| Claim # | 422448 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822702 | Injury | 52 Strain | Medical | \$46,925 | \$0 | \$46,925 | INJURED WORKER WAS WAITING ON A |
| Pol State & Inc Yr | 2009 - CA | Accident | 80 Object Handled by Others | Indemnity | \$25,614 | 1 \$0 | \$25,614 | CUSTOMER WHEN TO CUSTOMER SHE HAD |
| Claim Type | INDEMNITY | Injury Date | 03/07/2010 05:45PM | Expense | \$2,972 | <u>2</u> \$0 | \$2,972 | JUST WAITED ON SWUNG A QUILT OVER HIS SHOULDER HITTING HER ON HER LEFT |
| Claim Status | CLOSED | Reported Date | 03/08/2010 | Total | \$75,511 | \$0 | \$75,511 | SIDE OF THE FACE AND NECK. |
| Claimant Name | MARTA MARQUEZ | Last Closed Date | 05/18/2012 | I | | | | |
| Occupation | CASHIER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Y | 95060 | 75,510.60 | | | | | |
| | | | | | | | | |
| Claim # | 423058 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Claim # Policy # | 423058 2069822702 | Part of Body Injury | 90 Multiple Body Parts90 Multiple Physical Injuries | Medical | Paid \$237 | ~ | | Accident Description: EMPLOYEE WAS PUSHING A DOLLY WITH A |
| | Z069822702 | - | , , | Medical Expense | | 7 \$0 | \$237 | EMPLOYEE WAS PUSHING A DOLLY WITH A TRASH CAN ON IT. WHEN SHE OPENED |
| Policy # | Z069822702 | Injury | 90 Multiple Physical Injuries | | \$237 | 7 \$0 9 \$0 | \$237 \$49 | EMPLOYEE WAS PUSHING A DOLLY WITH A |
| Policy # Pol State & Inc Yr | Z069822702 2009 - CA | Injury Accident | 90 Multiple Physical Injuries70 Strike Step on NOC | Expense | \$237 \$49 | 7 \$0 9 \$0 | \$237 \$49 | EMPLOYEE WAS PUSHING A DOLLY WITH A TRASH CAN ON IT. WHEN SHE OPENED THE DOOR, A RAMP WHICH WAS LEANING UP AGAINST THE BUILDING SLIPPED AND HIT HER RIGHT SHOULDER. I'M NOT SURE |
| Policy # Pol State & Inc Yr Claim Type | Z069822702 2009 - CA MEDICAL ONLY | Injury Accident Injury Date | 90 Multiple Physical Injuries 70 Strike Step on NOC 03/15/2010 10:30AM 03/16/2010 | Expense | \$237 \$49 | 7 \$0 9 \$0 | \$237 \$49 | EMPLOYEE WAS PUSHING A DOLLY WITH A TRASH CAN ON IT. WHEN SHE OPENED THE DOOR, A RAMP WHICH WAS LEANING UP AGAINST THE BUILDING SLIPPED AND |
| Policy # Pol State & Inc Yr Claim Type Claim Status | Z069822702 2009 - CA MEDICAL ONLY CLOSED | Injury Accident Injury Date Reported Date | 90 Multiple Physical Injuries 70 Strike Step on NOC 03/15/2010 10:30AM 03/16/2010 | Expense | \$237 \$49 | 7 \$0 9 \$0 | \$237 \$49 | EMPLOYEE WAS PUSHING A DOLLY WITH A TRASH CAN ON IT. WHEN SHE OPENED THE DOOR, A RAMP WHICH WAS LEANING UP AGAINST THE BUILDING SLIPPED AND HIT HER RIGHT SHOULDER. I'M NOT SURE |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 425815 | Part of Body | 61 Abdomen Including Groin | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|------------------|----------------------|--------------------------------------|-----------|----------|------------|----------|--|
| Policy # | Z069822702 | Injury | 52 Strain | Medical | \$4,989 | \$0 | \$4,989 | PUSHING CARTS |
| Pol State & Inc Yr | 2009 - CA | Accident | 57 Pushing or Pulling | Indemnity | \$856 | \$0 | \$856 | |
| Claim Type | INDEMNITY | Injury Date | 04/19/2010 | Expense | \$973 | \$0 | \$973 | |
| Claim Status | CLOSED | Reported Date | 04/22/2010 | Total | \$6,818 | \$0 | \$6,818 | |
| Claimant Name | MARIA CHAVEZ | Last Closed Date | 11/15/2010 | • | | | | |
| Occupation | SORTER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 6,817.98 | | | | | |
| Claim # | 427135 | Part of Body | 53 Knee | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822702 | Injury | 52 Strain | Medical | \$19,660 | \$0 | \$19,660 | INJURED WORKER WAS STEPPING DOWN |
| Pol State & Inc Yr | 2009 - CA | Accident | 25 From Different Level | Indemnity | \$12,480 | \$0 | \$12,480 | OFF OF A PORCH AND LANDED WRONG AND FELT PAIN IN THE BACK OF HIS |
