

United States Bankruptcy Court
Western District of Kentucky

Voluntary Petition

Name of Debtor (if individual, enter Last, First, Middle): Phoenix Investments, LLC	Name of Joint Debtor (Spouse) (Last, First, Middle):
All Other Names used by the Debtor in the last 8 years (include married, maiden, and trade names):	All Other Names used by the Joint Debtor in the last 8 years (include married, maiden, and trade names):
Last four digits of Soc. Sec. or Individual-Taxpayer I.D. (ITIN) No./Complete EIN (if more than one, state all) 35-2210216	Last four digits of Soc. Sec. or Individual-Taxpayer I.D. (ITIN) No./Complete EIN (if more than one, state all)
Street Address of Debtor (No. and Street, City, and State): 13304 West Highway 42 Prospect, KY	Street Address of Joint Debtor (No. and Street, City, and State):
ZIP Code 40059	ZIP Code
County of Residence or of the Principal Place of Business: Oldham	County of Residence or of the Principal Place of Business:
Mailing Address of Debtor (if different from street address):	Mailing Address of Joint Debtor (if different from street address):
ZIP Code	ZIP Code
Location of Principal Assets of Business Debtor (if different from street address above):	

<p>Type of Debtor (Form of Organization) (Check one box)</p> <p><input type="checkbox"/> Individual (includes Joint Debtors) <i>See Exhibit D on page 2 of this form.</i></p> <p><input checked="" type="checkbox"/> Corporation (includes LLC and LLP)</p> <p><input type="checkbox"/> Partnership</p> <p><input type="checkbox"/> Other (If debtor is not one of the above entities, check this box and state type of entity below.)</p>	<p>Nature of Business (Check one box)</p> <p><input type="checkbox"/> Health Care Business</p> <p><input type="checkbox"/> Single Asset Real Estate as defined in 11 U.S.C. § 101 (51B)</p> <p><input type="checkbox"/> Railroad</p> <p><input type="checkbox"/> Stockbroker</p> <p><input type="checkbox"/> Commodity Broker</p> <p><input type="checkbox"/> Clearing Bank</p> <p><input type="checkbox"/> Other</p> <p>Tax-Exempt Entity (Check box, if applicable)</p> <p><input type="checkbox"/> Debtor is a tax-exempt organization under Title 26 of the United States Code (the Internal Revenue Code).</p>	<p>Chapter of Bankruptcy Code Under Which the Petition is Filed (Check one box)</p> <p><input type="checkbox"/> Chapter 7</p> <p><input type="checkbox"/> Chapter 9</p> <p><input checked="" type="checkbox"/> Chapter 11</p> <p><input type="checkbox"/> Chapter 12</p> <p><input type="checkbox"/> Chapter 13</p> <p>Nature of Debts (Check one box)</p> <p><input type="checkbox"/> Debts are primarily consumer debts, defined in 11 U.S.C. § 101(8) as "incurred by an individual primarily for a personal, family, or household purpose."</p> <p><input checked="" type="checkbox"/> Debts are primarily business debts.</p>
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<p>Filing Fee (Check one box)</p> <p><input checked="" type="checkbox"/> Full Filing Fee attached</p> <p><input type="checkbox"/> Filing Fee to be paid in installments (applicable to individuals only). Must attach signed application for the court's consideration certifying that the debtor is unable to pay fee except in installments. Rule 1006(b). See Official Form 3A.</p> <p><input type="checkbox"/> Filing Fee waiver requested (applicable to chapter 7 individuals only). Must attach signed application for the court's consideration. See Official Form 3B.</p>	<p>Check one box:</p> <p><input type="checkbox"/> Debtor is a small business debtor as defined in 11 U.S.C. § 101(51D).</p> <p><input checked="" type="checkbox"/> Debtor is not a small business debtor as defined in 11 U.S.C. § 101(51D).</p> <p>Check if:</p> <p><input type="checkbox"/> Debtor's aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,343,300 (<i>amount subject to adjustment on 4/01/13 and every three years thereafter</i>).</p> <p>Check all applicable boxes:</p> <p><input type="checkbox"/> A plan is being filed with this petition.</p> <p><input type="checkbox"/> Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b).</p>
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<p>Statistical/Administrative Information</p> <p><input checked="" type="checkbox"/> Debtor estimates that funds will be available for distribution to unsecured creditors.</p> <p><input type="checkbox"/> Debtor estimates that, after any exempt property is excluded and administrative expenses paid, there will be no funds available for distribution to unsecured creditors.</p>											THIS SPACE IS FOR COURT USE ONLY																						
