

Fill in this information to identify your case:

United States Bankruptcy Court for the:

DISTRICT OF CONNECTICUT

Case number (if known) Chapter 11

Check if this an amended filing

Official Form 201

Voluntary Petition for Non-Individuals Filing for Bankruptcy

4/16

If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and case number (if known). For more information, a separate document, Instructions for Bankruptcy Forms for Non-Individuals, is available.

1. Debtor's name Lucas Printing Company, Inc.

2. All other names debtor used in the last 8 years Include any assumed names, trade names and doing business as names

3. Debtor's federal Employer Identification Number (EIN) 06-0798200

4. Debtor's address Principal place of business Mailing address, if different from principal place of business 461-465 West Main Street Stamford, CT 06902 Fairfield County

5. Debtor's website (URL)

6. Type of debtor Corporation (including Limited Liability Company (LLC) and Limited Liability Partnership (LLP)) Partnership (excluding LLP) Other. Specify:

Debtor Lucas Printing Company, Inc. Case number (if known) \_\_\_\_\_  
Name

7. Describe debtor's business

A. Check one:

- Health Care Business (as defined in 11 U.S.C. § 101(27A))
- Single Asset Real Estate (as defined in 11 U.S.C. § 101(51B))
- Railroad (as defined in 11 U.S.C. § 101(44))
- Stockbroker (as defined in 11 U.S.C. § 101(53A))
- Commodity Broker (as defined in 11 U.S.C. § 101(6))
- Clearing Bank (as defined in 11 U.S.C. § 781(3))
- None of the above

B. Check all that apply

- Tax-exempt entity (as described in 26 U.S.C. §501)
- Investment company, including hedge fund or pooled investment vehicle (as defined in 15 U.S.C. §80a-3)
- Investment advisor (as defined in 15 U.S.C. §80b-2(a)(11))

C. NAICS (North American Industry Classification System) 4-digit code that best describes debtor.  
See <http://www.uscourts.gov/four-digit-national-association-naics-codes>.

\_\_\_\_\_

8. Under which chapter of the Bankruptcy Code is the debtor filing?

Check one:

- Chapter 7
- Chapter 9

Chapter 11. Check all that apply:

- Debtor's aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,566,050 (amount subject to adjustment on 4/01/19 and every 3 years after that).
- The debtor is a small business debtor as defined in 11 U.S.C. § 101(51D). If the debtor is a small business debtor, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return or if all of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).
- A plan is being filed with this petition.
- Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b).
- The debtor is required to file periodic reports (for example, 10K and 10Q) with the Securities and Exchange Commission according to § 13 or 15(d) of the Securities Exchange Act of 1934. File the attachment to Voluntary Petition for Non-Individuals Filing for Bankruptcy under Chapter 11 (Official Form 201A) with this form.
- The debtor is a shell company as defined in the Securities Exchange Act of 1934 Rule 12b-2.

Chapter 12

9. Were prior bankruptcy cases filed by or against the debtor within the last 8 years?

- No.
- Yes.

If more than 2 cases, attach a separate list.

District \_\_\_\_\_ When \_\_\_\_\_ Case number \_\_\_\_\_  
 District \_\_\_\_\_ When \_\_\_\_\_ Case number \_\_\_\_\_

10. Are any bankruptcy cases pending or being filed by a business partner or an affiliate of the debtor?

- No
- Yes.

List all cases. If more than 1, attach a separate list

Debtor \_\_\_\_\_ Relationship \_\_\_\_\_  
 District \_\_\_\_\_ When \_\_\_\_\_ Case number, if known \_\_\_\_\_

Debtor Lucas Printing Company, Inc.  
Name

Case number (if known) \_\_\_\_\_

11. **Why is the case filed in this district?** *Check all that apply:*

Debtor has had its domicile, principal place of business, or principal assets in this district for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other district.

A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district.

12. **Does the debtor own or have possession of any real property or personal property that needs immediate attention?**

No

Yes. Answer below for each property that needs immediate attention. Attach additional sheets if needed.