| Claim Type | INDEMNITY | Injury Date | 05/07/2010 12:30PM | Expense | \$3,574 | \$0 | \$3,574 | RIGHT KNEE |
| Claim Status | CLOSED | Reported Date | 05/10/2010 | Total | \$35,715 | \$0 | | |
| Claimant Name | CELERINO | Last Closed Date | 10/27/2011 | | | | | |
| Occupation | TRUCK DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Y | 95060 | 35,714.74 | | | | | |
| Claim # | 371279 | Part of Body | 57 Toe(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 10 Contusion | Medical | \$393 | \$0 | \$393 | EMPLOYEE STATED SHE WAS PULLING A |
| Pol State & Inc Yr | 2008 - CA | Accident | 99 Other Injury NOC | Expense | \$0 | \$0 | \$0 | VERY LARGE CART FULL OF CLOTHING AND RAN OVER HER RIGHT FOOT. |
| Claim Type | MEDICAL ONLY | Injury Date | 06/06/2008 08:25AM | Total | \$393 | \$0 | \$393 | RAN OVER HER RIGHT FOOT. |
| Claim Status | CLOSED | Reported Date | 06/06/2008 | | | | | |
| Claimant Name | ERIKA GARCIA | Last Closed Date | 07/01/2008 | | | | | |
| Occupation | MISC. PRICER | | | | | | | |
| Litigation Flag | Ν | | 392.79 | | | | | |
| Claim # | 371346 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 10 Contusion | Medical | \$1,610 | \$0 | \$1,610 | EMPLOYEE STATED SHE WAS PULLING A |
| Pol State & Inc Yr | 2008 - CA | Accident | 79 Object Being Lifted | Expense | \$C | \$0 | \$0 | BOX OF SHOES FROM BETWEEN 2 LARGE BALES OF CLOTHING, THE BALE FELL OVER |
| Claim Type | MEDICAL ONLY | Injury Date | 06/06/2008 12:40PM | Total | \$1,610 | \$0 | \$1,610 | ONTO HER BACK AND RIGHT SHOULDER |
| Claim Status | CLOSED | Reported Date | 06/09/2008 | | | | | AREA. |
| Claimant Name | HILDA HERNANDEZ | Last Closed Date | 08/11/2008 | | | | | |
| Occupation | BINS/SHOE PRICER | | | | | | | |
| Litigation Flag | Ν | | 1,609.92 | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 372408 | Part of Body | 48 Internal Organs | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------------------|-------------------------|------------------------|--|-----------|----------------------|-------------|-----------------|--|
| Policy # | Z069822701 | Injury | 59 All Other | Medical | \$1,080 | \$0 | \$1,080 | THE EMPLOYEE WAS STEPPING OFF THE |
| Pol State & Inc Yr | 2008 - CA | Accident | 90 Other than Physical | Expense | \$0 | \$0 | \$0 | LOADING DOCK AND SAID WHEN HE |
| Claim Type | MEDICAL ONLY | Injury Date | 06/16/2008 07:30AM | Total | \$1,080 | \$0 | \$1,080 | LANDED, HE FELT FUNNY. HE SAID HE DID NOT FEEL BAD ENOUGH TO SEE A DOCTOR |
| Claim Status | CLOSED | Reported Date | 06/17/2008 | I | | | | AND WORKED HIS FULL SHIFT. |
| Claimant Name | EARL EGGERT | Last Closed Date | 09/12/2008 | | | | | |
| Occupation | truck driver | | | | | | | |
| Litigation Flag | Ν | | 1,079.68 | | | | | |
| Claim # | 372489 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 90 Multiple Physical Injuries | Medical | \$237 | \$0 | \$237 | WHILE USING A LUG WRENCH, EMPLOYEE |
| Pol State & Inc Yr | 2008 - CA | Accident | 79 Object Being Lifted | Expense | \$0 | \$0 | \$0 | WAS LOOSENING LUG NUTS AND THE |
| Claim Type | MEDICAL ONLY | Injury Date | 06/18/2008 02:38PM | Total | \$237 | \$0 | \$237 | WRENCH SLIPPED AND HIT HIM IN THE FACE AND EYE |
| Claim Status | CLOSED | Reported Date | 06/19/2008 | I | | | | |
| Claimant Name | MOISES DIAZ | Last Closed Date | 08/25/2008 | | | | | |
| Occupation | TRUCK DRIVER | | | | | | | |
| Litigation Flag | Ν | | 237.23 | | | | | |
| Claim # | 372540 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 52 Strain | Medical | \$57,260 | | \$57,260 | CLaimant was lifting and loading furniture |
| Pol State & Inc Yr | 2008 - CA | Accident | 56 Lifting | Indemnity | \$37,063 | | \$37,063 | into his truck and twisted the wrong way |