<p>Estimated Number of Creditors</p> <table style="margin-left: auto; margin-right: auto;"> <tr> <td><input checked="" type="checkbox"/></td> <td><input type="checkbox"/></td> </tr> <tr> <td>1-49</td> <td>50-99</td> <td>100-199</td> <td>200-999</td> <td>1,000-5,000</td> <td>5,001-10,000</td> <td>10,001-25,000</td> <td>25,001-50,000</td> <td>50,001-100,000</td> <td>OVER 100,000</td> <td></td> </tr> </table>											<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	1-49	50-99	100-199	200-999	1,000-5,000	5,001-10,000	10,001-25,000	25,001-50,000	50,001-100,000	OVER 100,000		
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Voluntary Petition <i>(This page must be completed and filed in every case)</i>		Name of Debtor(s): Phoenix Investments, LLC	
All Prior Bankruptcy Cases Filed Within Last 8 Years (If more than two, attach additional sheet)			
Location Where Filed: - None -	Case Number:	Date Filed:	
Location Where Filed:	Case Number:	Date Filed:	
Pending Bankruptcy Case Filed by any Spouse, Partner, or Affiliate of this Debtor (If more than one, attach additional sheet)			
Name of Debtor: - None -	Case Number:	Date Filed:	
District:	Relationship:	Judge:	
Exhibit A (To be completed if debtor is required to file periodic reports (e.g., forms 10K and 10Q) with the Securities and Exchange Commission pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 and is requesting relief under chapter 11.)		Exhibit B (To be completed if debtor is an individual whose debts are primarily consumer debts.) I, the attorney for the petitioner named in the foregoing petition, declare that I have informed the petitioner that [he or she] may proceed under chapter 7, 11, 12, or 13 of title 11, United States Code, and have explained the relief available under each such chapter. I further certify that I delivered to the debtor the notice required by 11 U.S.C. §342(b).	
<input type="checkbox"/> Exhibit A is attached and made a part of this petition.		X _____ Signature of Attorney for Debtor(s) (Date)	
Exhibit C Does the debtor own or have possession of any property that poses or is alleged to pose a threat of imminent and identifiable harm to public health or safety?			
<input type="checkbox"/> Yes, and Exhibit C is attached and made a part of this petition. <input checked="" type="checkbox"/> No.			
Exhibit D (To be completed by every individual debtor. If a joint petition is filed, each spouse must complete and attach a separate Exhibit D.)			
<input type="checkbox"/> Exhibit D completed and signed by the debtor is attached and made a part of this petition.			
If this is a joint petition: <input type="checkbox"/> Exhibit D also completed and signed by the joint debtor is attached and made a part of this petition.			
Information Regarding the Debtor - Venue (Check any applicable box)			
<input checked="" type="checkbox"/> Debtor has been domiciled or has had a residence, principal place of business, or principal assets in this District for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other District. <input type="checkbox"/> There is a bankruptcy case concerning debtor's affiliate, general partner, or partnership pending in this District. <input type="checkbox"/> Debtor is a debtor in a foreign proceeding and has its principal place of business or principal assets in the United States in this District, or has no principal place of business or assets in the United States but is a defendant in an action or proceeding [in a federal or state court] in this District, or the interests of the parties will be served in regard to the relief sought in this District.			
Certification by a Debtor Who Resides as a Tenant of Residential Property (Check all applicable boxes)			
<input type="checkbox"/> Landlord has a judgment against the debtor for possession of debtor's residence. (If box checked, complete the following.)			
_____ (Name of landlord that obtained judgment)			
_____ (Address of landlord)			
<input type="checkbox"/> Debtor claims that under applicable nonbankruptcy law, there are circumstances under which the debtor would be permitted to cure the entire monetary default that gave rise to the judgment for possession, after the judgment for possession was entered, and <input type="checkbox"/> Debtor has included in this petition the deposit with the court of any rent that would become due during the 30-day period after the filing of the petition. <input type="checkbox"/> Debtor certifies that he/she has served the Landlord with this certification. (11 U.S.C. § 362(l)).			