**Why does the property need immediate attention?** *(Check all that apply.)*

It poses or is alleged to pose a threat of imminent and identifiable hazard to public health or safety.  
What is the hazard? \_\_\_\_\_

It needs to be physically secured or protected from the weather.

It includes perishable goods or assets that could quickly deteriorate or lose value without attention (for example, livestock, seasonal goods, meat, dairy, produce, or securities-related assets or other options).

Other \_\_\_\_\_

**Where is the property?** \_\_\_\_\_  
Number, Street, City, State & ZIP Code

**Is the property insured?**

No

Yes. Insurance agency \_\_\_\_\_  
Contact name \_\_\_\_\_  
Phone \_\_\_\_\_

**Statistical and administrative information**

13. **Debtor's estimation of available funds** *Check one:*

Funds will be available for distribution to unsecured creditors.

After any administrative expenses are paid, no funds will be available to unsecured creditors.

14. **Estimated number of creditors**

<input checked="" type="checkbox"/> 1-49	<input type="checkbox"/> 1,000-5,000	<input type="checkbox"/> 25,001-50,000
<input type="checkbox"/> 50-99	<input type="checkbox"/> 5001-10,000	<input type="checkbox"/> 50,001-100,000
<input type="checkbox"/> 100-199	<input type="checkbox"/> 10,001-25,000	<input type="checkbox"/> More than 100,000
<input type="checkbox"/> 200-999		

15. **Estimated Assets**

<input checked="" type="checkbox"/> \$0 - \$50,000	<input type="checkbox"/> \$1,000,001 - \$10 million	<input type="checkbox"/> \$500,000,001 - \$1 billion
<input type="checkbox"/> \$50,001 - \$100,000	<input type="checkbox"/> \$10,000,001 - \$50 million	<input type="checkbox"/> \$1,000,000,001 - \$10 billion
<input type="checkbox"/> \$100,001 - \$500,000	<input type="checkbox"/> \$50,000,001 - \$100 million	<input type="checkbox"/> \$10,000,000,001 - \$50 billion
<input type="checkbox"/> \$500,001 - \$1 million	<input type="checkbox"/> \$100,000,001 - \$500 million	<input type="checkbox"/> More than \$50 billion

16. **Estimated liabilities**

<input type="checkbox"/> \$0 - \$50,000	<input type="checkbox"/> \$1,000,001 - \$10 million	<input type="checkbox"/> \$500,000,001 - \$1 billion
<input type="checkbox"/> \$50,001 - \$100,000	<input type="checkbox"/> \$10,000,001 - \$50 million	<input type="checkbox"/> \$1,000,000,001 - \$10 billion
<input type="checkbox"/> \$100,001 - \$500,000	<input type="checkbox"/> \$50,000,001 - \$100 million	<input type="checkbox"/> \$10,000,000,001 - \$50 billion
<input checked="" type="checkbox"/> \$500,001 - \$1 million	<input type="checkbox"/> \$100,000,001 - \$500 million	<input type="checkbox"/> More than \$50 billion

Debtor Lucas Printing Company, Inc. Case number (if known) \_\_\_\_\_  
Name

**Request for Relief, Declaration, and Signatures**

**WARNING** -- Bankruptcy fraud is a serious crime. Making a false statement in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

**17. Declaration and signature of authorized representative of debtor**

The debtor requests relief in accordance with the chapter of title 11, United States Code, specified in this petition.

I have been authorized to file this petition on behalf of the debtor.