| Claim Type | INDEMNITY | Injury Date | 06/16/2008 10:30AM | Expense | \$3,530 | | \$3,530 | when he felt pain on his back. |
| Claim Status | CLOSED | Reported Date | 06/17/2008 | Total | \$97,853 | | | |
| Claimant Name | DAVID CRUZ | Last Closed Date | | 1 | 400,000 | ÷÷ | <i>+••</i> ,••• | |
| Occupation | Driver | | | | | | | |
| Litigation Flag | Y | | 97,852.72 | | | | | |
| Claim # | 270616 | Part of Body | 11 Skull | | Paid | Outstandin | Incurred | Accident Description: |
| Claim # Policy # | 378616 2069822701 | Part of Body Injury | 11 SKUII 07 Concussion | Medical | \$41,903 | | \$41,903 | EMPLOYEE WAS WALKING INTO THE BACK |
| Policy # Pol State & Inc Yr | 2009822701 2008 - CA | Accident | | Indemnity | \$41,903 \$23,628 | | \$41,903 | ROOM AND BUMPED HIS HEAD ON THE |
| Claim Type | INDEMNITY | Injury Date | 68 Stationary Object 08/13/2008 07:30AM | Expense | \$23,628 \$4,256 | | \$23,628 | RUBBER BOTTOM OF THE ROLLUP DOOR. |
| Claim Status | | Reported Date | 08/14/2008 | Total | \$69,787 | | \$69,787 | OUR VIDEO TAPE SHOWS HIM BUMPING HIS HEAD, RUBBING THE TOP OF HIS |
| Claimant Name | ALBERT SMITH | Last Closed Date | | | φυ 9,787 | \$ 0 | אס, <i>ו</i> סק | HEAD, STOPS TO TALK TO A COWORKER |
| Occupation | TRUCK DRIVER | Loss Location | 0006 - 2507 Del Paso Blvd. Sac, CA | | | | | AND THEN CONTINUES WALKING TO HIS |
| Litigation Flag | Y | 95815 | 69,786.91 | | | | | SUPERVISORS OFFICE. HE TOLD HIS SUPERVISOR DURING GENERAL |
| | • | 22012 | 09,700.91 | | | | | CONVERSATION THAT HE BUMPED HIS |
| | | | | | | | | HEAD BUT DIDN'T MENTION HE WAS INJURED OR NEEDED TO SEE A DOCTOR |
| | | | | | | | | INJUKED OK NEEDED TO SEE A DOCTOR |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 378777 | Part of Body | 32 Elbow | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|---------------------|----------------------|-------------------------------------|-----------|---------|--------------|----------|--|
| Policy # | Z069822701 | Injury | 52 Strain | Medical | \$1,624 | \$0 | \$1,624 | EMPLOYEE WAS PUTTING AWAY CLOTHING |
| Pol State & Inc Yr | 2008 - CA | Accident | 68 Stationary Object | Indemnity | \$925 | \$0 | \$925 | ON THE SALES FLOOR. SHE TURNED LEFT AND HIT HER ELBOW ON A CLOTHING |
| Claim Type | INDEMNITY | Injury Date | 08/04/2008 03:30PM | Expense | \$(| \$0 | \$0 | RACK. |
| Claim Status | CLOSED | Reported Date | 08/15/2008 | Total | \$2,549 | \$0 | \$2,549 | |
| Claimant Name | DULCE ZARAGOZA | Last Closed Date | 02/27/2009 | • | | | | |
| Occupation | BINS & SHOES PRICER | Loss Location | 0020 - 2432 Esplande, Chico, CA | | | | | |
| Litigation Flag | Ν | 95926 | 2,548.91 | | | | | |
| Claim # | 379614 | Part of Body | 91 Body System & Mult. Systems | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 90 Multiple Physical Injuries | Medical | \$568 | 3 \$0 | \$568 | WHILE STEPPING DOWN FROM A TRAILER, |
| Pol State & Inc Yr | 2008 - CA | Accident | 60 Strain or Injury NOC | Expense | \$(| \$0 | \$0 | EMPLOYEE GRABBED ONTO A LARGE CART |
| Claim Type | MEDICAL ONLY | Injury Date | 08/25/2008 09:45AM | Total | \$568 | \$0 | \$568 | FULL OF MERCHANDISE. THE CART IS ON WHEELS AND IT SLIPPED CAUSEING PAIN |
| Claim Status | CLOSED | Reported Date | 08/25/2008 | • | | | | TO HIS LEFT ELBOW. |
| Claimant Name | JORGE MENDOZA | Last Closed Date | 09/23/2008 | | | | | |
| Occupation | BACKUP | Loss Location | 0004 - 12863 Hwy 145, Madera, CA | | | | | |
| Litigation Flag | Ν | 93638 | 568.49 | | | | | |
| Claim # | 380476 | Part of Body | 44 Chest | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 28 Fracture | Medical | \$1,969 | \$0 | \$1,969 | EMPLOYEE STATED HE WAS GETTING OUT |