Voluntary Petition

(This page must be completed and filed in every case)

Name of Debtor(s):

Phoenix Investments, LLC**Signatures****Signature(s) of Debtor(s) (Individual/Joint)**

I declare under penalty of perjury that the information provided in this petition is true and correct.
 [If petitioner is an individual whose debts are primarily consumer debts and has chosen to file under chapter 7] I am aware that I may proceed under chapter 7, 11, 12, or 13 of title 11, United States Code, understand the relief available under each such chapter, and choose to proceed under chapter 7.
 [If no attorney represents me and no bankruptcy petition preparer signs the petition] I have obtained and read the notice required by 11 U.S.C. §342(b).

I request relief in accordance with the chapter of title 11, United States Code, specified in this petition.

X

Signature of Debtor

X

Signature of Joint Debtor

Telephone Number (If not represented by attorney)

Date

Signature of Attorney***X /s/ Richard A. Schwartz**

Signature of Attorney for Debtor(s)

Richard A. Schwartz

Printed Name of Attorney for Debtor(s)

Kruger & Schwartz

Firm Name

**3339 Taylorsville Road
Louisville, KY 40205**

Address

Email: rick@ks-laws.com**502.485.9200 Fax: 502.485.9220**

Telephone Number

April 25, 2011

Date

*In a case in which § 707(b)(4)(D) applies, this signature also constitutes a certification that the attorney has no knowledge after an inquiry that the information in the schedules is incorrect.

Signature of Debtor (Corporation/Partnership)

I declare under penalty of perjury that the information provided in this petition is true and correct, and that I have been authorized to file this petition on behalf of the debtor.

The debtor requests relief in accordance with the chapter of title 11, United States Code, specified in this petition.

X /s/ Todd A. Simmons

Signature of Authorized Individual

Todd A. Simmons

Printed Name of Authorized Individual

Member

Title of Authorized Individual

April 25, 2011

Date

Signatures**Signature of a Foreign Representative**

I declare under penalty of perjury that the information provided in this petition is true and correct, that I am the foreign representative of a debtor in a foreign proceeding, and that I am authorized to file this petition.

(Check only one box.)

I request relief in accordance with chapter 15 of title 11, United States Code. Certified copies of the documents required by 11 U.S.C. §1515 are attached.

Pursuant to 11 U.S.C. §1511, I request relief in accordance with the chapter of title 11 specified in this petition. A certified copy of the order granting recognition of the foreign main proceeding is attached.

X

Signature of Foreign Representative

Printed Name of Foreign Representative

Date

Signature of Non-Attorney Bankruptcy Petition Preparer

I declare under penalty of perjury that: (1) I am a bankruptcy petition preparer as defined in 11 U.S.C. § 110; (2) I prepared this document for compensation and have provided the debtor with a copy of this document and the notices and information required under 11 U.S.C. §§ 110(b), 110(h), and 342(b); and, (3) if rules or guidelines have been promulgated pursuant to 11 U.S.C. § 110(h) setting a maximum fee for services chargeable by bankruptcy petition preparers, I have given the debtor notice of the maximum amount before preparing any document for filing for a debtor or accepting any fee from the debtor, as required in that section. Official Form 19 is attached.

Printed Name and title, if any, of Bankruptcy Petition Preparer

Social-Security number (If the bankruptcy petition preparer is not an individual, state the Social Security number of the officer, principal, responsible person or partner of the bankruptcy petition preparer.)(Required by 11 U.S.C. § 110.)

Address

X

Date

Signature of Bankruptcy Petition Preparer or officer, principal, responsible person,or partner whose Social Security number is provided above.

Names and Social-Security numbers of all other individuals who prepared or assisted in preparing this document unless the bankruptcy petition preparer is not an individual:

If more than one person prepared this document, attach additional sheets conforming to the appropriate official form for each person.

A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal Rules of Bankruptcy Procedure may result in fines or imprisonment or both 11 U.S.C. §110; 18 U.S.C. §156.