I have examined the information in this petition and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on October 21, 2016  
MM / DD / YYYY

**/s/ Joseph E. DeFilippis**  
Signature of authorized representative of debtor  
  
Title Vice President

Joseph E. DeFilippis  
Printed name

**18. Signature of attorney**  **/s/ Matthew K. Beatman**  
Signature of attorney for debtor

Date October 21, 2016  
MM / DD / YYYY

Matthew K. Beatman  
Printed name

Zeisler & Zeisler, P.C.  
Firm name

10 Middle Street, 15th Floor  
P.O. Box 1220  
Bridgeport, CT 06604  
Number, Street, City, State & ZIP Code

Contact phone (203) 368-4234 Email address \_\_\_\_\_

ct08923  
Bar number and State

**CORPORATE RESOLUTIONS ADOPTED BY**  
**LUCAS PRINTING COMPANY, INC.**

I HEREBY CERTIFY that (i) I am the Director and Vice President of Lucas Printing Company, Inc., a Connecticut corporation (hereinafter referred to as the "Corporation"), (ii) the following is a true and correct copy of resolutions duly adopted by the Vice President of the Corporation with a corporate headquarters address of 465 West Main Street, Stamford, CT on October 20, 2016 (the "Resolutions"), and (iii) the Resolutions neither conflict with any order of any court or Bylaw or regulation of the Corporation nor have the Resolutions been in any way altered, amended or repealed and are in full force and effect, unrevoked and unrescinded as of this date;

RESOLVED, that the Corporation (i) file a voluntary petition for reorganization pursuant to Chapter 11 of Title 11, United States Code (the "Bankruptcy Code"), (ii) if the circumstance arises prior to filing such a petition making necessary or convenient (a) consent to the entry of an order for relief in an involuntarily commenced Chapter 11 case, or (b) consent to the entry of an order for relief and convert an involuntarily commenced Chapter 7 case to a case under Chapter 11, and (iii) perform any and all such acts as are reasonable, advisable, expedient, convenient, proper or necessary to effect any of the foregoing;

FURTHER RESOLVED, that, Joseph E. DeFilippis (the "Officer"), shall be, and hereby is, authorized and empowered to execute and deliver or cause to be filed, on behalf of the Corporation, (i) the voluntary petition of the Corporation pursuant to Chapter 11 of the Bankruptcy Code, (ii) the consent to the entry of an order for relief in an involuntarily commenced Chapter 11 case, or (iii) the consent to the entry of an order for relief and conversion of an involuntarily commenced Chapter 7 case to a case under Chapter 11, and (iv) any and all other documents necessary or appropriate in connection therewith in such form or forms as the Officer or Officers so acting may approve;

FURTHER RESOLVED, that the Corporation, as Debtor and Debtor in possession under Chapter 11 of the Bankruptcy Code, borrow funds in such amounts, from such lenders and on such terms as may be approved by any one or more of the Officers as reasonably necessary for the continuing conduct of the affairs of the Corporation and grant security interests in and liens upon all or substantially all of the Corporation's assets as may be deemed necessary by any one or more of the Officers in connection with such borrowings;

FURTHER RESOLVED, that the Officers shall be, and each of them hereby is, authorized and empowered to execute and deliver for and on behalf of the Corporation, as Debtor and Debtor in possession, such agreements, instruments and any and all other documents necessary or appropriate to facilitate the transactions contemplated by the foregoing resolution (the "Financing Documents") and that Financing Documents containing such provisions, terms, conditions, covenants, warranties and representations as may be deemed necessary or appropriate by the Officer or Officers so acting hereby are approved.

FURTHER RESOLVED, that the law firm of Zeisler & Zeisler, P.C. shall be, and it hereby is, authorized and empowered to represent the Corporation, as Debtor and Debtor in possession, in connection with any case commenced by or against it under the Bankruptcy Code;

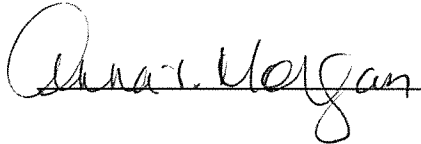
FURTHER RESOLVED, that the Officers shall be, and each of them hereby is, authorized and empowered to retain, on behalf of the Corporation, Zeisler & Zeisler, P.C. and such other attorneys, financial advisors and accountants as the Officer or Officers so acting shall deem appropriate in his or their judgment;