| Pol State & Inc Yr | 2008 - CA | Accident | 31 Slip Fall Trip NOC | Indemnity | \$2,361 | \$0 | \$2,361 | OF THE TRUCK AND TRIPPED OVER A BAG OF MERCHANDISE, HE FELL OUT OF THE |
| Claim Type | INDEMNITY | Injury Date | 09/02/2008 05:30PM | Expense | \$0 | \$0 | \$0 | |
| Claim Status | CLOSED | Reported Date | 09/03/2008 | Total | \$4,330 | \$0 | \$4,330 | |
| Claimant Name | JACK STROSNIDER | Last Closed Date | 02/27/2009 | • | | | | |
| Occupation | TRUCK DRIVER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 4,329.68 | | | | | |
| Claim # | 383060 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 52 Strain | Medical | \$218 | 3 \$0 | \$218 | EMPLOYEE STATED SHE BENT OVER TO |
| Pol State & Inc Yr | 2008 - CA | Accident | 56 Lifting | Expense | \$0 | \$0 | \$0 | PICK UP A BOX OF MERCHANDISE, IT WAS |
| Claim Type | MEDICAL ONLY | Injury Date | 09/27/2008 05:30PM | Total | \$218 | \$0 | \$218 | HEAVIER THAN SHE THOUGHT AND PICKED IT UP ANYWAY AND FELT SHARP PAIN IN |
| Claim Status | CLOSED | Reported Date | 09/29/2008 | • | | | | HER BACK. |
| Claimant Name | MARIANA NUNEZ | Last Closed Date | 10/31/2008 | | | | | |
| Occupation | NIGHT SUPERVISOR | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| occupation | | | | | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 388585 | Part of Body | 36 Finger(s) | | Paid | Outstandin | Incurred | Accident Description: |
|--------------------|-------------------|------------------|---------------------------------------|---------|---------|--------------|----------|---|
| Policy # | Z069822701 | Injury | 10 Contusion | Medical | \$223 | 3 \$0 | \$223 | EMPLOYEE STATES: HE WAS LOADING A |
| Pol State & Inc Yr | 2008 - CA | Accident | 79 Object Being Lifted | Expense | \$11 | \$0 | \$11 | COUCH INTO HIS TRUCK AND SMASHED HIS FINGERNAIL. |
| Claim Type | MEDICAL ONLY | Injury Date | 12/02/2008 01:00PM | Total | \$233 | \$0 | \$233 | HIS FINGLENAIL. |
| Claim Status | CLOSED | Reported Date | 12/03/2008 | • | | | | |
| Claimant Name | ARTURO ARCIGA | Last Closed Date | 03/01/2010 | | | | | |
| Occupation | TRUCK DRIVER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Ν | 95060 | 233.37 | | | | | |
| Claim # | 388781 | Part of Body | 57 Toe(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 10 Contusion | Medical | \$321 | \$0 | \$321 | EMPLOYEE STATES: SHE WAS PULLING |
| Pol State & Inc Yr | 2008 - CA | Accident | 66 Object Being Lifted | Expense | \$0 | | | WHILE A COWORKER WAS PUSHING A |
| Claim Type | MEDICAL ONLY | Injury Date | 12/04/2008 07:15AM | Total | \$321 | | · · · · | VERY LARGE, FULL CART OF CLOTHING. THE CART RAN OVER HER FOOT. |
| Claim Status | CLOSED | Reported Date | 12/04/2008 | I | 1 - | 1 - | • - | THE CART RAN OVER HER FOOT. |
| Claimant Name | ANGELICA MARTINEZ | Last Closed Date | | | | | | |
| Occupation | STORE MANAGER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | N | 94533 | 320.91 | | | | | |
| | | | | | | | | |
| Claim # | 393249 | Part of Body | 90 Multiple Body Parts | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 59 All Other | Medical | \$2,478 | 3 \$0 | \$2,478 | EMPLOYEE STATES: WAS LIFTING A BOX |
| Pol State & Inc Yr | 2008 - CA | Accident | 56 Lifting | Expense | \$0 | \$0 | \$0 | UP TO HER TABLE AND HER WRIST STARTED TO HURT. |
| Claim Type | MEDICAL ONLY | Injury Date | 02/06/2009 02:00PM | Total | \$2,478 | \$0 | \$2,478 | STARTED TO HORT. |
| Claim Status | CLOSED | Reported Date | 02/10/2009 | | | | | |
| Claimant Name | ELSA RIVAS | Last Closed Date | 05/22/2009 | | | | | |
| Occupation | MISC. PRICER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 2,478.20 | | | | | |
| Claim # | 393426 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 52 Strain | Medical | \$346 | \$0 | \$346 | EMPLOYEE WAS HELPING HER COWORKER |