United States Bankruptcy Court
Western District of Kentucky

In re **Phoenix Investments, LLC**

Debtor(s)

Case No.
Chapter**11**

LIST OF CREDITORS HOLDING 20 LARGEST UNSECURED CLAIMS

Following is the list of the debtor's creditors holding the 20 largest unsecured claims. The list is prepared in accordance with Fed. R. Bankr. P. 1007(d) for filing in this chapter 11 [or chapter 9] case. The list does not include (1) persons who come within the definition of "insider" set forth in 11 U.S.C. § 101, or (2) secured creditors unless the value of the collateral is such that the unsecured deficiency places the creditor among the holders of the 20 largest unsecured claims. If a minor child is one of the creditors holding the 20 largest unsecured claims, state the child's initials and the name and address of the child's parent or guardian, such as "A.B., a minor child, by John Doe, guardian." Do not disclose the child's name. See 11 U.S.C. § 112; Fed. R. Bankr. P. 1007(m).

(1)	(2)	(3)	(4)	(5)
<i>Name of creditor and complete mailing address including zip code</i>	<i>Name, telephone number and complete mailing address, including zip code, of employee, agent, or department of creditor familiar with claim who may be contacted</i>	<i>Nature of claim (trade debt, bank loan, government contract, etc.)</i>	<i>Indicate if claim is contingent, unliquidated, disputed, or subject to setoff</i>	<i>Amount of claim [if secured, also state value of security]</i>
AT&T Accord Creditor Service PO Box 10001 Newnan, GA 30271	AT&T Accord Creditor Service PO Box 10001 Newnan, GA 30271	trade debt		668.00
C & W Construction, Inc. 2130 Spencer Court La Grange, KY 40031	Christopher G. Stewart Attorney at Law 1009 South 4th Street Louisville, KY 40203 502-584-1826	trade debt		3,660.00
Cardinal Heating & Cooling 2611 Aylesbury Court Louisville, KY 40242-2225	Cardinal Heating & Cooling 2611 Aylesbury Court Louisville, KY 40242-2225 502-541-1656	trade debt		1,500.00
Class A. Lawn & Landscape 7812 St. Anthony Woods Court Louisville, KY 40214	Class A. Lawn & Landscape 7812 St. Anthony Woods Court Louisville, KY 40214 502-933-7372	trade debt		2,389.00
Elite Heating & Air 555 Mount Tabor Road New Albany, IN 47150	Matt Roberts Elite Heating & Air 555 Mount Tabor Road New Albany, IN 47150 502-561-2884	trade debt		120.00
First Federal Savings Bank of E-Town 2323 Ring Road P.O. Box 5006 Elizabethtown, KY 42702-5006	William T. Repasky Frost Brown Todd LLC 400 W. Market Street 32nd Floor Louisville, KY 40202-3363 502-589-5400	guaranty of loan to Covered Bridge Investments, LLC	Contingent	1,002,995.00
First Federal Savings Bank of E-Town 2323 Ring Road P.O. Box 5006 Elizabethtown, KY 42702-5006	William T. Repasky First Federal Savings Bank of E-Town 2323 Ring Road P.O. Box 5006 Elizabethtown, KY 42702-5006 502-589-5400	guarantee of loan to Covered Bridge Investments, LLC	Contingent	220,000.00

LIST OF CREDITORS HOLDING 20 LARGEST UNSECURED CLAIMS

(Continuation Sheet)