FURTHER RESOLVED, that the Officers shall be, and each of them hereby is, authorized and empowered to take or cause to be taken any and all such further action, to execute and deliver any and all such further instruments and documents and to pay all such fees and expenses, as the Officer or Officers so acting shall deem appropriate in his or their judgment to fully carry out the intent and accomplish the purposes of the Resolutions; and

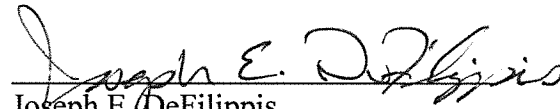
FURTHER RESOLVED, that all of the acts and transactions of any one or more of the Officers or Board of Directors of the Corporation, which have been taken, caused to have been taken or made prior to the effective date of the Resolutions in connection with the matters set forth in the Resolutions, are hereby ratified, confirmed and approved.

Attest:

Lucas Printing Company, Inc.



By:



Joseph E. DeFilippis  
Its Vice President  
Duly Authorized

**Fill in this information to identify the case:**

Debtor name Lucas Printing Company, Inc.

United States Bankruptcy Court for the: DISTRICT OF CONNECTICUT

Case number (if known): \_\_\_\_\_

Check if this is an amended filing

**Official Form 204**

**Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 20 Largest Unsecured Claims and Are Not Insiders** 12/15

A list of creditors holding the 20 largest unsecured claims must be filed in a Chapter 11 or Chapter 9 case. Include claims which the debtor disputes. Do not include claims by any person or entity who is an insider, as defined in 11 U.S.C. § 101(31). Also, do not include claims by secured creditors, unless the unsecured claim resulting from inadequate collateral value places the creditor among the holders of the 20 largest unsecured claims.

Name of creditor and complete mailing address, including zip code	Name, telephone number and email address of creditor contact	Nature of claim (for example, trade debts, bank loans, professional services, and government contracts)	Indicate if claim is contingent, unliquidated, or disputed	Amount of claim		
				If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim.		
				Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
Eversource Gas Attn: Pres., GP., or Mng. Mbr. PO Box 150443 Hartford, CT 06115		Utility				\$1,564.65
Eversource Electricity Attn: Pres., GP., or Mng. Mbr. PO Box 150443 Hartford, CT 06115		Utility				\$296.03
Aquarion Water of Co. of CT Attn: Pres., GP., or Mng. Mbr. PO Box 702 Bridgeport, CT 06601		Utility				\$44.11

**United States Bankruptcy Court  
District of Connecticut**

In re Lucas Printing Company, Inc.

Debtor(s)

Case No.  
Chapter

11

**LIST OF EQUITY SECURITY HOLDERS**

Following is the list of the Debtor's equity security holders which is prepared in accordance with rule 1007(a)(3) for filing in this Chapter 11 Case

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
<b>Ernie DeFilippis 22 Glen Avenue Stamford, CT 06906</b>			<b>50%</b>
<b>Joseph M. DeFilippis 183 Magee Road Hamden, CT 06514</b>			<b>50%</b>

**DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP**

I, the **Vice President** of the corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing List of Equity Security Holders and that it is true and correct to the best of my information and belief.

Date October 21, 2016

Signature /s/ Joseph E. DeFilippis  
**Joseph E. DeFilippis**

*Penalty for making a false statement of concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both.  
18 U.S.C. §§ 152 and 3571.*



**United States Bankruptcy Court  
District of Connecticut**

In re Lucas Printing Company, Inc.

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Case No.

Chapter

11

**VERIFICATION OF CREDITOR MATRIX**

I, the Vice President of the corporation named as the debtor in this case, hereby verify that the attached list of creditors is true and correct to the best of my knowledge.