| Pol State & Inc Yr | 2008 - CA | Accident | 56 Lifting | Expense | \$0 | \$0 | \$0 | LIFT A SOFA AND FELT PAIN IN HER BACK. |
| Claim Type | MEDICAL ONLY | Injury Date | 02/11/2009 10:30AM | Total | \$346 | \$0 | \$346 | |
| Claim Status | CLOSED | Reported Date | 02/11/2009 | I | | | | |
| Claimant Name | MIREYA HERNANDEZ | Last Closed Date | | | | | | |
| | | | | | | | | |
| Occupation | FURNITURE PRICER | Loss Location | 0007 - 2346 Florin Rd, Sacramento, CA | 4 | | | | |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 393815 | Part of Body | 54 Lower Leg | | Paid | Outstandin | Incurred | Accident Description: |
|----------------------------|-------------------|-----------------------------------|--------------------------------------|-----------|----------|------------|----------|--|
| Policy # | Z069822701 | Injury | 40 Laceration | Medical | \$422 | 2 \$0 | \$422 | THE EMPLOYEE WAS PULLING A LARGE |
| Pol State & Inc Yr | 2008 - CA | Accident | 31 Slip Fall Trip NOC | Indemnity | \$586 | 5 \$0 | \$586 | CART AND SLIPPED ON SOME CARDBOARD. |
| Claim Type | INDEMNITY | Injury Date | 02/13/2009 | Expense | \$(| \$0 | \$0 | CARDBOARD. |
| Claim Status | CLOSED | Reported Date | 02/17/2009 | Total | \$1,008 | \$0 | \$1,008 | |
| Claimant Name | JOSE CRISOSTOMO | Last Closed Date | 05/14/2009 | • | | | | |
| Occupation | WAREHOUSE LABORER | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 1,008.00 | | | | | |
| Claim # | 397787 | Part of Body | 38 Shoulder(s) | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 52 Strain | Medical | \$1,980 | | \$1,980 | EMPLOYEE STATES: WAS PUSHING A VERY |
| Pol State & Inc Yr | | Accident | 57 Pushing or Pulling | Expense | \$(| | \$0 | LARGE CART OF FULL BOXES OF |
| Claim Type | MEDICAL ONLY | Injury Date | 04/08/2009 02:00PM | Total | \$1,980 | | \$1,980 | MISCELLANEOUS ITEMS, SHE FELT A PAIN IN HER RIGHT ARM. |
| Claim Status | CLOSED | Reported Date | 04/09/2009 | I | +=,200 | ÷. | +-,- •• | IN HER RIGHT ARM. |
| Claimant Name | CAROLINA RUIZ | Last Closed Date | | | | | | |
| Occupation | MISC. PRICER | Loss Location | 0002 - 2300 N. Texas, Fairfield, CA | | | | | |
| Litigation Flag | Ν | 94533 | 1,980.14 | | | | | |
| | | | | | | | | |
| Claim # | 398450 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 52 Strain | Medical | \$46,032 | | \$46,032 | THE EMPLOYEE WAS REMOVING A BAG FROM A CART OF DONATED ITEMS WHEN A |
| Pol State & Inc Yr | | Accident | 56 Lifting | Indemnity | \$16,414 | | \$16,414 | BOX STARTED TO FALL. SHE TRIED TO |
| Claim Type | INDEMNITY | Injury Date | 04/17/2009 08:15AM | Expense | \$1,317 | | \$1,317 | CATCH THE BOX AND FELT PAIN IN HER |
| Claim Status | CLOSED | Reported Date | 04/17/2009 | Total | \$63,764 | \$0 | \$63,764 | LOWER BACK. |
| Claimant Name | ANA REYES | Last Closed Date | | | | | | |
| Occupation | CASHIER | Loss Location | 0001 - 521 Front St., Santa Cruz, CA | | | | | |
| Litigation Flag | Y | 95060 | 63,764.05 | | | | | |
| Claim # | 399522 | Part of Body | 42 Low Back Area | | Paid | Outstandin | Incurred | Accident Description: |
| Policy # | Z069822701 | Injury | 52 Strain | Medical | \$1,250 |) \$0 | \$1,250 | THE EMPLOYEE WAS LIFTING A BALE OF |
| Pol State & Inc Yr | 2008 - CA | Accident | 56 Lifting | Expense | \$0 | \$0 | \$0 | CLOTHES TO STACK IN THE CONTAINER. |
| | MEDICAL ONLY | Injury Date | 04/30/2009 10:30AM | Total | \$1,250 | \$0 | \$1,250 | THE BALE TILTED TO THE RIGHT CAUSING THE EMPLOYEE TO FEEL A SHARP PAIN IN |
| Claim Type | MEDICAL UNLI | | | I | 1 | | | |
| Claim Type Claim Status | CLOSED | Reported Date | 05/01/2009 | | | | | HIS LOWER BACK. |
| •• | | Reported Date Last Closed Date | | | | | | HIS LOWER BACK. |
| Claim Status | CLOSED | • | | | | | | HIS LOWER BACK. |