(1)	(2)	(3)	(4)	(5)
<i>Name of creditor and complete mailing address including zip code</i>	<i>Name, telephone number and complete mailing address, including zip code, of employee, agent, or department of creditor familiar with claim who may be contacted</i>	<i>Nature of claim (trade debt, bank loan, government contract, etc.)</i>	<i>Indicate if claim is contingent, unliquidated, disputed, or subject to setoff</i>	<i>Amount of claim [if secured, also state value of security]</i>
Hoover Construction Stoess & Flynn PLLC 6344 W. Highway 146 PO Box 310 Crestwood, KY 40014	Chris Hoover Hoover Construction Stoess & Flynn PLLC 6344 W. Highway 146 PO Box 310 Crestwood, KY 40014 502-243-4144	trade debt		2,540.00
Internal Revenue Service P.O. Box 7346 Philadelphia, PA 19101-7346	Internal Revenue Service P.O. Box 7346 Philadelphia, PA 19101-7346	941 taxes		2,549.38
John Stephen Riehl c/o W. Charles Jobson, Atty 6008 BRownsboro Park Blvd. Suite A Louisville, KY 40207	W. Charles Jobson 6008 Browsboro Park Blvd. Suite A Louisville, KY 40207 502-899-4724	claim for conversion of property	Unliquidated Disputed	Unknown
Pitney Bowes PO Box 856390 Louisville, KY 40285	Pitney Bowes PO Box 856390 Louisville, KY 40285 502-491-8652	trade debt		632.17
QuikStor Security & Software 6613 Valjean Ave Van Nuys, CA 91406-5817	QuikStor Security & Software 6613 Valjean Ave Van Nuys, CA 91406-5817 800-321-1987	trade debt		12,031.00
Quill Inc. 4836 Brecksville Road PO Box 523 Richfield, OH 44286	RMS Collections 240 Emery Street Bethlehem, PA 18015 800-613-1545	trade debt		247.01
Raatz Fence Company PO Box 99483 Louisville, KY 40269-0483	Raatz Fence Company PO Box 99483 Louisville, KY 40269-0483 502-267-7777	trade debt		850.00
Yellow Book USA 2201 Renaissance Blvd. King of Prussia, PA 19406	Yellow Book USA 2201 Renaissance Blvd. King of Prussia, PA 19406 800-929-3556	trade debt		2,460.00

Debtor(s)

LIST OF CREDITORS HOLDING 20 LARGEST UNSECURED CLAIMS
(Continuation Sheet)

**DECLARATION UNDER PENALTY OF PERJURY
ON BEHALF OF A CORPORATION OR PARTNERSHIP**

I, the Member of the corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing list and that it is true and correct to the best of my information and belief.

Date April 25, 2011

Signature /s/ Todd A. Simmons
Todd A. Simmons
Member

Penalty for making a false statement or concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both.
18 U.S.C. §§ 152 and 3571.

Ashley Ahrens Flynn
Stoess & Flynn, PLLC
P.O. Box 310
Crestwood, KY 40014

AT&T
Accord Creditor Service
PO Box 10001
Newnan, GA 30271

C & W Construction, Inc.
2130 Spencer Court
La Grange, KY 40031

Cardinal Heating & Cooling
2611 Aylesbury Court
Louisville, KY 40242-2225

Christopher G. Stewart, Atty
1009 South 4th Street
Louisville, KY 40203

Class A. Lawn & Landscape
7812 St. Anthony Woods Court
Louisville, KY 40214

Covered Bridge Investments, LLC
13304 West U.S. Highway 42
Prospect, KY 40059

Elite Heating & Air
555 Mount Tabor Road
New Albany, IN 47150

First Federal Savings Bank of E-Town
2323 Ring Road
P.O. Box 5006
Elizabethtown, KY 42702-5006

Hoover Construction
Stoess & Flynn PLLC
6344 W. Highway 146 PO Box 310
Crestwood, KY 40014

Internal Revenue Service
P.O. Box 7346
Philadelphia, PA 19101-7346

John Stephen Riehl
c/o W. Charles Jobson, Atty
6008 Brownsboro Park Blvd.
Suite A
Louisville, KY 40207

Oldham County Sheriff's Office
100 West Jefferson Street
La Grange, KY 40031

Phillip H. Marshall, Jr.
8401 Spruce Hill Road
Prospect, KY 40059

Pitney Bowes
PO Box 856390
Louisville, KY 40285

QuikStor Security & Software
6613 Valjean Ave
Van Nuys, CA 91406-5817

Quill Inc.
4836 Brecksville Road
PO Box 523
Richfield, OH 44286

Raatz Fence Company
PO Box 99483
Louisville, KY 40269-0483

RMS
P.O. Box 857
Warrenville, IL 60555

RMS Collections
240 Emery Street
Bethlehem, PA 18015

Todd A. Simmons
3601 Constantine Drive
Prospect, KY 40059

William T. Repasky
Frost Brown Todd LLC
400 W. Market Street, 32nd Floor
Louisville, KY 40202-3363

Yellow Book USA
2201 Renaissance Blvd.
King of Prussia, PA 19406