Date: October 21, 2016

/s/ Joseph E. DeFilippis

**Joseph E. DeFilippis/Vice President**

Signer/Title

State of CT  
Dept of Revenue Services  
C&E Div, Bankruptcy Section  
25 Sigourney St.  
Hartford, CT 06106

U.S. Department of Justice  
Attn: Loretta Lynch, Attorney General  
950 Pennsylvania Avenue, NW  
Washington, DC 20530-0001

US Attorney's Office, New Haven  
Attn: Christine Sciarrino, Esq  
Assistant US Attorney  
57 Church Street, Floor 25  
New Haven, CT 06510

Internal Revenue Service  
Centralized Insolvency operation  
P.O. Box 7346  
Philadelphia, PA 19101-7346

Adam J. Blank, Esq.  
Wofsy, Rosen, Kveskin  
& Kuriansky, LLP  
600 Summer Street  
Stamford, CT 06901

Aquarion Water of Co. of CT  
Attn: Pres., GP., or Mng. Mbr.  
PO Box 702  
Bridgeport, CT 06601

CFS-4 VI, LLC  
Attn: Pres., GP., or Mng. Mbr.  
4600 Wells Fargo Center  
90 South Seventh Street  
Minneapolis, MN 55402

City of Stamford  
Stamford Government Center  
888 Washington Blvd  
6th Floor  
Stamford, CT 06901

City of Stamford Tax Collector  
Stamford Government Center  
888 Washington Blvd  
6th Floor  
Stamford, CT 06901

Ernie DeFilippis  
22 Glen Avenue  
Stamford, CT 06906

Eversource Electricity  
Attn: Pres., GP., or Mng. Mbr.  
PO Box 150443  
Hartford, CT 06115

Eversource Gas  
Attn: Pres., GP., or Mng. Mbr.  
PO Box 150443  
Hartford, CT 06115

Hertz Corporation  
Attn: Pres., GP., or Mng. Mbr.  
225 Brae Blvd  
Park Ridge, NJ 07656

J&J Landscaping Contr., Inc.  
Attn: Joseph DeFilippis, Pres.  
31 Spezzano Drive  
Riverside, CT 06878

James F. Simon, Esq.  
196 North Street  
Stamford, CT 06901

Joseph M. DeFilippis  
183 Magee Road  
Hamden, CT 06514

Kelor Enterprises, LLC  
Attn: Joseph DeFilippis, Pres.  
31 Spezzano Drive  
Riverside, CT 06878

Marshall Goldberg  
600 Summer Street  
Stamford, CT 06901

Prem, Inc. dba  
Pyramid Real Estate & Mgmt, Co.  
Attn: Pres., GP., or Mng. Mbr.  
20 Summer Street  
Stamford, CT 06901

Stamford Corporation Counsel  
Attn: Pres., GP., or Mng. Mbr.  
Municipal Office Bldg  
PO Box 10152  
Stamford, CT 06904

Stamford WPCA  
Attn: Pres., GP., or Mng. Mbr.  
111 Harbor View Avenue  
Stamford, CT 06902

**United States Bankruptcy Court  
District of Connecticut**

In re Lucas Printing Company, Inc.

Debtor(s)

Case No.

Chapter 11

**CORPORATE OWNERSHIP STATEMENT (RULE 7007.1)**

Pursuant to Federal Rule of Bankruptcy Procedure 7007.1 and to enable the Judges to evaluate possible disqualification or recusal, the undersigned counsel for Lucas Printing Company, Inc. in the above captioned action, certifies that the following is a (are) corporation(s), other than the debtor or a governmental unit, that directly or indirectly own(s) 10% or more of any class of the corporation's(s') equity interests, or states that there are no entities to report under FRBP 7007.1:

**Ernie DeFilippis**  
22 Glen Avenue  
Stamford, CT 06906

**Joseph M. DeFilippis**  
183 Magee Road  
Hamden, CT 06514

None [*Check if applicable*]

**October 21, 2016**

Date

**/s/ Matthew K. Beatman**

**Matthew K. Beatman ct08923**

Signature of Attorney or Litigant

Counsel for **Lucas Printing Company, Inc.**

**Zeisler & Zeisler, P.C.**

**10 Middle Street, 15th Floor**

**P.O. Box 1220**

**Bridgeport, CT 06604**

**(203) 368-4234 Fax:(203) 367-9678**