1217892 - UNIQUE RECYCLING CORPORATION OF CA [A CORP]

| Claim # | 399988 | Part of Body | 37 Thumb | | Paid | Outstandin | Incurred | Accident Description: |
|----------------------|------------------|------------------|------------------------------------|---------|-------|------------|----------|---|
| Policy # | Z069822701 | Injury | 52 Strain | Medical | \$106 | \$0 | | THE EMPLOYEE WAS TRYING TO LIFT A |
| Pol State & Inc Yr | 2008 - CA | Accident | 56 Lifting | Expense | \$18 | \$0 | \$18 | HEAVY ROLL OF PAPER WITH ONE HAND. IT WAS TOO HEAVY AND SHE HURT HER |
| Claim Type | MEDICAL ONLY | Injury Date | 04/19/2009 05:00PM | Total | \$125 | \$0 | \$125 | THUMB. |
| Claim Status | CLOSED | Reported Date | 05/06/2009 | | • | | | |
| Claimant Name | LILIA GARCIA | Last Closed Date | 06/19/2009 | | | | | |
| Occupation | NIGHT SUPERVISOR | Loss Location | 0003 - 3274 Sonoma Blvd., Vallejo, | | | | | |
| Litigation Flag | Ν | CA 94590 | 124.55 | | | | | |

United States Bankruptcy Court Northern District of California

Debtor(s)

In re Unique Recycling Corporation of California

Case No. Chapter

11

LIST OF EQUITY SECURITY HOLDERS

Following is the list of the Debtor's equity security holders which is prepared in accordance with rule 1007(a)(3) for filing in this Chapter 11 Case

| Diann Sorenson | | | 100% | |
|---|----------------|----------------------|------------------|--|
| business of holder | | | | |
| Name and last known address or place of | Security Class | Number of Securities | Kind of Interest | |
| | | | | |

P. O. Box 360 Sonoma, CA 95476

DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP

I, the **Vice President** of the corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing List of Equity Security Holders and that it is true and correct to the best of my information and belief.

Date May 31, 2016

Signature /s/ Tommy DeHennis Tommy DeHennis

Penalty for making a false statement of concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 and 3571.

Sheet 1 of 1 in List of Equity Security Holders Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

United States Bankruptcy Court Northern District of California

In re Unique Recycling Corporation of California

Debtor(s)

Case No. Chapter

11

CORPORATE OWNERSHIP STATEMENT (RULE 7007.1)

Pursuant to Federal Rule of Bankruptcy Procedure 7007.1 and to enable the Judges to evaluate possible disqualification or recusal, the undersigned counsel for <u>Unique Recycling Corporation of California</u> in the above captioned action, certifies that the following is a (are) corporation(s), other than the debtor or a governmental unit, that directly or indirectly own(s) 10% or more of any class of the corporation's(s') equity interests, or states that there are no entities to report under FRBP 7007.1:

Diann Sorenson P. O. Box 360 Sonoma, CA 95476

□ None [*Check if applicable*]

May 31, 2016

Date

/s/ Michael C. Fallon Michael C. Fallon Signature of Attorney or Litigant Counsel for Unique Recycling Corporation of California Fallon & Fallon 100 E Street, Suite 219 Santa Rosa, CA 95404 (707) 546-6770 Fax:(707) 546-5775 mcfallon@fallonlaw.net